

Complexity Classes

Complexity Theory

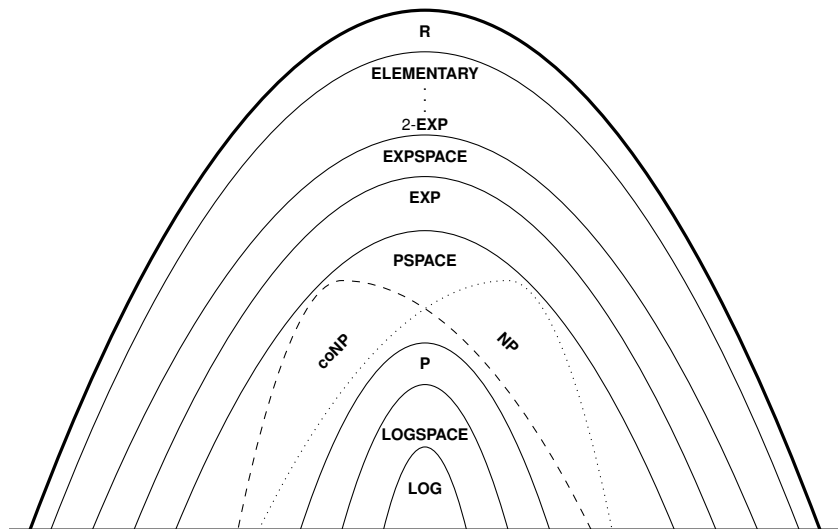
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Complexity Classes – Motivation

- We have studied the relation of a complexity class of a **certain type** on a **function** which is used for its **definition**.
- Now, we will focus on investigating the relation between **complexity classes of different types**.
- Although **a lot effort** has been invested in this area in recent years, some problems (such as $P \stackrel{?}{=} NP$) **remain unsolved**.

Complexity Classes – Motivation



Inclusion of Complexity Classes

- We will show that the following chain of inclusions holds:

$$\mathbf{DLOG} \subseteq \mathbf{NLOG} \subseteq \mathbf{P} \subseteq \mathbf{NP} \subseteq \mathbf{PSPACE} \subseteq \mathbf{EXP} \subseteq \mathbf{NEXP}$$

- To do this, we will prove the statements shown below:

- $\mathbf{DSPACE}(f(n)) \subseteq \mathbf{NSPACE}(f(n))$,
- $\mathbf{DTIME}(f(n)) \subseteq \mathbf{NTIME}(f(n))$,
- $\mathbf{NTIME}(f(n)) \subseteq \bigcup_{c>0} \mathbf{DTIME}(c^{f(n)})$,
- $\mathbf{NTIME}(f(n)) \subseteq \mathbf{DSPACE}(f(n))$,
- $\mathbf{NSPACE}(f(n)) \subseteq \mathbf{DTIME}(2^{O(f(n))})$ for $f(n) \geq \log n$,
- $\mathbf{NSPACE}(f(n)) \subseteq \mathbf{DSPACE}(f(n)^2)$ for $f(n) \geq \log n$
(Savitch's theorem).

where $f(n)$ is a time and space constructible function.

Proof of the Inclusion of Complexity Classes (1/4)

Proof (Parts a, b, c, and d)

- *Parts a) ($\mathbf{DSPACE}(f(n)) \subseteq \mathbf{NSPACE}(f(n))$) and b) ($\mathbf{DTIME}(f(n)) \subseteq \mathbf{NTIME}(f(n))$) are trivial since each deterministic TM is a special case of a nondeterministic TM.*
- *Part c) ($\mathbf{NTIME}(f(n)) \subseteq \bigcup_{c>0} \mathbf{DTIME}(c^{f(n)})$) has been proven in the previous lecture.*
- *The inclusion d) ($\mathbf{NTIME}(f(n)) \subseteq \mathbf{DSPACE}(f(n))$) is a consequence of the proof of the proposition $\mathbf{NTIME}(f(n)) \subseteq \mathbf{DTIME}(2^{f(n)})$. A nondeterministic TM M_N can make at most $f(n)$ nondeterministic choices. The deterministic TM M_D simulating M_N uses $f(n)$ cells of the tape to represent the choices of M_N and at most $f(n)$ cells for simulating the tape of M_N . M_D simulates the possible runs of M_N one by one.*

Proof of the Inclusion of Complexity Classes (2/4)

Proof (Part e)

- **NSPACE** $(f(n)) \subseteq$ **DTIME** $(2^{O(f(n))})$ for $f(n) \geq \log n$
- We will define the *configuration graph* $G(M, w)$ of an NTM M with the input w . The vertices of the graph $G(M, w)$ are *configurations* that may occur during a computation of M on w . There is an *edge* between the vertices C_1 and C_2 iff M can do a transition from the configuration C_1 to C_2 in a *single step*.
- We ask whether there *is a path* from the vertex denoting the *initial* configuration to the vertex representing the *accepting* one.
- For each input w , the number of vertices of $G(M, w)$ can be *upper-bounded* by $2^{O(\log |w| + f(|w|))}$.
- The already known algorithm *REACHABILITY* can solve the problem in time $O(m^2)$ for graphs with m vertices. Therefore, the *overall* time complexity of a DTM simulating M is $2^{O(\log n + f(n))}$.

Proof of the Inclusion of Complexity Classes (3/4)

Proof (Part f)

- **NSPACE** $(f(n)) \subseteq$ **DSPACE** $(f(n)^2)$ for $f(n) \geq \log n$ (Savitch's th.)
- First, we will show that **REACHABILITY** \in **DSPACE** $(\log^2 n)$.
- Let G be a graph with n vertices.
- Let x, y be two distinct vertices from G .
- Then, *the longest path* from x to y can have at most length n .
- We use a DTM to implement the procedure $\text{path}(x, y, i)$ which returns true iff there exists a path from x, y of length at most 2^i .

```
procedure path( $x, y, i$ )  
if ( $i = 0$ ) then return ( $(x = y) \vee ((x, y) \in G)$ )  
else for all vertices  $z \in G$  do  
    if ( $\text{path}(x, z, i-1) \wedge \text{path}(z, y, i-1)$ ) then  
        return true  
return false
```

Proof of the Inclusion of Complexity Classes (4/4)

Proof (Part f)

- *Recursive calls* of $\text{path}(x, y, i)$ create a *tree of depth* i .
- For our problem, it *suffices* to check whether $\text{path}(x, y, \lceil \log n \rceil)$ holds.
- The DTM implementing the path procedure will have to store *call stack* with at most $\lceil \log n \rceil$ *triplets* of length $3 \cdot \log n$.
- Therefore, the space complexity of REACHABILITY is $O(\log^2 n)$.
- For a NTM M_N we can create a DTM M_D which uses another DTM deciding REACHABILITY for each *accepting configuration* of M_N .
- The number of vertices of $G(M_N, w)$ *cannot be bigger than* $c^{f(|w|)}$.
- Thus, the *space complexity* of M_D is $O(\log^2 c^{f(n)}) = O(f(n)^2)$.

Inclusion of Complexity Classes: Conclusion

- We have shown that

$$\mathbf{DLOG} \subseteq \mathbf{NLOG} \subseteq \mathbf{P} \subseteq \mathbf{NP} \subseteq \mathbf{PSPACE} \subseteq \mathbf{EXP} \subseteq \mathbf{NEXP}$$

- We expect all inclusion to be **proper** but for none of them has this been either **proved** or **refuted** yet.
- However, because of **Time/Space Hierarchy Theorems** (not covered here), it is known that some inclusions need to be proper. It is known that:

$$\mathbf{DLOG} \subset \mathbf{PSPACE}$$

$$\mathbf{P} \subset \mathbf{EXP}$$

$$\mathbf{NP} \subset \mathbf{NEXP}$$

Definition of Complements of Complexity Classes (1/2)

Definition

Let $L \subseteq \Sigma^*$ be a language. The *complement* of L denoted $co-L$ is the language

$$co-L = \Sigma^* \setminus L.$$

- The same approach can be extended for decision problems.

Definition

The *complement of a decision problem* A , denoted $A-COMPL$, is a problem for which the solution is:

yes \iff the solution for A is *no*
no \iff the solution for A is *yes*

- Formally, $A-COMPL$ is not a complement of a language because $A \cup A-COMPL \neq \Sigma^*$.

Definition of Complements of Complexity Classes (2/2)

Definition

Let \mathcal{C} be a *complexity class*. The *complement* of \mathcal{C} , denoted $co\text{-}\mathcal{C}$, is the complexity class

$$co\text{-}\mathcal{C} = \{co\text{-}L \mid L \in \mathcal{C}\}.$$

- Clearly, **deterministic time** and **space complexity classes** are **closed under complement**:
- each DTM accepting L can be transformed into a DTM accepting $co\text{-}L$ by swapping **accepting** and **rejecting** states.

$$\mathbf{DTIME}(f(n)) = co\text{-}\mathbf{DTIME}(f(n))$$

$$\mathbf{DSPACE}(f(n)) = co\text{-}\mathbf{DSPACE}(f(n))$$

Complements of Nondeterministic Complexity Classes

- Nondeterminism introduces an **asymmetry in acceptance** of a given input by NTM M :

$w \in L(M) \iff$ there is **an** accepting run of M on w ,

$w \notin L(M) \iff$ there is **no** accepting run of M on w .

- Thus, it **suffices** that **one accepting computation** exists for the former case but it is **required** that **all computations are rejecting** for the latter one.

Nondeterministic Space Complexity Classes (1/8)

Proposition (Immerman-Szelepcsényi Theorem)

$$\mathbf{NSPACE}(f(n)) = \mathit{co}\text{-}\mathbf{NSPACE}(f(n)) \quad \text{for } f \geq \log n$$

- We have seen that *REACHABILITY* is in **NLOGSPACE**.
- First, we will demonstrate that the converse of *REACHABILITY*, called *UNREACHABILITY*, is in **NLOGSPACE** too.

Definition

UNREACHABILITY

- *Input*: Graph $G = (V, E)$, a pair of vertices $x, y \in V$.
- *Output*: **YES** if there is **no** path from x to y , **NO** otherwise.

Proposition

$$\mathit{UNREACHABILITY} \in \mathbf{NLOGSPACE}$$

Nondeterministic Space Complexity Classes (2/8)

Proof ($UNREACHABILITY \in NLOGSPACE$)

- We will first devise an algorithm that computes the *number of reachable nodes* in a graph in $O(\log n)$ space,
 - and later modify it to solve $UNREACHABILITY$.
- Let $G = (V, E)$ be a graph and $x \in V$.
- $S(k)$ is the set of nodes reachable from x in k or less steps,
 - $|S(k)|$ is the number of nodes reachable from x in k or less steps.
- $adjac(v, u)$ is true iff $v = u \vee (v, u) \in E$, otherwise false.
- **procedure** $numReachable(x, (V, E))$
 - nondeterministically either **FAILS** or returns the number of nodes reachable from x ,
 - we will start with a procedure working in $O(n)$ space and modify it in several steps to use $O(\log n)$ space.

Nondeterministic Space Complexity Classes (3/8)

Proof ($UNREACHABILITY \in NLOGSPACE$)

```
procedure numReachable( $x, (V, E)$ )
```

```
   $|S(0)| := 1$ 
```

```
for  $k := 1$  to  $|V|-1$  do
```

```
  compute  $|S(k)|$  from  $|S(k-1)|$ 
```

```
return  $|S(|V|-1)|$ 
```

Nondeterministic Space Complexity Classes (4/8)

Proof ($UNREACHABILITY \in NLOGSPACE$)

```
procedure numReachable(x, (V, E))  
  |S(0)| := 1  
  for k := 1 to |V|-1 do  
    //compute |S(k)| from |S(k-1)|  
    l := 0  
    for each node u := 1 to |V| do  
      if u ∈ S(k) then l++  
  
  |S(k)| := l  
return |S(|V|-1)|
```


Nondeterministic Space Complexity Classes (4/8)

Proof ($UNREACHABILITY \in NLOGSPACE$)

```
procedure numReachable(x, (V, E))
  |S(0)| := 1
  for k := 1 to |V|-1 do
    //compute |S(k)| from |S(k-1)|
    ℓ := 0
    for each node u := 1 to |V| do
      if u ∈ S(k) then ℓ++

  |S(k)| := ℓ
return |S(|V|-1)|
```

Nondeterministic Space Complexity Classes (5/8)

Proof ($UNREACHABILITY \in NLOGSPACE$)

```
procedure numReachable(x, (V, E))
|S(0)| := 1
for k := 1 to |V|-1 do
//compute |S(k)| from |S(k-1)|
  l := 0
  for each node u := 1 to |V| do
// if u ∈ S(k) then l++
    reply := false
    for each node v := 1 to |V| do
      if v ∈ S(k-1) then
        if adjac(v, u) then reply := true

    if reply then l++
  |S(k)| := l
return |S(|V|-1)|
```

Nondeterministic Space Complexity Classes (5/8)

Proof ($UNREACHABILITY \in NLOGSPACE$)

```
procedure numReachable(x, (V, E))
|S(0)| := 1
for k := 1 to |V|-1 do
//compute |S(k)| from |S(k-1)|
  l := 0
  for each node u := 1 to |V| do
//  if u ∈ S(k) then l++
    reply := false
    for each node v := 1 to |V| do
      if v ∈ S(k-1) then
        if adjac(v, u) then reply := true

    if reply then l++
  |S(k)| := l
return |S(|V|-1)|
```

Nondeterministic Space Complexity Classes (6/8)

Proof ($UNREACHABILITY \in NLOGSPACE$)

```
procedure numReachable(x, (V, E))
|S(0)| := 1
for k := 1 to |V|-1 do
//compute |S(k)| from |S(k-1)|
  l := 0
  for each node u := 1 to |V| do
// if u ∈ S(k) then l++
    reply := false, m := 0
    for each node v := 1 to |V| do
      if reachNondet(x, v, k-1) then m++ // v ∈ S(k-1)
      if adjac(v, u) then reply := true
    if m < |S(k-1)| then FAIL
    if reply then l++
  |S(k)| := l
return |S(|V|-1)|
```

Nondeterministic Space Complexity Classes (6/8)

Proof ($UNREACHABILITY \in NLOGSPACE$)

```
procedure numReachable(x, (V, E))
```

```
|S(0)| := 1
```

```
for k := 1 to |V|-1 do
```

```
//compute |S(k)| from |S(k-1)|
```

```
l := 0
```

```
for each node u := 1 to |V| do
```

```
// if u ∈ S(k) then l++
```

```
reply := false, m := 0
```

```
for each node v := 1 to |V| do
```

```
if reachNondet(x, v, k-1) then m++ // v ∈ S(k-1)
```

```
if adjac(v, u) then reply := true
```

```
if m < |S(k-1)| then FAIL
```

```
if reply then l++
```

```
|S(k)| := l
```

```
return |S(|V|-1)|
```

guesses path from x to v of length at most k-1:
returns **true** iff path is OK,
false if path is wrong

if calls to reachNondet() did not guess all the paths correctly, terminate the computation

Nondeterministic Space Complexity Classes (6/8)

Proof ($UNREACHABILITY \in NLOGSPACE$)

```
procedure numReachable(x, (V, E))
|S(0)| := 1
for k := 1 to |V|-1 do
//compute |S(k)| from |S(k-1)|
  l := 0
  for each node u := 1 to |V| do
// if u ∈ S(k) then l++
    reply := false, m := 0
    for each node v := 1 to |V| do
      if reachNondet(x, v, k-1) then m++ // v ∈ S(k-1)
      if adjac(v, u) then reply := true
    if m < |S(k-1)| then FAIL
    if reply then l++
  |S(k)| := l
return |S(|V|-1)|
```

Nondeterministic Space Complexity Classes (7/8)

Proof ($UNREACHABILITY \in NLOGSPACE$)

```
procedure reachNondet ( $x, v, d$ )  
 $w_0 := x$   
for  $p := 1$  to  $d$  do  
  guess a node  $w_p$   
  if  $\neg \text{adjac}(w_{p-1}, w_p)$  then return false  
return ( $w_d = v$ )
```

■ For $UNREACHABILITY(G, x, y)$, modify $numReachable()$:

```
...  
  if  $m < |S(k-1)|$  then return false  
  if reply then  $\ell++$   
  if  $k = |V|-1$  and  $u = y$  then return  $\neg \text{reply}$   
...
```

Nondeterministic Space Complexity Classes (8/8)

Proof (*UNREACHABILITY* \in **NLOGSPACE**)

- *UNREACHABILITY* can be implemented using a NTM M that works in space $O(\log n)$.
- M keeps binary values of $|S(k-1)|, \ell, k, u, v, m, \text{reply}, p, w_p, w_{p-1}$ on separate tapes.
- Variables are only incremented or compared with each other.
- Values of all variables are at most $|V|$, their length at most $\log |V|$.

Nondeterministic Space Complexity Classes (7/7)

Proposition (Immerman-Szelepcsényi Theorem)

$$\mathbf{NSPACE}(f(n)) = \mathit{co}\text{-}\mathbf{NSPACE}(f(n)) \quad \text{for } f \geq \log n$$

Proof

- Let $L \in \mathbf{NSPACE}(f(n))$ be a language decided by a NTM M_N and let w be its input.
- We can construct a DTM M_D working in $\mathbf{NSPACE}(f(n))$ deciding $\mathit{co}\text{-}L$.
- M_D simulates the UNREACHABILITY algorithm for the configuration graph $G(M, w)$.

Corrolary

$$\mathbf{NPSPACE}(f(n)) = \mathit{co}\text{-}\mathbf{NPSPACE}(f(n)), \dots$$

Nondeterministic Time Complexity Classes (1/2)

- We have seen that

$$\mathbf{NTIME}(f(n)) \subseteq \bigcup_{c>0} \mathbf{DTIME}(c^{f(n)})$$

- Each problem from class $\mathbf{NTIME}(f(n))$ can be deterministically decided in time $\bigcup_{c>0} \mathbf{DTIME}(c^{f(n)})$.

Corrolary

$$\mathbf{co-NTIME}(f(n)) \subseteq \bigcup_{c>0} \mathbf{DTIME}(c^{f(n)})$$

Nondeterministic Time Complexity Classes (2/2)

- Relationship between complements of nondeterministic time complexity classes remains unsolved:

$$\mathbf{NTIME}(f(n)) \stackrel{?}{=} \mathbf{co-NTIME}(f(n))$$

Corrolary

$$\mathbf{NP}(f(n)) \stackrel{?}{=} \mathbf{co-NP}(f(n))$$

Corrolary

$$\mathbf{NEXP}(f(n)) \stackrel{?}{=} \mathbf{co-EXP}(f(n))$$