

# Converting Finite Automata to Regular Expressions

Alexander Meduna, Lukáš Vrábel, and Petr Zemek

Brno University of Technology, Faculty of Information Technology  
Božetěchova 1/2, 612 00 Brno, CZ  
<http://www.fit.vutbr.cz/~{meduna,ivrabel,izemek}>



Supported by the FRVŠ MŠMT FR271/2012/G1 grant, 2012.



- **Introduction**

- Basic terms
  - Why?

- **Methods**

- Transitive Closure Method
  - State Removal Method
  - Brzowski Algebraic Method

- **Comparison**

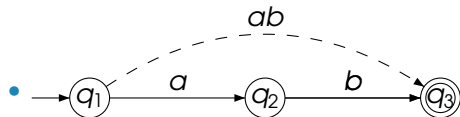


- Finite automata (NFAs, DFAs)
- Regular expressions (REGEXPs)
- ...

Two possible transformations:

- Regular expression  $\rightarrow$  Finite automaton  
✓
- Finite automaton  $\rightarrow$  Regular expression  
Uhm... Why?

- Rather theoretical approach.



- Sketch of the method:

- 1 Let  $Q = \{q_1, q_2, \dots, q_m\}$  be the set of all automaton states.
  - 2 Suppose that regular expression  $R_{ij}$  represents the set of all strings that transition the automaton from  $q_i$  to  $q_j$ .
  - 3 Wanted regular expression will be the union of all  $R_{sf}$ , where  $q_s$  is the starting state and  $q_f$  is one of the final states.
- The main problem is how to construct  $R_{ij}$  for all states  $q_i, q_j$ .

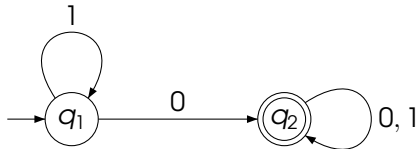
- Suppose  $R_{ij}^k$  represents the set of all strings that transition the automaton from  $q_i$  to  $q_j$  without passing through any state higher than  $q_k$ . We can construct  $R_{ij}$  by successively constructing  $R_{ij}^1, R_{ij}^2, \dots, R_{ij}^{|\mathcal{Q}|} = R_{ij}$ .
- $R_{ij}^k$  is recursively defined as:

$$R_{ij}^k = R_{ij}^{k-1} + R_{ik}^{k-1} (R_{kk}^{k-1})^* R_{kj}^{k-1}$$

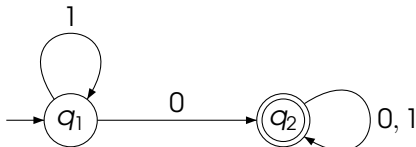
- Assuming we have initialized  $R_{ij}^0$  to be:

$$R_{ij}^0 = \begin{cases} r & \text{if } i \neq j \text{ and } r \text{ transitions NFA from } q_i \text{ to } q_j \\ r + \varepsilon & \text{if } i = j \text{ and } r \text{ transitions NFA from } q_i \text{ to } q_j \\ \emptyset & \text{otherwise} \end{cases}$$

Transform the following NFA to the corresponding REGEXP using Transitive Closure Method:



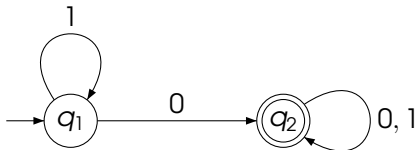
1) Initialize  $R_{ij}^0$ :



|            |                    |
|------------|--------------------|
| $R_{11}^0$ | $\epsilon + 1$     |
| $R_{12}^0$ | $0$                |
| $R_{21}^0$ | $\emptyset$        |
| $R_{22}^0$ | $\epsilon + 0 + 1$ |

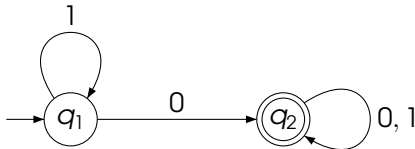


2) Compute  $R_{ij}^1$ :



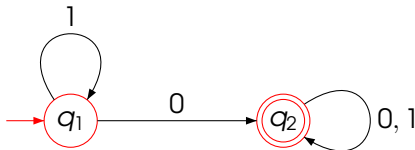
|            | By direct substitution  | Simplified            |
|------------|---|-----------------------|
| $R_{11}^1$ | $\varepsilon + 1 + (\varepsilon + 1)(\varepsilon + 1)^*(\varepsilon + 1)$ | $1^*$                 |
| $R_{12}^1$ | $0 + (\varepsilon + 1)(\varepsilon + 1)^*0$                               | $1^*0$                |
| $R_{21}^1$ | $\emptyset + \emptyset(\varepsilon + 1)^*(\varepsilon + 1)$               | $\emptyset$           |
| $R_{22}^1$ | $\varepsilon + 0 + 1 + \emptyset(\varepsilon + 1)^*0$                     | $\varepsilon + 0 + 1$ |

3) Compute  $R_{ij}^2$ :



|            | By direct substitution  | Simplified      |
|------------|---|-----------------|
| $R_{11}^2$ | $1^* + 1^*0(\epsilon + 0 + 1)^*\emptyset$                                     | $1^*$           |
| $R_{12}^2$ | $1^*0 + 1^*0(\epsilon + 0 + 1)^*(\epsilon + 0 + 1)$                           | $1^*0(0 + 1)^*$ |
| $R_{21}^2$ | $\emptyset + (\epsilon + 0 + 1)(\epsilon + 0 + 1)^*\emptyset$                 | $\emptyset$     |
| $R_{22}^2$ | $\epsilon + 0 + 1 + (\epsilon + 0 + 1)(\epsilon + 0 + 1)^*(\epsilon + 0 + 1)$ | $(0 + 1)^*$     |

4) Get the resulting regular expression:

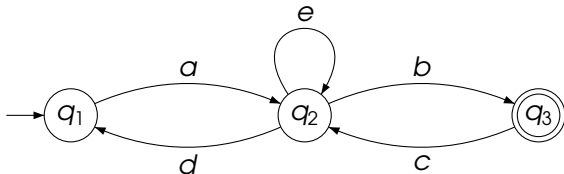


$\Rightarrow R_{12}^2 = R_{12} = 1^*0(0 + 1)^*$  is the REGEXP corresponding to the NFA.



- Based on a transformation from NFA to GNFA (*generalized nondeterministic finite automaton*).
- Identifies patterns within the graph and removes states, building up regular expressions along each transition.
- Sketch of the method:
  - 1 Unify all final states into a single final state using  $\epsilon$ -trans.
  - 2 Unify all multi-transitions into a single transition that contains union of inputs.
  - 3 Remove states (and change transitions accordingly) until there is only the starting and the final state.
  - 4 Get the resulting regular expression by direct calculation.
- The main problem is how to remove states correctly so the accepted language won't be changed.

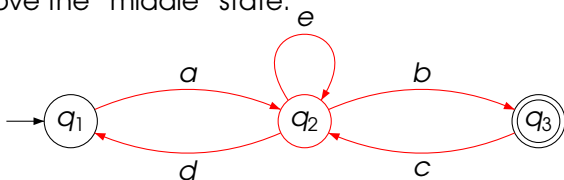
Transform the following NFA to the corresponding REGEXP using State Removal Method:



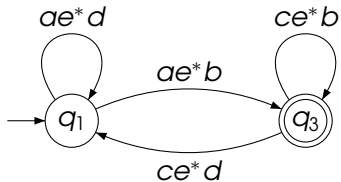
## Example (2/3)



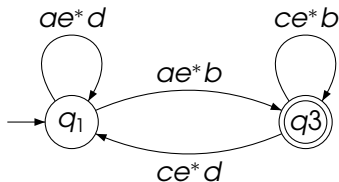
1) Remove the "middle" state:



⇓



2) Get the resulting regular expression  $r$ :



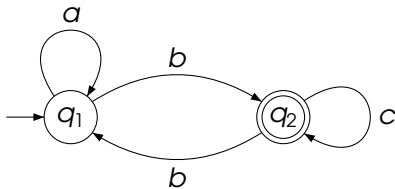
$$\Rightarrow r = (ae^*d)^* ae^*b(ce^*b + ce^*d(ae^*d)^* ae^*b)^*.$$



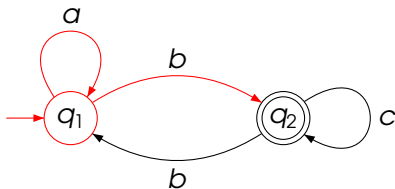
- Janusz Brzozowski, 1964
- Utilizes *equations over regular expressions*.
- Sketch of the method:
  - 1 Create a system of regular equations with one regular expression unknown for each state in the NFA.
  - 2 Solve the system.
  - 3 The regular expression corresponding to the NFA is the regular expression associated with the starting state.
- The main problem is how to create the system and how to solve it.



Transform the following NFA to the corresponding REGEXP using Brzowski Method:

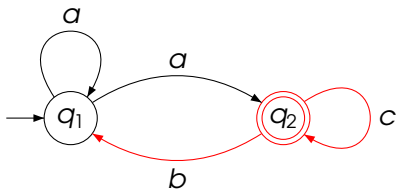


1) Create a characteristic regular equation for state 1:



$$X_1 = aX_1 + bX_2$$

2) Create a characteristic regular equation for state 2:



$$X_2 = \varepsilon + bX_1 + cX_2$$



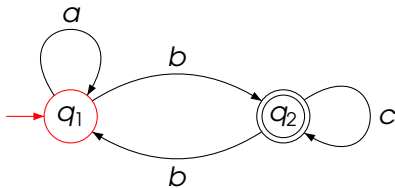
4) Solve the arisen system of regular expressions:

$$\begin{aligned} X_1 &= aX_1 + bX_2 \\ X_2 &= \varepsilon + bX_1 + cX_2 \end{aligned}$$

Solution:

$$X_1 = (a + bc^*b)^*bc^*$$

$$X_2 = c^*[\varepsilon + b(a + bc^*b)^*bc^*]$$



$\Rightarrow X_1$  is the REGEXP corresponding to the NFA.



- Transitive Closure Method
  - + clear and simple implementation
  - tedious for manual use
  - tends to create very long regular expressions
- State Removal Method
  - + intuitive, useful for manual inspection
  - not as straightforward to implement as other methods
- Brzozowski Algebraic Method
  - + elegant
  - + generates reasonably compact regular expressions



J. Brzozowski.

Derivatives of regular expressions.  
*Journal of the ACM*, 11(4):481–494, 1964.



J. E. Hopcroft and J. D. Ullman.

*Introduction to Automata Theory, Languages, and Computation*.  
Addison-Wesley, 1979.



P. Linz.

*An introduction to Formal Languages and Automata*.  
Jones and Bartlett Publishers, 3rd edition, 1979.



C. Neumann.

Converting deterministic finite automata to regular expressions.  
Available on URL:

[http://neumannhaus.com/christoph/papers/2005-03-16.DFA\\_to\\_RegEx.pdf](http://neumannhaus.com/christoph/papers/2005-03-16.DFA_to_RegEx.pdf).



M. Češka, T. Vojnar, and A. Smrčka.

Studijní opora do předmětu teoretická informatika.  
Available on URL:

<https://www.fit.vutbr.cz/study/courses/TIN/public/Texty/oporaTIN.pdf>.

# Discussion