

Scattered Context Grammar

Petr Horáček, Eva Zámečnicková and Ivana Burgetová

Department of Information Systems
Faculty of Information Technology
Brno University of Technology
Božetěchova 2, 612 00 Brno, CZ





- **Introduction**
- **Transformational Scattered Context Grammars**
- **Scattered Context in English Syntax**

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Definition

A **scattered context grammar** (SCG) G is a quadruple $G = (N, T, P, S)$, where

- N is a finite set of *nonterminals*,
- T is a finite set of *terminals*, $N \cap T = \emptyset$
- P is a finite set of *rules* of the form

$$(A_1, \dots, A_n) \rightarrow (x_1, \dots, x_n),$$

where $A_1, \dots, A_n \in N$, $x_1, \dots, x_n \in (N \cup T)^*$,

- $S \in N$ is the *start symbol*.

Derivation step

Let $G = (N, T, P, S)$ be an SCG. For $u, v \in (N \cup T)^*$, $p \in P$ we define $u \Rightarrow v [p]$, if there is a factorization of $u = u_1 A_1 \dots u_n A_n u_{n+1}$, $v = u_1 x_1 \dots u_n x_n u_{n+1}$ and $p = (A_1, \dots, A_n) \rightarrow (x_1, \dots, x_n)$, where $u_i \in (N \cup T)^*$ for $1 \leq i \leq n$.

- Many common English sentences contain expressions and words mutually depending on each other, although they are not adjacent to each other in the sentence.

Example

He usually goes to work early.

- The subject (*he*) and the predicator (*goes*) are related.

☹ *He usually go to work early.*

☹ *I usually goes to work early.*

- Ungrammatical sentences – the form of the predicator depends on the form of the subject.
 - *he...go, I...goes* – illegal combinations

- Consider the scattered context rule:

(He, goes) \rightarrow (We, go)

- This rule checks if the subject is the pronoun *he* and if the verb *go* is in 3rd person singular.
- If the sentence satisfies this property, it can be transformed.

Example

He usually goes to work early.
 \Rightarrow *We usually go to work early.*

- The related words may occur far away from each other.

Example

He almost regularly goes to work early.
 \Rightarrow *We almost regularly go to work early.*

He usually, but not always, goes to work early.
 \Rightarrow *We usually, but not always, go to work early.*

Classification of verbs

1 Auxiliary verbs

- Modal verbs: *can, may, must, will, shall, ought, need, dare*
- Non-modal verbs: *be, have, do*

2 Lexical verbs

- In reality, these classes may overlap.
 - For example, *do* appears as auxiliary verb in some sentences, as lexical verb in other sentences.
- Inflectional forms of verbs are called **paradigms**.

| Form | Paradigm | Person | Example |
|-----------|-------------------|--------|--------------------------------------|
| Primary | Present | 3rd sg | <i>She walks home.</i> |
| | | Other | <i>They walk home.</i> |
| | Preterite | | <i>She walked home.</i> |
| Secondary | Plain form | | <i>They should walk home.</i> |
| | Gerund-participle | | <i>She is walking home.</i> |
| | Past participle | | <i>She has walked home.</i> |



- The only exception in English: *be*
 - 9 paradigms in its neutral form.
 - All primary forms have their negative contracted counterparts.
 - Irrealis* paradigm – in sentences of unrealistic nature.

*I wish I **were** rich.*

| Form | Paradigm | Person | Neutral | Negative |
|-----------|-------------------|----------------|--------------|----------------|
| Primary | Present | 1st sg | <i>am</i> | <i>aren't</i> |
| | | 3rd sg | <i>is</i> | <i>isn't</i> |
| | | Other | <i>are</i> | <i>aren't</i> |
| | Preterite | 1st sg, 3rd sg | <i>was</i> | <i>wasn't</i> |
| | | Other | <i>were</i> | <i>weren't</i> |
| | Irrealis | 1st sg, 3rd sg | <i>were</i> | <i>weren't</i> |
| Secondary | Plain form | | <i>be</i> | — |
| | Gerund-participle | | <i>being</i> | — |
| | Past participle | | <i>been</i> | — |

- Great amount of inflectional variation

| Non-reflexive | | | | Reflexive |
|---------------|------------|-----------|-------------|------------|
| Nominative | Accusative | Genitive | | |
| | Plain | Dependent | Independent | |
| I | me | my | mine | myself |
| you | you | your | yours | yourself |
| he | him | his | his | himself |
| she | her | her | hers | herself |
| it | it | its | its | itself |
| we | us | our | ours | ourselves |
| you | you | your | yours | yourselves |
| they | them | their | theirs | themselves |

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Definition

A **transformational scattered context grammar** G is a quadruple $G = (N, T, P, I)$, where

- N is a finite set of *nonterminals*,
- T is a finite set of *terminals*, called the *output vocabulary*,
 $N \cap T = \emptyset$
- P is a finite set of *rules* of the form

$$(A_1, \dots, A_n) \rightarrow (x_1, \dots, x_n),$$

where $A_1, \dots, A_n \in N$, $x_1, \dots, x_n \in (N \cup T)^*$,

- $I \subseteq N \cup T$ is the *input vocabulary*.

Transformation

Let $G = (N, T, P, S)$ be a transformational SCG. The **transformation** T that G defines from $K \subseteq I^*$ is defined as:

$$T(G, K) = \{(x, y) : x \Rightarrow_G^* y, x \in K, y \in T^*\}$$

Define the transformational SCG $G = (N, T, P, I)$, where
 $N = \{A, B, C\}$, $T = \{a, b, c\}$, $I = \{A, B, C\}$ and
 $P = \{(A, B, C) \rightarrow (a, bb, c)\}$

Example

$AABBC \Rightarrow_G aABbbc \Rightarrow_G aabbbbcc$

$(AABBC, aabbbbcc) \in T(G, I^*)$

- If we restrict the input sentences to the language

$$L = \{A^n B^n C^n : n \geq 1\},$$

we get

$$T(G, L) = \{(A^n B^n C^n, a^n b^{2n} c^n) : n \geq 1\}$$

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Notations

- T – the set of **all English words** including all their inflectional forms
- $T_V \subset T$ – the set of **all verbs** including all their inflectional forms
- $T_{VA} \subset T_V$ – the set of all **auxiliary verbs** including all their inflectional forms
- $T_{Vpl} \subset T_V$ – the set of all **verbs in plain form**
- $T_{PPn} \subset T$ – the set of **personal pronouns in nominative**

Verb paradigms:

- $\pi_{3rd}(V)$ – the verb v in **3rd person singular present**
 - $\pi_{pres}(V)$ – the verb v in **present** (other than 3rd person singular)
 - $\pi_{pret}(V)$ – the verb v in **preterite**
-
- We assume here that the set of all English words T is finite and fixed.

- We want to **negate** the clause.

Example

Neither Thomas *nor* his wife went to the party.
 \Rightarrow *Both* Thomas *and* his wife went to the party.

Set $G = (N, T, P, I)$, where $N = I = \{\langle x \rangle : x \in T\}$ and P is defined as:

$$P = \{(\langle \text{neither} \rangle, \langle \text{nor} \rangle) \rightarrow (\langle \text{both} \rangle, \langle \text{and} \rangle)\} \\ \cup \{(\langle x \rangle) \rightarrow (x) : x \in T - \{\text{neither}, \text{nor}\}\}$$

Example

$\langle \text{neither} \rangle \langle \text{thomas} \rangle \langle \text{nor} \rangle \langle \text{his} \rangle \langle \text{wife} \rangle \langle \text{went} \rangle \langle \text{to} \rangle \langle \text{the} \rangle \langle \text{party} \rangle$
 \Rightarrow_G both $\langle \text{thomas} \rangle$ and $\langle \text{his} \rangle \langle \text{wife} \rangle \langle \text{went} \rangle \langle \text{to} \rangle \langle \text{the} \rangle \langle \text{party} \rangle$
 \Rightarrow_G both thomas and $\langle \text{his} \rangle \langle \text{wife} \rangle \langle \text{went} \rangle \langle \text{to} \rangle \langle \text{the} \rangle \langle \text{party} \rangle$
 \Rightarrow_G both thomas and his $\langle \text{wife} \rangle \langle \text{went} \rangle \langle \text{to} \rangle \langle \text{the} \rangle \langle \text{party} \rangle$
 \Rightarrow_G^5 both thomas and his wife went to the party

- **Existential clause** = clause that indicates an existence.
- Usually formed using the **dummy subject *there***.
- In some cases, however, the dummy subject is not mandatory.

Example

A nurse was present.
⇒ ***There*** was a nurse present.

Set $G = (N, T, P, I)$, where $N = I = \{\langle x \rangle : x \in T\} \cup \{X\}$ (X is a new symbol such that $X \notin T \cup I$) and P is defined as:

$$\begin{aligned} P = & \{(\langle x \rangle, \langle \text{is} \rangle) \rightarrow (\text{there is } x X, \varepsilon), \\ & (\langle x \rangle, \langle \text{are} \rangle) \rightarrow (\text{there are } x X, \varepsilon), \\ & (\langle x \rangle, \langle \text{was} \rangle) \rightarrow (\text{there was } x X, \varepsilon), \\ & (\langle x \rangle, \langle \text{were} \rangle) \rightarrow (\text{there were } x X, \varepsilon) : x \in T\} \\ \cup & \{(X, \langle x \rangle) \rightarrow (X, x) : x \in T\} \\ \cup & \{(X) \rightarrow (\varepsilon)\} \end{aligned}$$

$$\begin{aligned} P = & \{(\langle x \rangle, \langle \text{is} \rangle) \rightarrow (\text{there is } x \ X, \varepsilon), \\ & (\langle x \rangle, \langle \text{are} \rangle) \rightarrow (\text{there are } x \ X, \varepsilon), \\ & (\langle x \rangle, \langle \text{was} \rangle) \rightarrow (\text{there was } x \ X, \varepsilon), \\ & (\langle x \rangle, \langle \text{were} \rangle) \rightarrow (\text{there were } x \ X, \varepsilon): x \in T\} \\ \cup & \{(\langle X \rangle, \langle x \rangle) \rightarrow (X, x): x \in T\} \\ \cup & \{(\langle X \rangle) \rightarrow (\varepsilon)\} \end{aligned}$$

Example

$\langle a \rangle \langle \text{nurse} \rangle \langle \text{was} \rangle \langle \text{present} \rangle$
 \Rightarrow_G there was a $X \langle \text{nurse} \rangle \langle \text{present} \rangle$
 \Rightarrow_G there was a X nurse $\langle \text{present} \rangle$
 \Rightarrow_G there was a X nurse present
 \Rightarrow_G there was a nurse present



- Two ways of transforming declarative clauses into interrogative depending on the predicator.
- ① Predicator is **auxiliary verb** – simply **swap** the subject and the predicator.

Example

He is mowing the lawn.
⇒ ***Is he** mowing the lawn?*

- ② Predicator is **lexical verb** – add the **dummy do** (in the correct form) to the beginning of the clause.

Example

She usually gets up early.
⇒ ***Does** she usually get up early?*

$$\begin{aligned}
 P &= \{(\langle p \rangle, \langle v \rangle) \rightarrow (vp, X) : v \in T_{VA}, p \in T_{PPn}\} \\
 \cup &\{(\langle p \rangle, \langle \pi_{pret}(v) \rangle) \rightarrow (\text{did } p, vX), \\
 &\quad (\langle p \rangle, \langle \pi_{3rd}(v) \rangle) \rightarrow (\text{does } p, vX), \\
 &\quad (\langle p \rangle, \langle \pi_{pres}(v) \rangle) \rightarrow (\text{do } p, vX) : v \in T_{Vpl} - T_{VA}, p \in T_{PPn}\} \\
 \cup &\{(\langle x \rangle, X) \rightarrow (x, X), \\
 &\quad (X, \langle y \rangle) \rightarrow (X, y) : x \in T - T_V, y \in T\} \\
 \cup &\{(X) \rightarrow (\varepsilon)\}
 \end{aligned}$$

Example

$\langle \text{he} \rangle \langle \text{is} \rangle \langle \text{mowing} \rangle \langle \text{the} \rangle \langle \text{lawn} \rangle$
 \Rightarrow_G is he **X** $\langle \text{mowing} \rangle \langle \text{the} \rangle \langle \text{lawn} \rangle$
 \Rightarrow_G is he **X** mowing $\langle \text{the} \rangle \langle \text{lawn} \rangle$
 \Rightarrow_G is he **X** mowing the $\langle \text{lawn} \rangle$
 \Rightarrow_G is he **X** mowing the lawn
 \Rightarrow_G is he mowing the lawn

$$\begin{aligned}
 P &= \{(\langle p \rangle, \langle v \rangle) \rightarrow (vp, X) : v \in T_{VA}, p \in T_{PPn}\} \\
 \cup &\{(\langle p \rangle, \langle \pi_{pret}(v) \rangle) \rightarrow (\text{did } p, vX), \\
 &\quad (\langle p \rangle, \langle \pi_{3rd}(v) \rangle) \rightarrow (\text{does } p, vX), \\
 &\quad (\langle p \rangle, \langle \pi_{pres}(v) \rangle) \rightarrow (\text{do } p, vX) : v \in T_{Vpl} - T_{VA}, p \in T_{PPn}\} \\
 \cup &\{(\langle x \rangle, X) \rightarrow (x, X), \\
 &\quad (X, \langle y \rangle) \rightarrow (X, y) : x \in T - T_V, y \in T\} \\
 \cup &\{(X) \rightarrow (\varepsilon)\}
 \end{aligned}$$

Example

$\langle \text{she} \rangle \langle \text{usually} \rangle \langle \text{gets} \rangle \langle \text{up} \rangle \langle \text{early} \rangle$
 \Rightarrow_G does she $\langle \text{usually} \rangle$ get X $\langle \text{up} \rangle \langle \text{early} \rangle$
 \Rightarrow_G does she usually get X $\langle \text{up} \rangle \langle \text{early} \rangle$
 \Rightarrow_G does she usually get X up $\langle \text{early} \rangle$
 \Rightarrow_G does she usually get X up early
 \Rightarrow_G does she usually get up early



- So far, we have assumed that the set of English words is finite.
 - Reasonable assumption in practice – we all commonly use a finite and fixed vocabulary in everyday English.
- From theoretical point of view, the set of all well-formed English words is **infinite**.

Example

Your *grandparents* are all your *grandfathers* and all your *grandmothers*.

Your *great-grandparents* are all your *great-grandfathers* and all your *great-grandmothers*.

Your *great-great-grandparents* are all your *great-great-grandfathers* and all your *great-great-grandmothers*.

⋮

$L = \{\text{your } \{\text{great-}\}^i \text{grandparents are all your } \{\text{great}\}^i \text{grandfathers and all your } \{\text{great}\}^i \text{grandmothers} : i \geq 0\}$

Introduce the SCG $G = (N, T, P, S)$, where $T = \{\text{all, and, are, grandfathers, grandmothers, grandparents, great-, your}\}$, $N = \{S, \#\}$, and P consists of these three productions:

- $(S) \rightarrow (\text{your \#grandparents are all your \#grandfathers and all your \#grandmothers}),$
- $(\#, \#, \#) \rightarrow (\#great-, \#great-, \#great-),$
- $(\#, \#, \#) \rightarrow (\varepsilon, \varepsilon, \varepsilon)$

Example

$S \Rightarrow_G \text{your \#grandparents are all your \#grandfathers and all your \#grandmothers}$
 $\Rightarrow_G \text{your \#great-grandparents are all your \#great-grandfathers and all your \#great-grandmothers}$
 $\Rightarrow_G \text{your great-grandparents are all your great-grandfathers and all your great-grandmothers}$



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