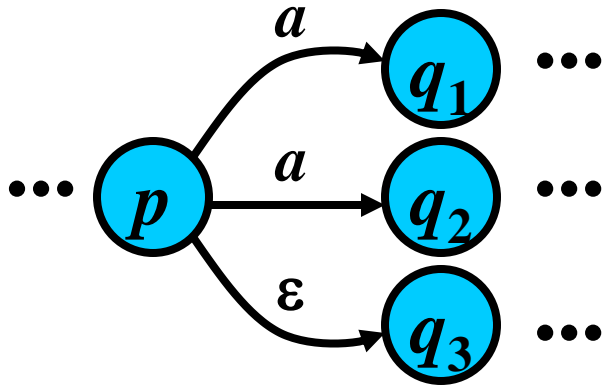


Restricted Finite Automata

Theory vs. Practice

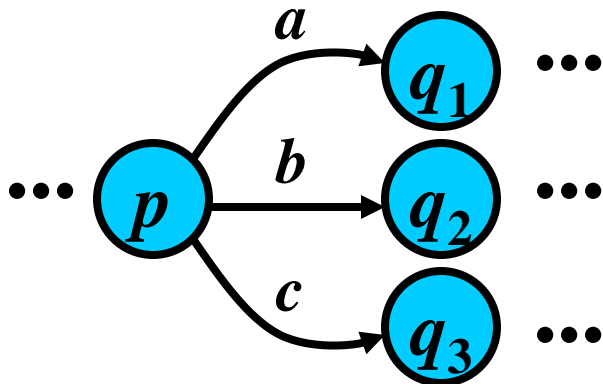
a) Configuration: pax



Next Configuration:
 q_1x or q_2x or q_3ax ?

Theory: 😊 × Practice: ☹️

b) Configuration: pax



Next Configuration:
 only q_1x

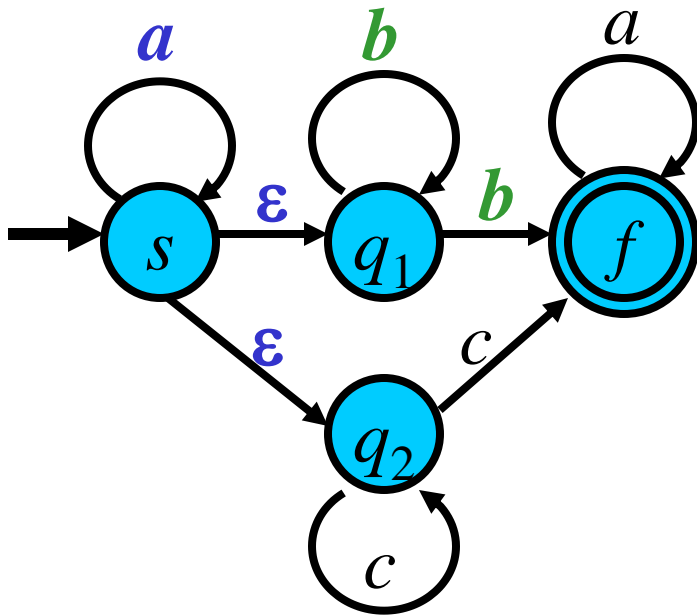
Theory: ☹️ × Practice: 😊

Use of FA in General

Simulation of all possible moves from every configuration.

Example:

FA M is defined as:



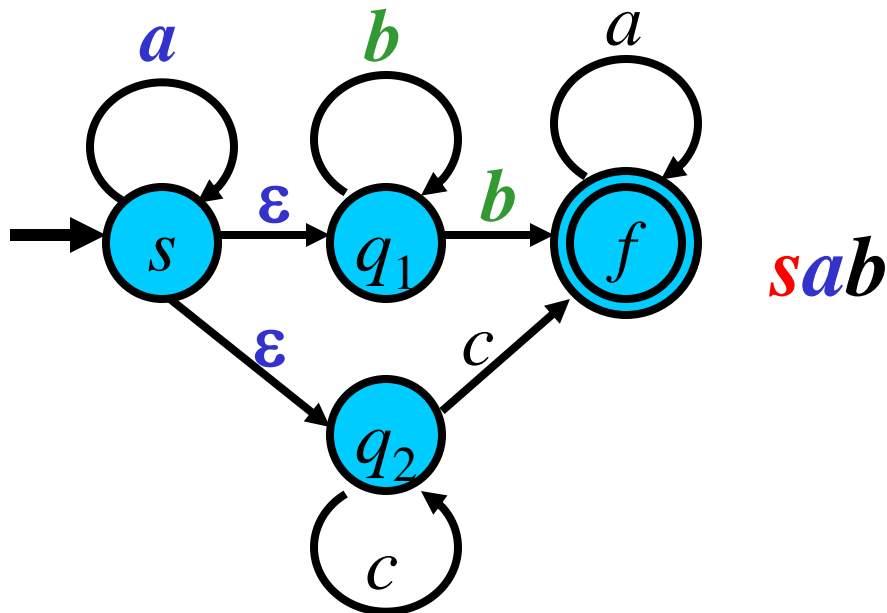
Question: $ab \in L(M)$?

Use of FA in General

Simulation of all possible moves from every configuration.

Example:

FA M is defined as:



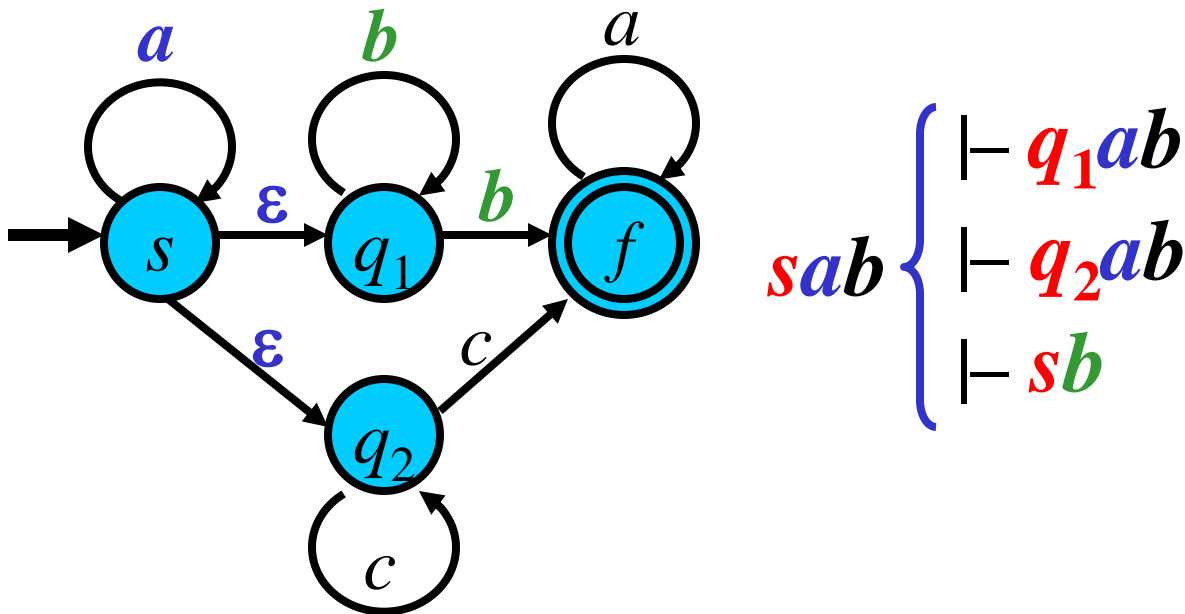
Question: $ab \in L(M)$?

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Simulation of all possible moves from every configuration.

Example:

FA M is defined as:



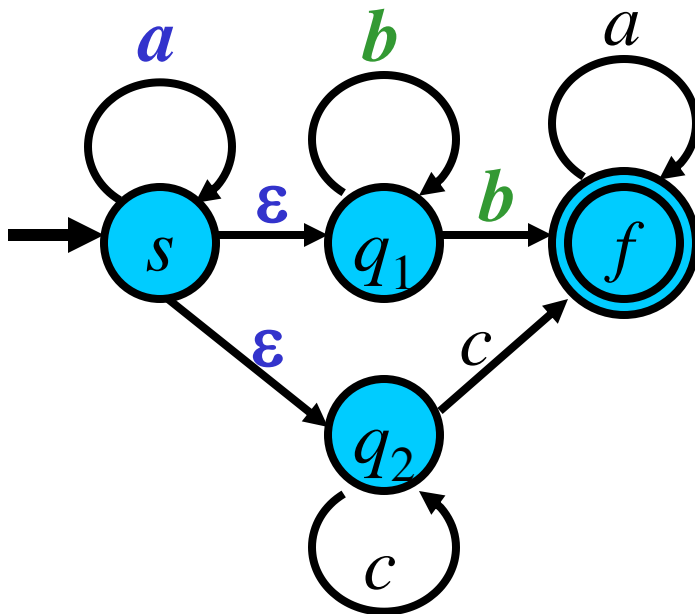
Question: $ab \in L(M)$?

Use of FA in General

Simulation of all possible moves from every configuration.

Example:

FA M is defined as:



sab {

- ├─ q_1ab
- ├─ q_2ab
- ├─ sb

No next configuration

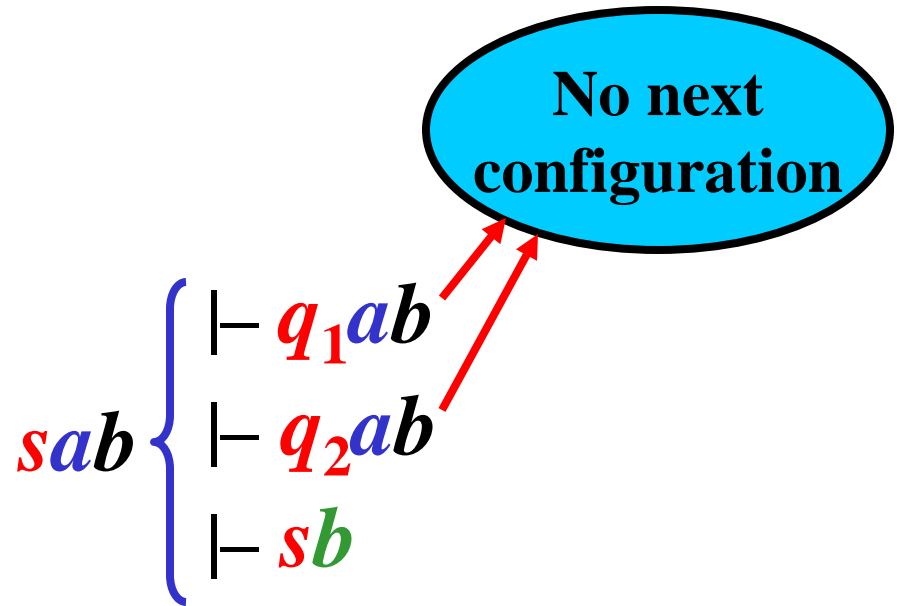
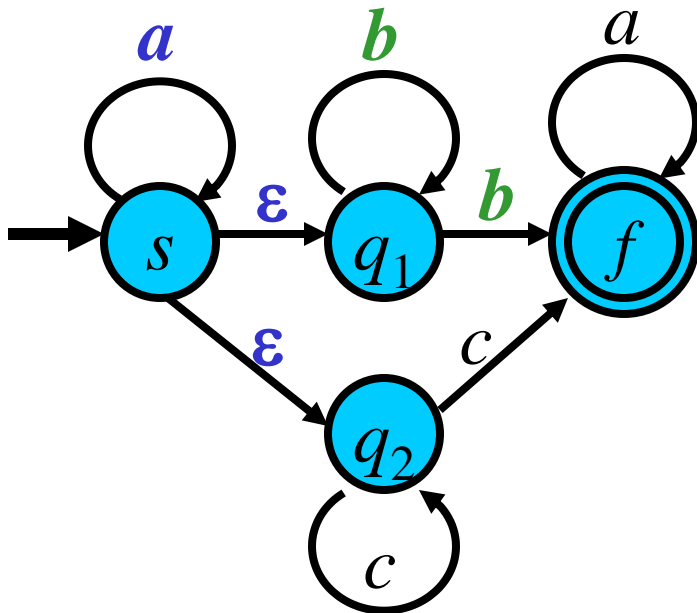
Question: $ab \in L(M)$?

Use of FA in General

Simulation of all possible moves from every configuration.

Example:

FA M is defined as:



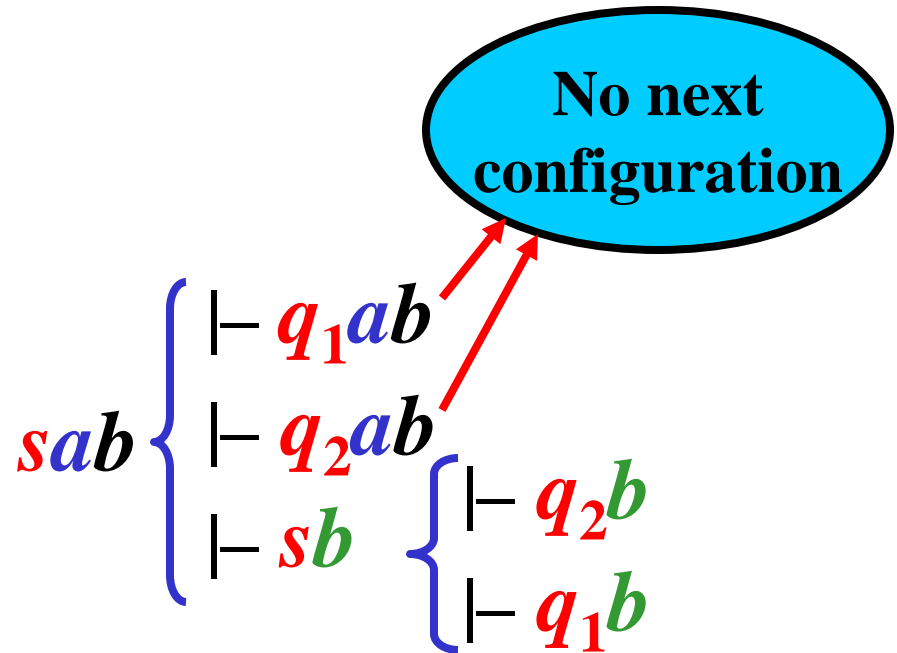
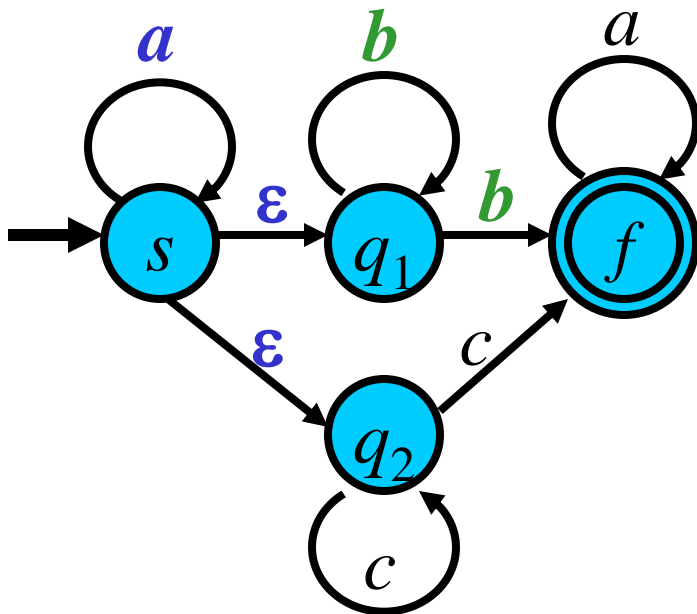
Question: $ab \in L(M)$?

Use of FA in General

Simulation of all possible moves from every configuration.

Example:

FA M is defined as:



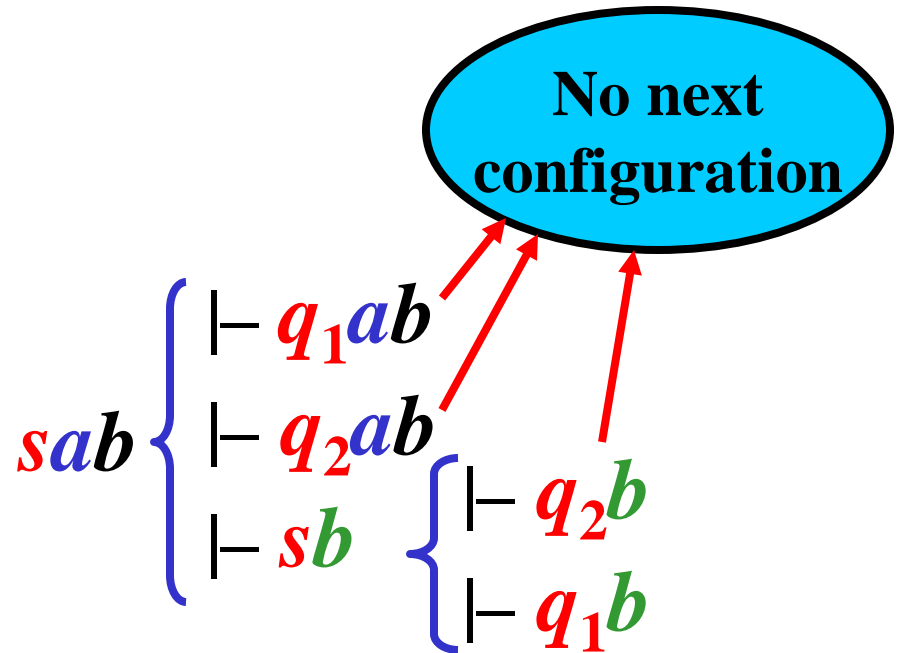
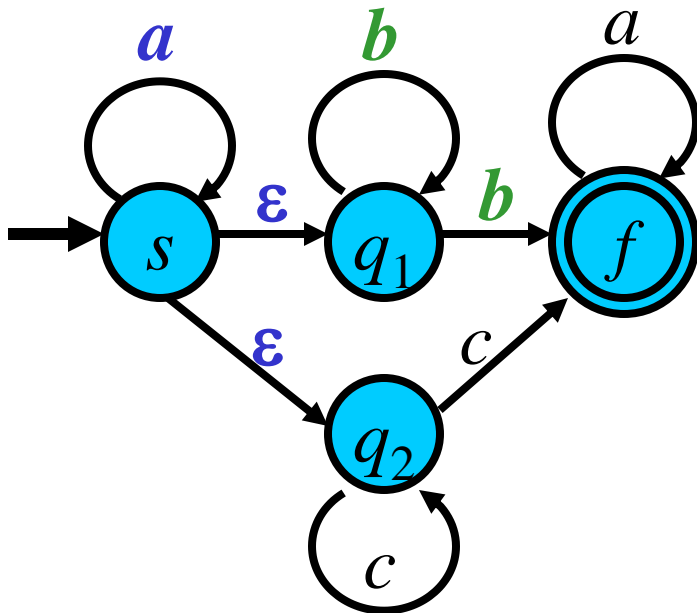
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Use of FA in General

Simulation of all possible moves from every configuration.

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FA M is defined as:



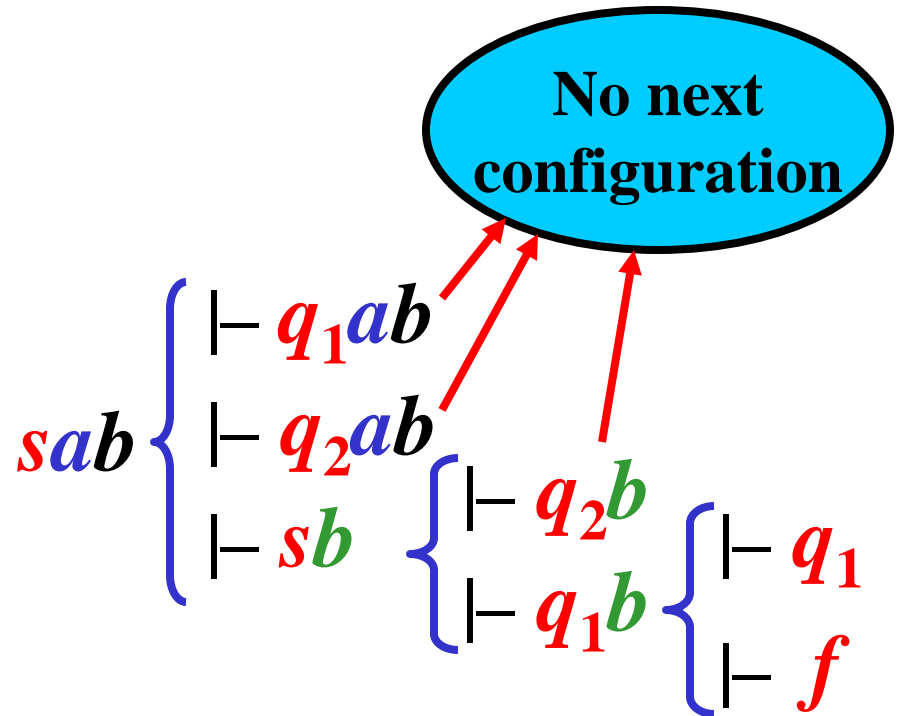
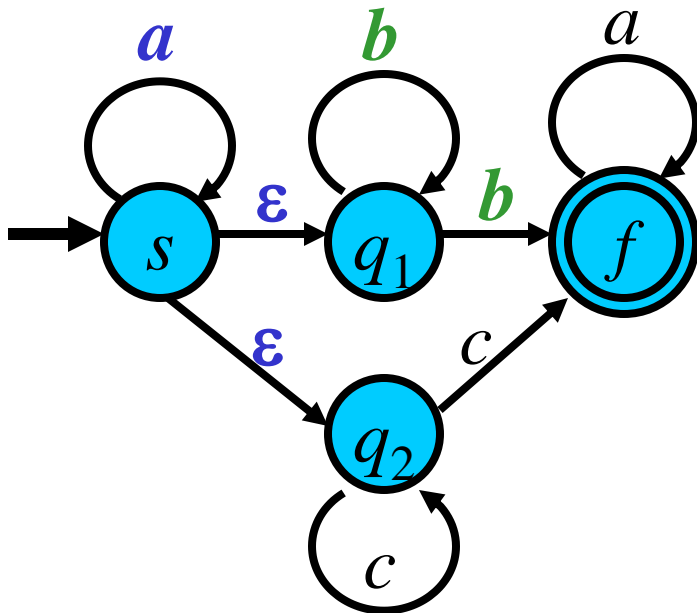
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Use of FA in General

Simulation of all possible moves from every configuration.

Example:

FA M is defined as:



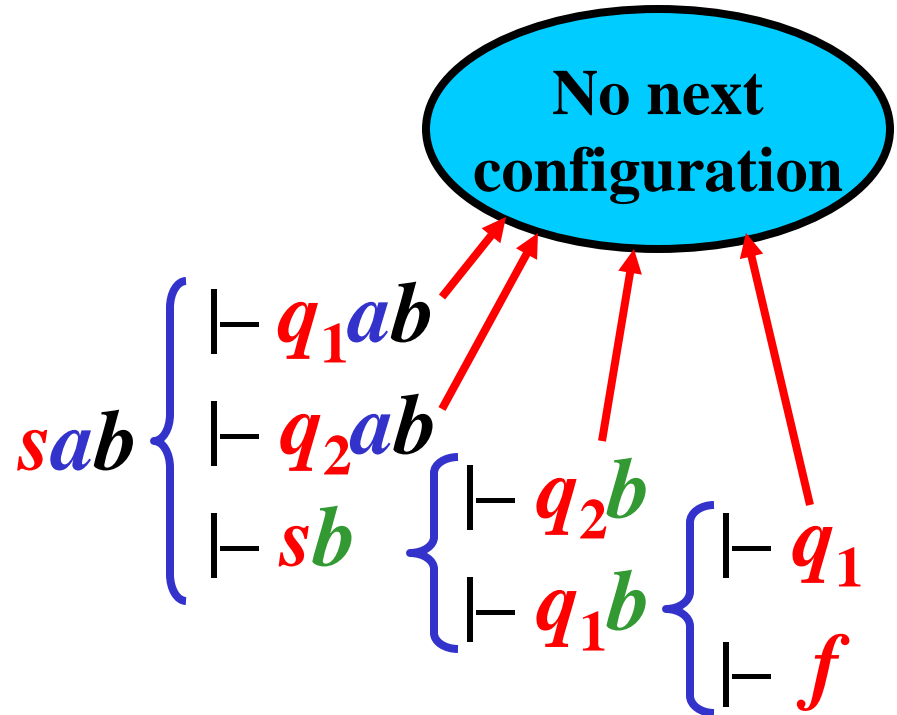
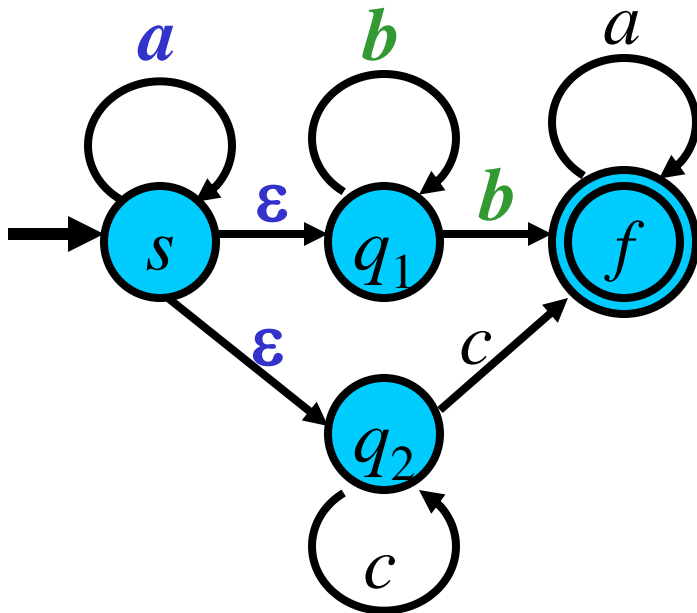
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Use of FA in General

Simulation of all possible moves from every configuration.

Example:

FA M is defined as:



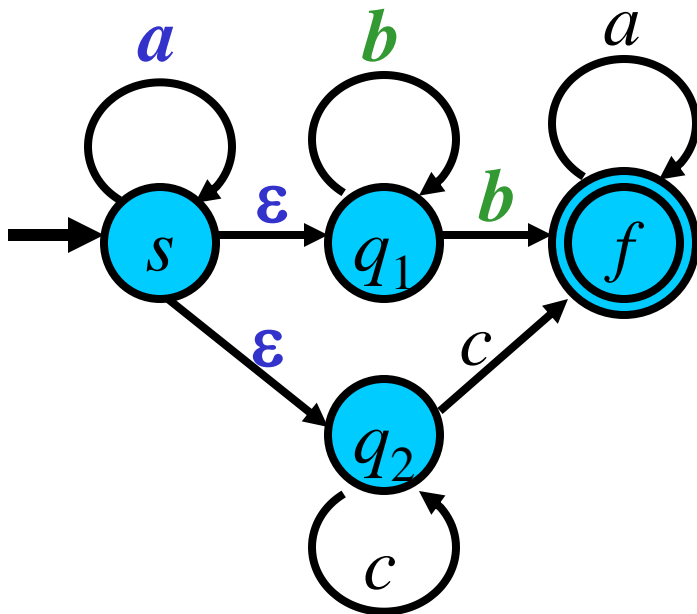
Question: $ab \in L(M)$?

Use of FA in General

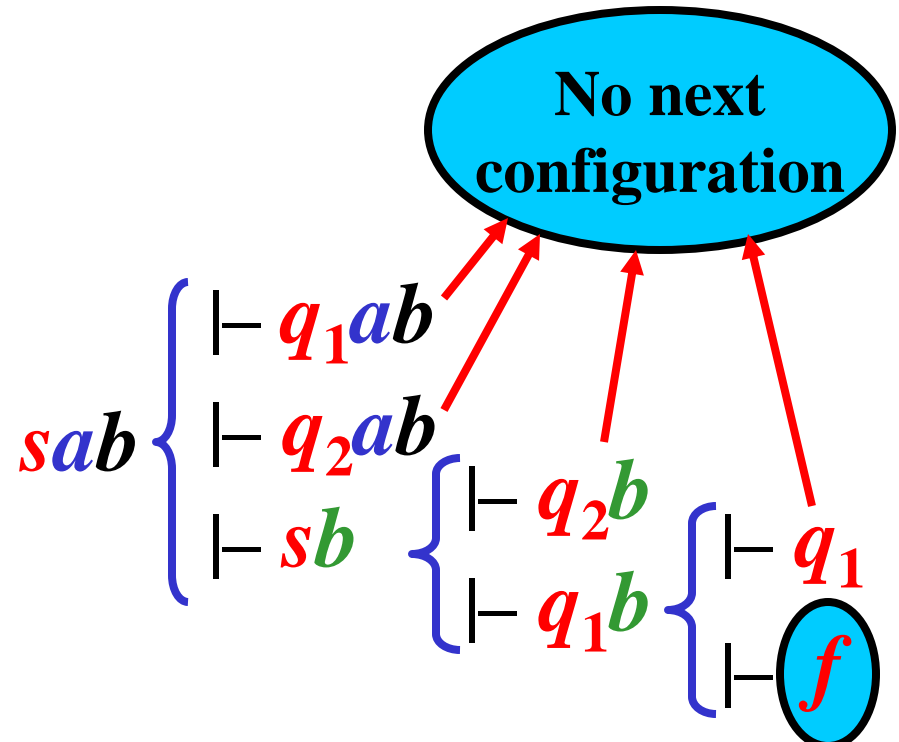
Simulation of all possible moves from every configuration.

Example:

FA M is defined as:



Question: $ab \in L(M)$?

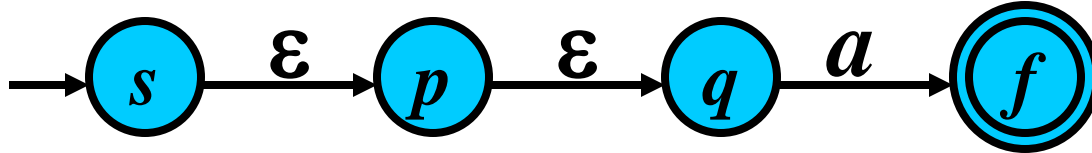


Answer: **YES**, $ab \in L(M)$
because $f \in F$.

From FA to DFA in Essence 1/2

Preference in practice: *Deterministic FA (DFA)* that makes no more than one move from every configuration.

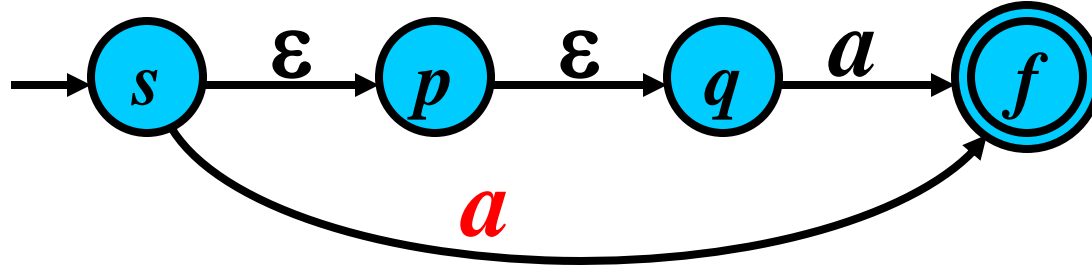
1) Gist: Removal of ϵ -moves



From FA to DFA in Essence 1/2

Preference in practice: *Deterministic FA (DFA)* that makes no more than one move from every configuration.

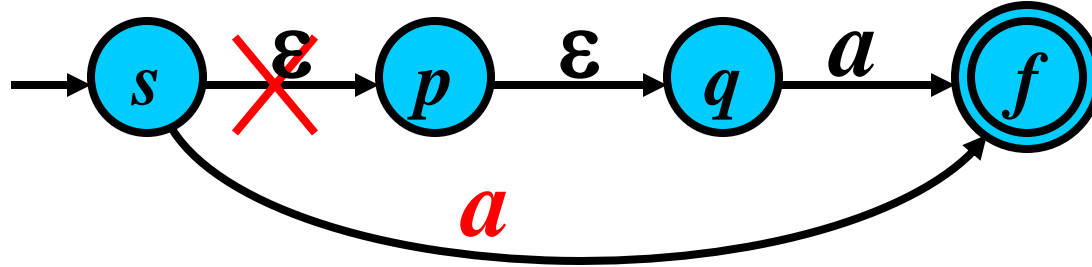
1) Gist: Removal of ϵ -moves



From FA to DFA in Essence 1/2

Preference in practice: *Deterministic FA (DFA)* that makes no more than one move from every configuration.

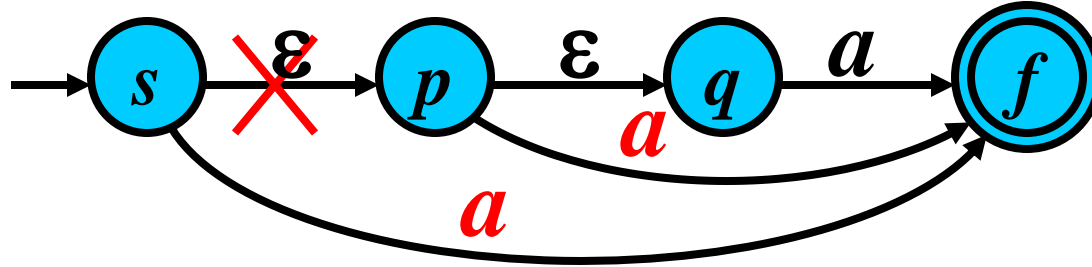
1) Gist: Removal of ϵ -moves



From FA to DFA in Essence 1/2

Preference in practice: *Deterministic FA (DFA)* that makes no more than one move from every configuration.

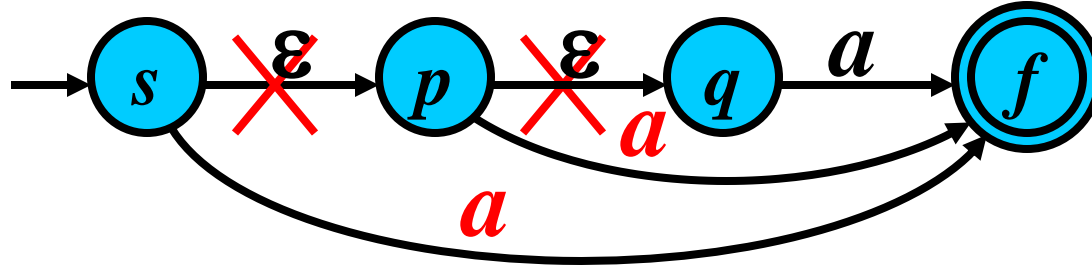
1) Gist: Removal of ϵ -moves



From FA to DFA in Essence 1/2

Preference in practice: *Deterministic FA (DFA)* that makes no more than one move from every configuration.

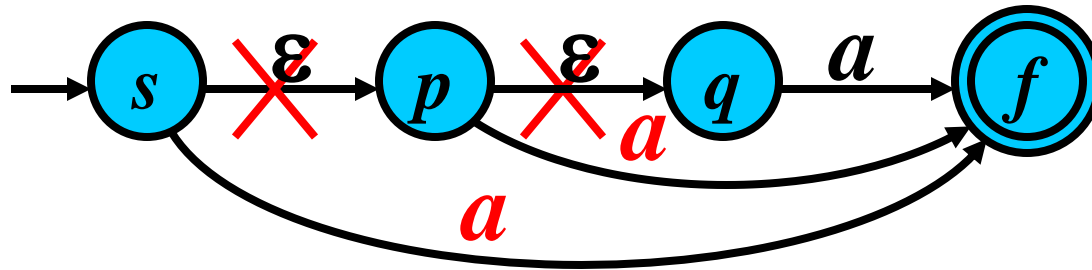
1) Gist: Removal of ϵ -moves



From FA to DFA in Essence 1/2

Preference in practice: *Deterministic FA (DFA)* that makes no more than one move from every configuration.

1) Gist: Removal of ε -moves

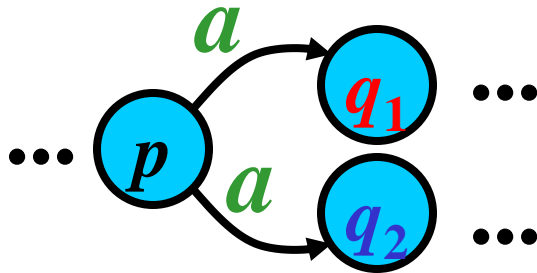


Definition: Let $M = (Q, \Sigma, R, s, F)$ be a FA. M is an ε -free finite automaton if for all rules $pa \rightarrow q \in R$, where $p, q \in Q$, holds

$$a \in \Sigma (a \neq \varepsilon)$$

From FA to DFA in Essence 2/2

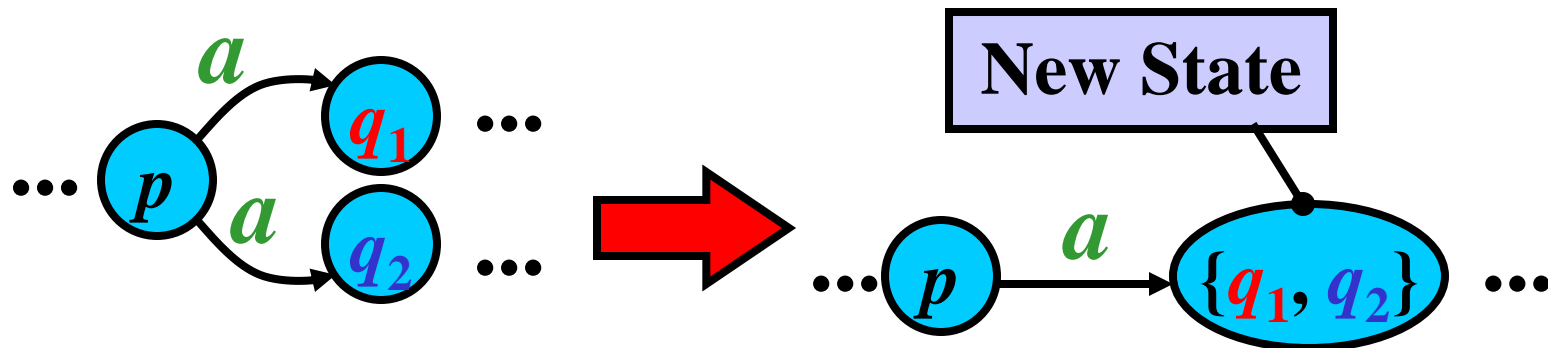
2) Gist: Removal of nondeterminism



Definition: Let $M = (Q, \Sigma, R, s, F)$ be an **ϵ -free FA**. M is a *deterministic finite automaton* (DFA) if for each rule $pa \rightarrow q \in R$ it holds that $R - \{pa \rightarrow q\}$ contains no rule with the left-hand side equal to pa .

From FA to DFA in Essence 2/2

2) Gist: Removal of nondeterminism

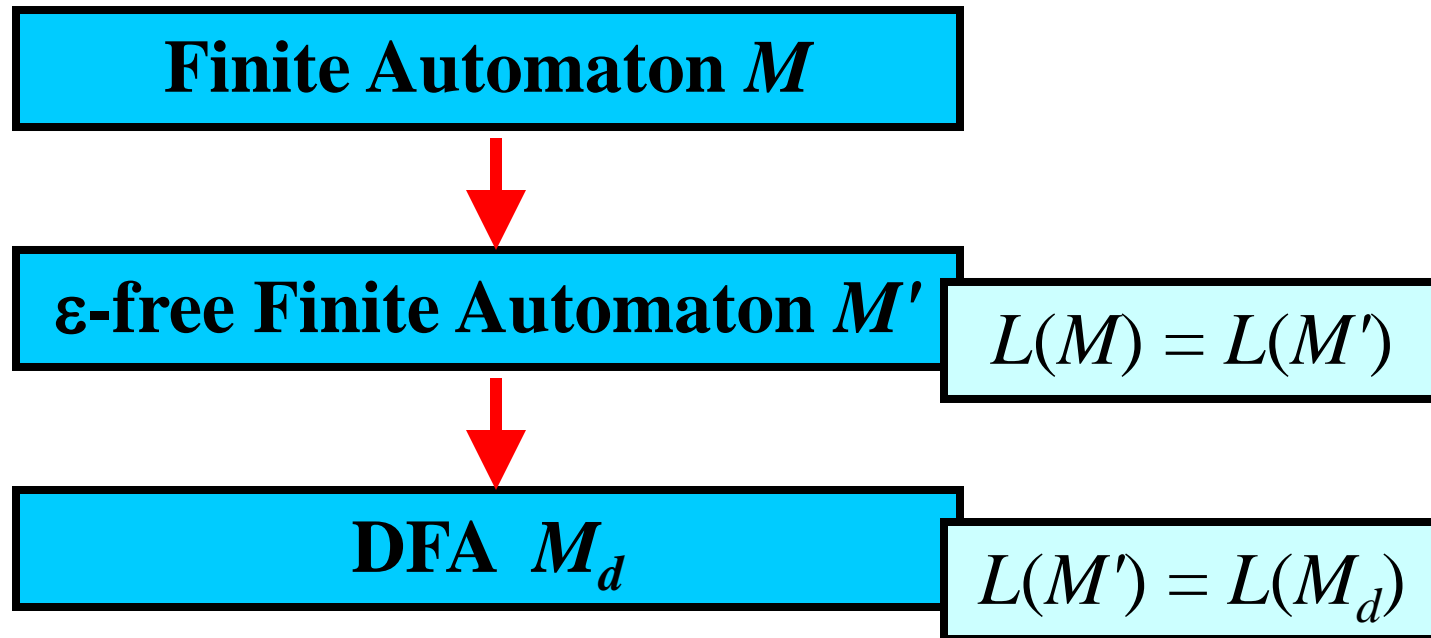


Definition: Let $M = (Q, \Sigma, R, s, F)$ be an **ϵ -free FA**. M is a *deterministic finite automaton* (DFA) if for each rule $pa \rightarrow q \in R$ it holds that $R - \{pa \rightarrow q\}$ contains no rule with the left-hand side equal to pa .

Theorem

- For every FA M , there is an equivalent DFA M_d .

Proof is based on these conversions:

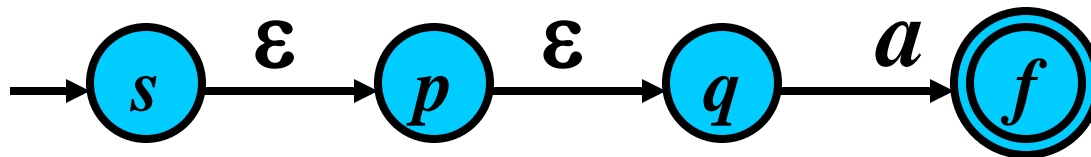


ε -closure

Gist: q is in ε -closure(p) if FA can reach q from p without reading.

Definition: For every states $p \in Q$, we define a set ε -closure(p) as ε -closure(p) = $\{q: q \in Q, p \vdash^* q\}$

Example:

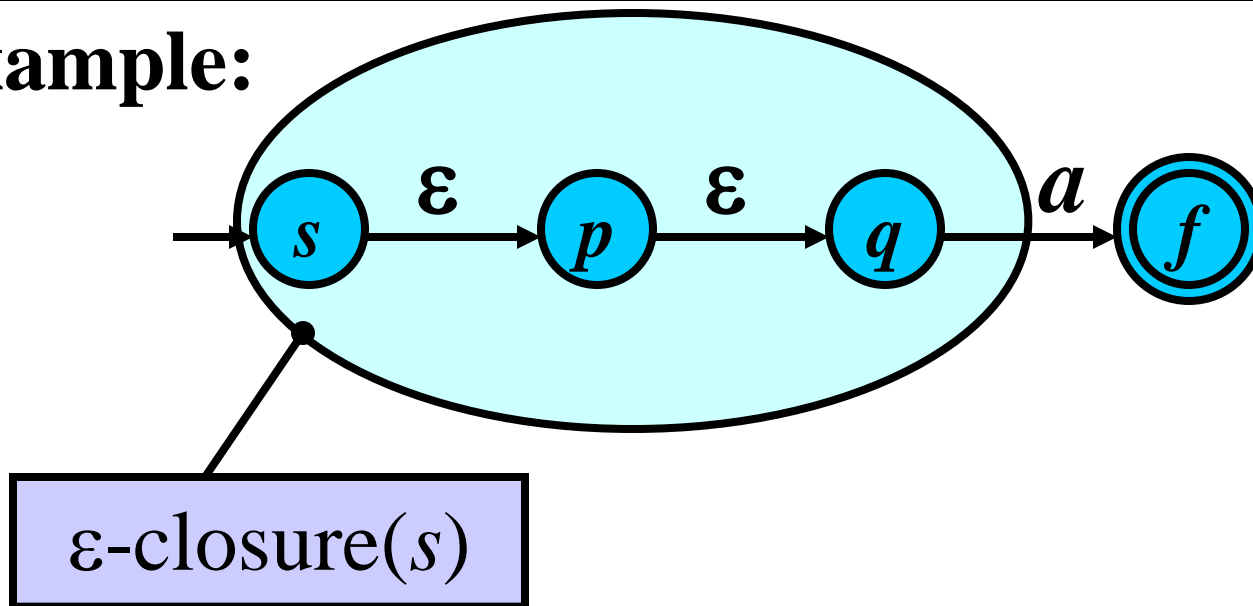


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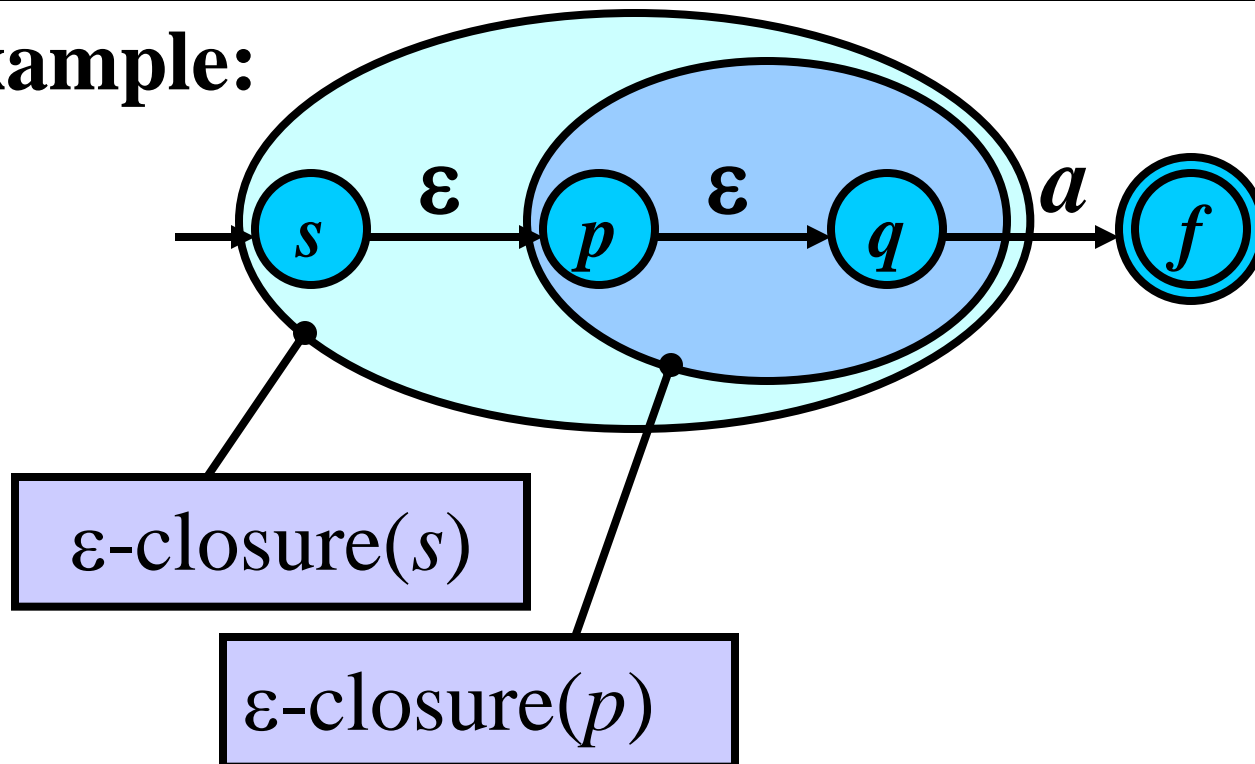


ϵ -closure

Gist: q is in ϵ -closure(p) if FA can reach q from p without reading.

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Example:

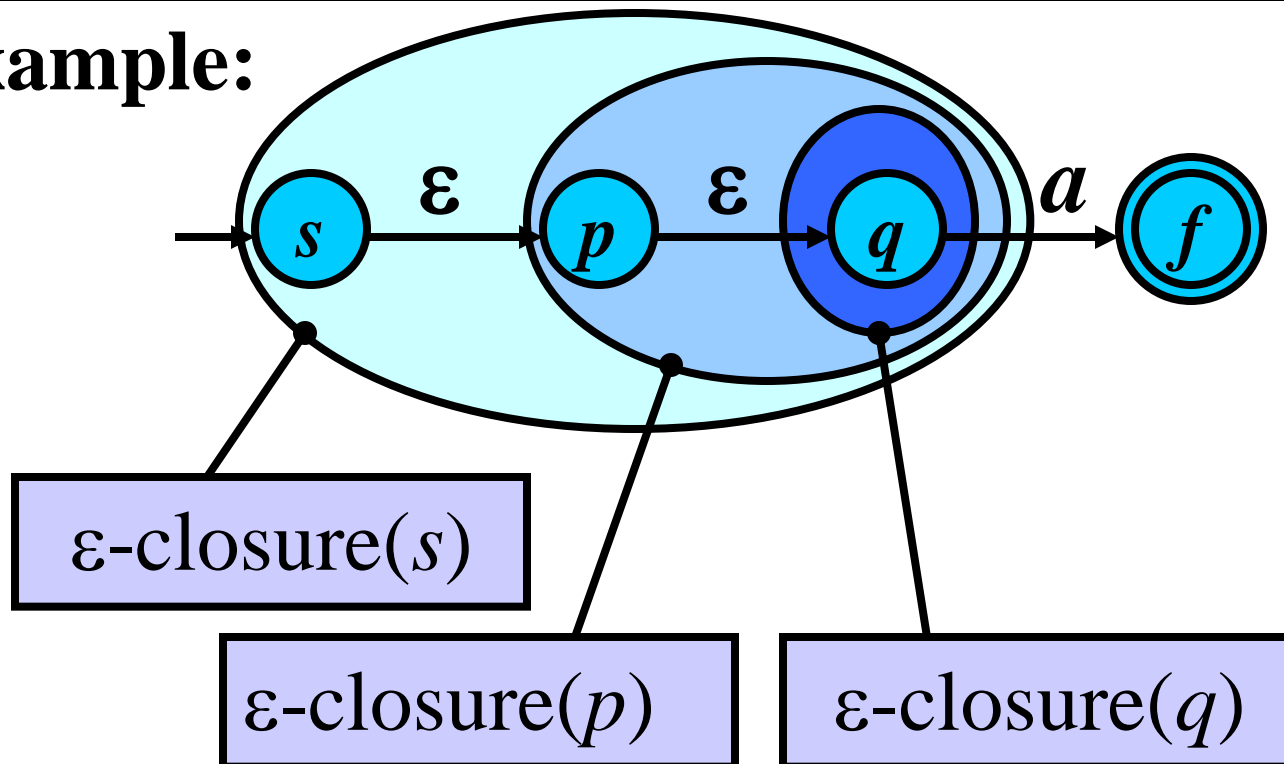


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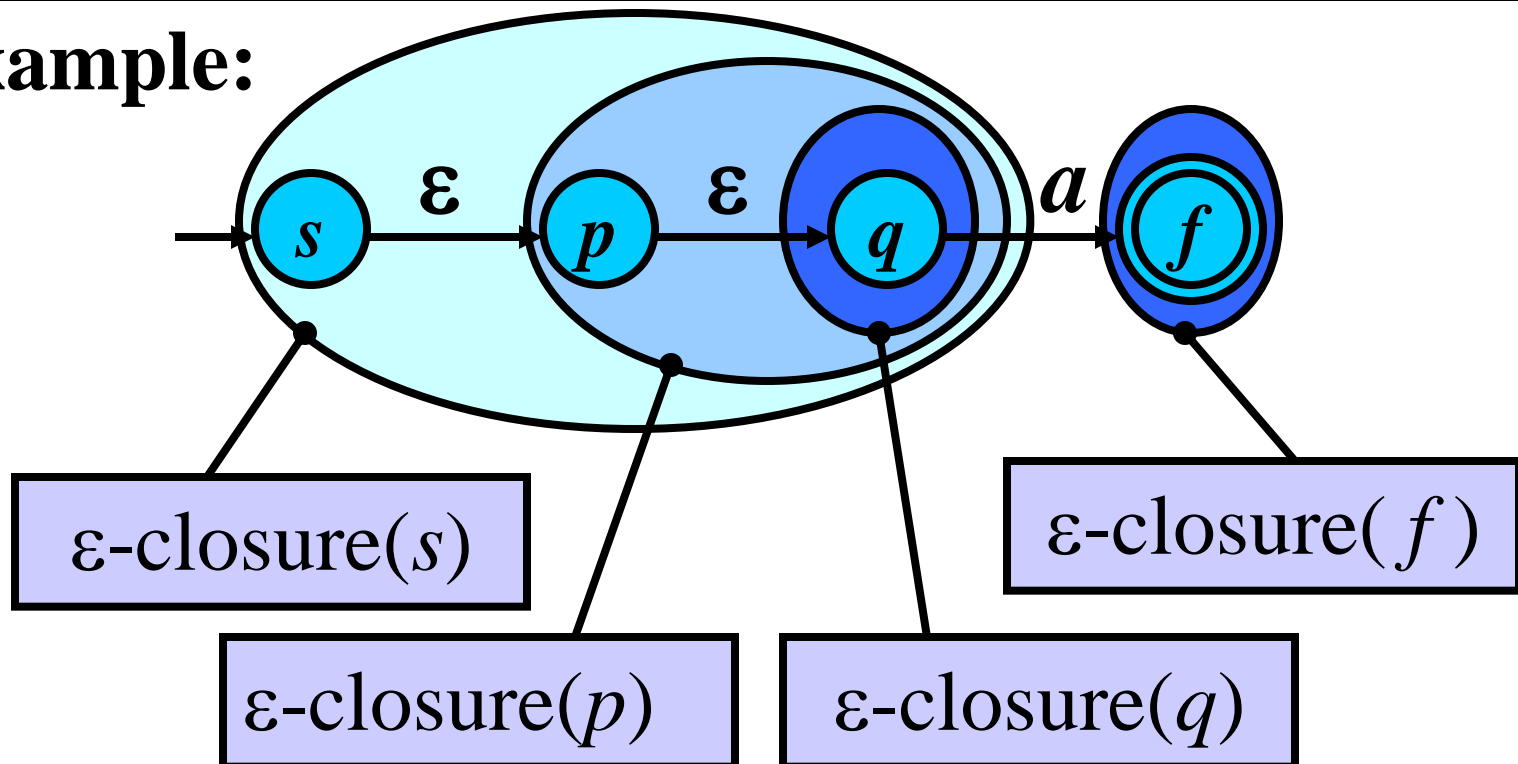


ϵ -closure

Gist: q is in ϵ -closure(p) if FA can reach q from p without reading.

Definition: For every states $p \in Q$, we define a set ϵ -closure(p) as ϵ -closure(p) = $\{q: q \in Q, p \vdash^* q\}$

Example:



Algorithm: ε -closure

- **Input:** $M = (Q, \Sigma, R, s, F); p \in Q$
 - **Output:** ε -closure(p)
-

- **Method:**

- $i := 0; Q_0 := \{p\};$

- **repeat**

- $i := i + 1;$

- $Q_i := Q_{i-1} \cup \{ p' : p' \in Q, q \rightarrow p' \in R, q \in Q_{i-1} \};$

- until** $Q_i = Q_{i-1};$

- ε -closure(p) := Q_i .

ε -closure: Example

$M = (Q, \Sigma, R, s, F)$, where: $Q = \{s, p, q, f\}$, $\Sigma = \{a\}$,
 $R = \{s \rightarrow p, p \rightarrow q, qa \rightarrow f\}$, $F = \{f\}$

Task: ε -closure(s)

ε -closure: Example

$M = (Q, \Sigma, R, s, F)$, where: $Q = \{s, p, q, f\}$, $\Sigma = \{a\}$,
 $R = \{s \rightarrow p, p \rightarrow q, qa \rightarrow f\}$, $F = \{f\}$

Task: ε -closure(s)

$$Q_0 = \{s\}$$

ε -closure: Example

$M = (Q, \Sigma, R, s, F)$, where: $Q = \{s, p, q, f\}$, $\Sigma = \{a\}$,
 $R = \{s \rightarrow p, p \rightarrow q, qa \rightarrow f\}$, $F = \{f\}$

Task: ε -closure(s)

$$Q_0 = \{s\}$$

1) $s \rightarrow p'$; $p' \in Q$: $s \rightarrow p$

$$Q_1 = \{s\} \cup \{p\} = \{s, p\}$$

ε -closure: Example

$M = (Q, \Sigma, R, s, F)$, where: $Q = \{s, p, q, f\}$, $\Sigma = \{a\}$,
 $R = \{s \rightarrow p, p \rightarrow q, qa \rightarrow f\}$, $F = \{f\}$

Task: ε -closure(s)

$$Q_0 = \{s\}$$

$$1) \quad s \rightarrow p'; p' \in Q: \quad s \rightarrow p$$

$$Q_1 = \{s\} \cup \{p\} = \{s, p\}$$

$$2) \quad s \rightarrow p'; p' \in Q: \quad s \rightarrow p$$

$$p \rightarrow p'; p' \in Q: \quad p \rightarrow q$$

$$Q_2 = \{s, p\} \cup \{p, q\} = \{s, p, q\}$$

ε -closure: Example

$M = (Q, \Sigma, R, s, F)$, where: $Q = \{s, p, q, f\}$, $\Sigma = \{a\}$,
 $R = \{s \rightarrow p, p \rightarrow q, qa \rightarrow f\}$, $F = \{f\}$

Task: ε -closure(s)

$$Q_0 = \{s\}$$

$$1) \quad s \rightarrow p'; p' \in Q: \quad s \rightarrow p$$

$$Q_1 = \{s\} \cup \{p\} = \{s, p\}$$

$$2) \quad s \rightarrow p'; p' \in Q: \quad s \rightarrow p$$

$$p \rightarrow p'; p' \in Q: \quad p \rightarrow q$$

$$Q_2 = \{s, p\} \cup \{p, q\} = \{s, p, q\}$$

$$3) \quad s \rightarrow p'; p' \in Q: \quad s \rightarrow p$$

$$p \rightarrow p'; p' \in Q: \quad p \rightarrow q$$

$$q \rightarrow p'; p' \in Q: \quad \text{none}$$

$$Q_3 = \{s, p, q\} \cup \{p, q\} = \{s, p, q\} = Q_2 = \varepsilon\text{-closure}(s)$$

Algorithm: FA to ε -free FA

Gist: Skip all ε -moves

• **Input:** FA $M = (Q, \Sigma, R, s, F)$

• **Output:** ε -free FA $M' = (Q, \Sigma, R', s, F')$

• **Method:**

• $R' := \emptyset$;

• **for all** $p \in Q$ **do**

$$R' := R' \cup \{ pa \rightarrow q : p'a \rightarrow q \in R, a \in \Sigma, \\ p' \in \varepsilon\text{-closure}(p), q \in Q \};$$

• $F' := \{ p : p \in Q, \varepsilon\text{-closure}(p) \cap F \neq \emptyset \}$.

Algorithm: FA to ε -free FA

Gist: Skip all ε -moves

- **Input:** FA $M = (Q, \Sigma, R, s, F)$
- **Output:** ε -free FA $M' = (Q, \Sigma, R', s, F')$

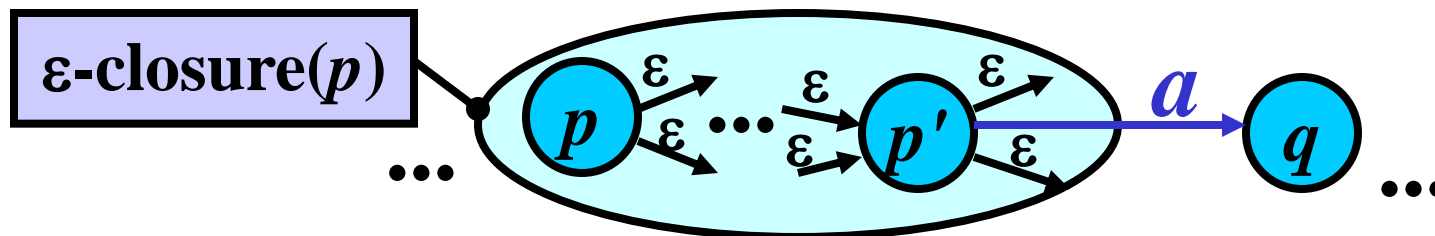
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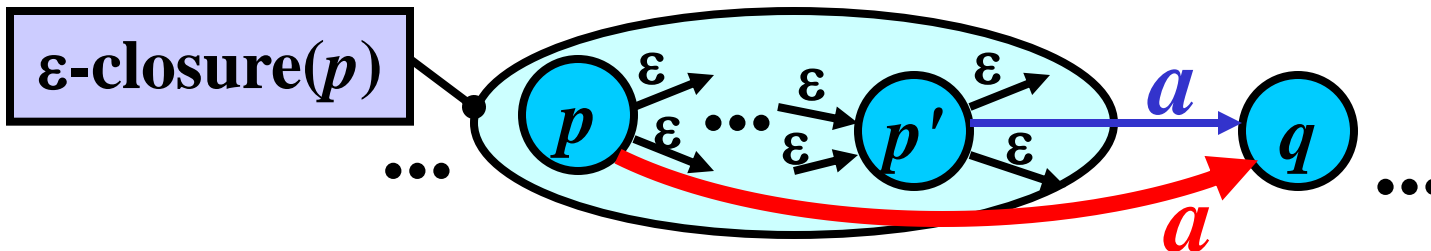
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- $R' := \emptyset$;
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- $F' := \{ p : p \in Q, \varepsilon\text{-closure}(p) \cap F \neq \emptyset \}$.



FA to ε -free FA: Example 1/3

$M = (Q, \Sigma, R, s, F)$, where:

$Q = \{s, q_1, q_2, f\}; \Sigma = \{a, b, c\};$

$R = \{sa \rightarrow s, s \rightarrow q_1, q_1b \rightarrow q_1, q_1b \rightarrow f, s \rightarrow q_2,$
 $q_2c \rightarrow q_2, q_2c \rightarrow f, fa \rightarrow f\}; F = \{f\}$

FA to ε -free FA: Example 1/3

$M = (Q, \Sigma, R, s, F)$, where:

$Q = \{s, q_1, q_2, f\}; \Sigma = \{a, b, c\};$

$R = \{sa \rightarrow s, s \rightarrow q_1, q_1b \rightarrow q_1, q_1b \rightarrow f, s \rightarrow q_2,$
 $q_2c \rightarrow q_2, q_2c \rightarrow f, fa \rightarrow f\}; F = \{f\}$

1) for $p = s$: ε -closure(s) = $\{s, q_1, q_2\}$

A. $sd \rightarrow q', d \in \Sigma, q' \in Q$: $sa \rightarrow s$

B. $q_1d \rightarrow q', d \in \Sigma, q' \in Q$: $q_1b \rightarrow q_1, q_1b \rightarrow f$

C. $q_2d \rightarrow q', d \in \Sigma, q' \in Q$: $q_2c \rightarrow q_2, q_2c \rightarrow f$

$R' = \emptyset \cup \{sa \rightarrow s, sb \rightarrow q_1, sb \rightarrow f, sc \rightarrow q_2, sc \rightarrow f\}$

FA to ε -free FA: Example 2/3

FA to ε -free FA: Example 2/3

2) for $p = q_1$: ε -closure(q_1) = $\{q_1\}$

A. $q_1 d \rightarrow q'$; $d \in \Sigma$; $q' \in Q$: $q_1 b \rightarrow q_1$, $q_1 b \rightarrow f$

$R' = R' \cup \{q_1 b \rightarrow q_1, q_1 b \rightarrow f\}$

FA to ε -free FA: Example 2/3

2) for $p = q_1$: ε -closure(q_1) = $\{q_1\}$

A. $q_1 d \rightarrow q'$; $d \in \Sigma$; $q' \in Q$: $q_1 b \rightarrow q_1$, $q_1 b \rightarrow f$

$R' = R' \cup \{q_1 b \rightarrow q_1, q_1 b \rightarrow f\}$

3) for $p = q_2$: ε -closure(q_2) = $\{q_2\}$

A. $q_2 d \rightarrow q'$; $d \in \Sigma$; $q' \in Q$: $q_2 c \rightarrow q_2$, $q_2 c \rightarrow f$

$R' = R' \cup \{q_2 c \rightarrow q_2, q_2 c \rightarrow f\}$

FA to ε -free FA: Example 2/3

2) for $p = q_1$: ε -closure(q_1) = $\{q_1\}$

A. $q_1 d \rightarrow q'$; $d \in \Sigma$; $q' \in Q$: $q_1 b \rightarrow q_1$, $q_1 b \rightarrow f$

$R' = R' \cup \{q_1 b \rightarrow q_1, q_1 b \rightarrow f\}$

3) for $p = q_2$: ε -closure(q_2) = $\{q_2\}$

A. $q_2 d \rightarrow q'$; $d \in \Sigma$; $q' \in Q$: $q_2 c \rightarrow q_2$, $q_2 c \rightarrow f$

$R' = R' \cup \{q_2 c \rightarrow q_2, q_2 c \rightarrow f\}$

4) for $p = f$: ε -closure(f) = $\{f\}$

A. $f d \rightarrow q'$; $d \in \Sigma$; $q' \in Q$: $f a \rightarrow f$

$R' = R' \cup \{f a \rightarrow f\}$

FA to ε -free FA: Example 2/3

2) for $p = q_1$: ε -closure(q_1) = $\{q_1\}$

A. $q_1 d \rightarrow q'$; $d \in \Sigma$; $q' \in Q$: $q_1 b \rightarrow q_1$, $q_1 b \rightarrow f$

$R' = R' \cup \{q_1 b \rightarrow q_1, q_1 b \rightarrow f\}$

3) for $p = q_2$: ε -closure(q_2) = $\{q_2\}$

A. $q_2 d \rightarrow q'$; $d \in \Sigma$; $q' \in Q$: $q_2 c \rightarrow q_2$, $q_2 c \rightarrow f$

$R' = R' \cup \{q_2 c \rightarrow q_2, q_2 c \rightarrow f\}$

4) for $p = f$: ε -closure(f) = $\{f\}$

A. $f d \rightarrow q'$; $d \in \Sigma$; $q' \in Q$: $f a \rightarrow f$

$R' = R' \cup \{f a \rightarrow f\}$

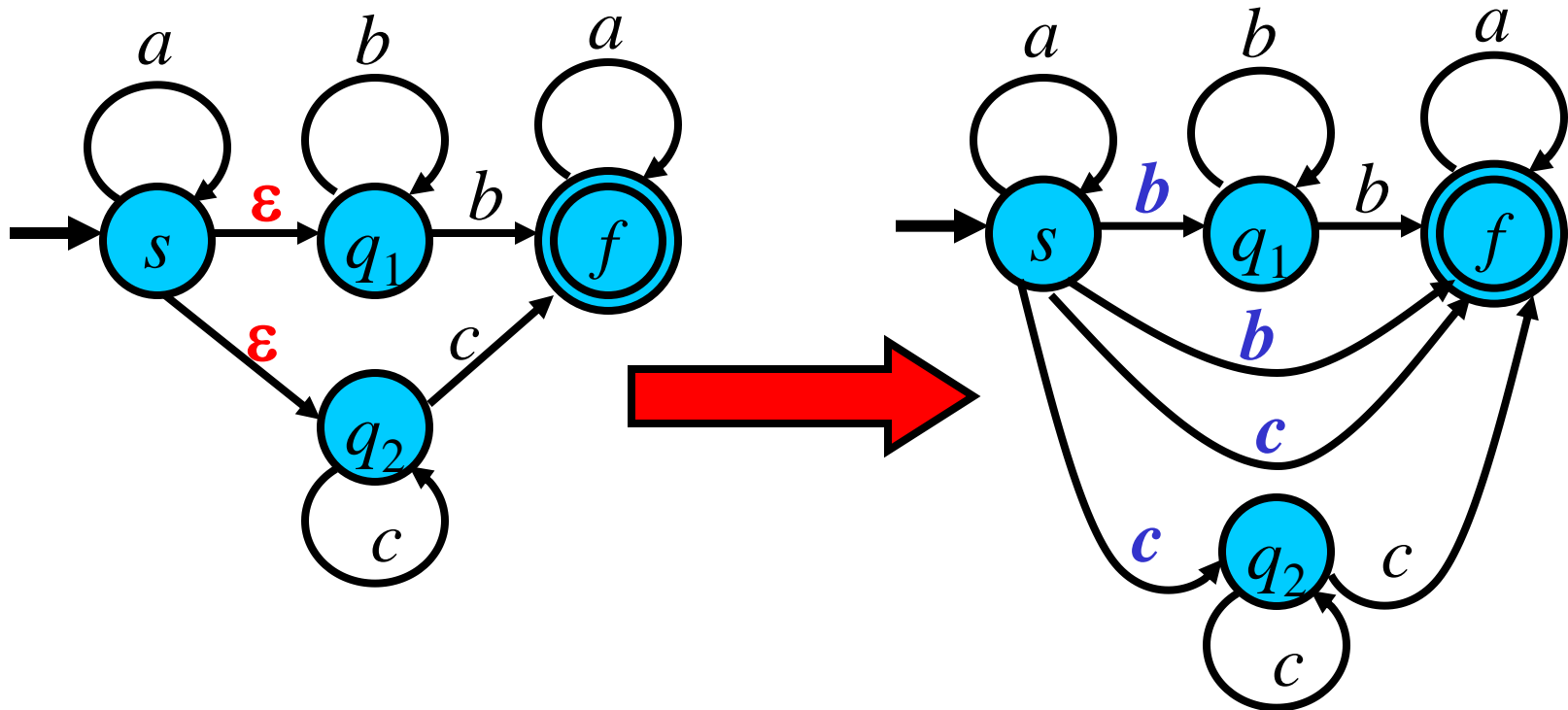
$R' = \{s a \rightarrow s, s b \rightarrow q_1, s b \rightarrow f, s c \rightarrow q_2, s c \rightarrow f,$
 $q_1 b \rightarrow q_1, q_1 b \rightarrow f, q_2 c \rightarrow q_2, q_2 c \rightarrow f, f a \rightarrow f\}$

FA to ε -free FA: Example 3/3

$$\begin{array}{l}
 \varepsilon\text{-closure}(s) \cap F = \{s, q_1, q_2\} \cap \{f\} = \emptyset \\
 \varepsilon\text{-closure}(q_1) \cap F = \{q_1\} \cap \{f\} = \emptyset \\
 \varepsilon\text{-closure}(q_2) \cap F = \{q_2\} \cap \{f\} = \emptyset \\
 \varepsilon\text{-closure}(f) \cap F = \{f\} \cap \{f\} = \{f\} \neq \emptyset
 \end{array}
 \left. \vphantom{\begin{array}{l} \varepsilon\text{-closure}(s) \\ \varepsilon\text{-closure}(q_1) \\ \varepsilon\text{-closure}(q_2) \\ \varepsilon\text{-closure}(f) \end{array}} \right\} F' = \{f\}$$

FA to ϵ -free FA: Example 3/3

$$\begin{array}{l}
 \epsilon\text{-closure}(s) \cap F = \{s, q_1, q_2\} \cap \{f\} = \emptyset \\
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 \epsilon\text{-closure}(f) \cap F = \{f\} \cap \{f\} = \{f\} \neq \emptyset
 \end{array}
 \left. \vphantom{\begin{array}{l} \epsilon\text{-closure}(s) \\ \epsilon\text{-closure}(q_1) \\ \epsilon\text{-closure}(q_2) \\ \epsilon\text{-closure}(f) \end{array}} \right\} F' = \{f\}$$



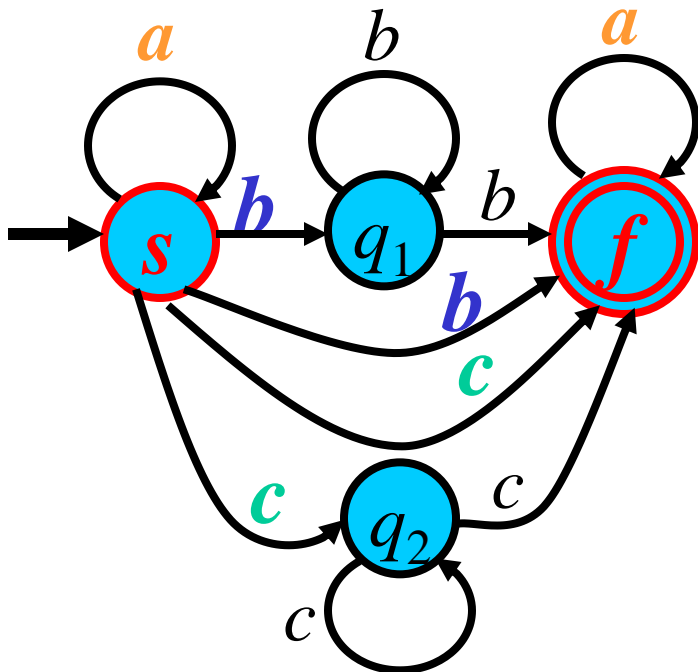
Algorithm: ϵ -free FA to DFA 1/2

Gist: In DFA, make states from all subsets of states in ϵ -free FA and move between them so that all possible states of ϵ -free FA are simultaneously simulated.

Algorithm: ε -free FA to DFA 1/2

Gist: In DFA, make states from all subsets of states in ε -free FA and move between them so that all possible states of ε -free FA are simultaneously simulated.

Illustration:

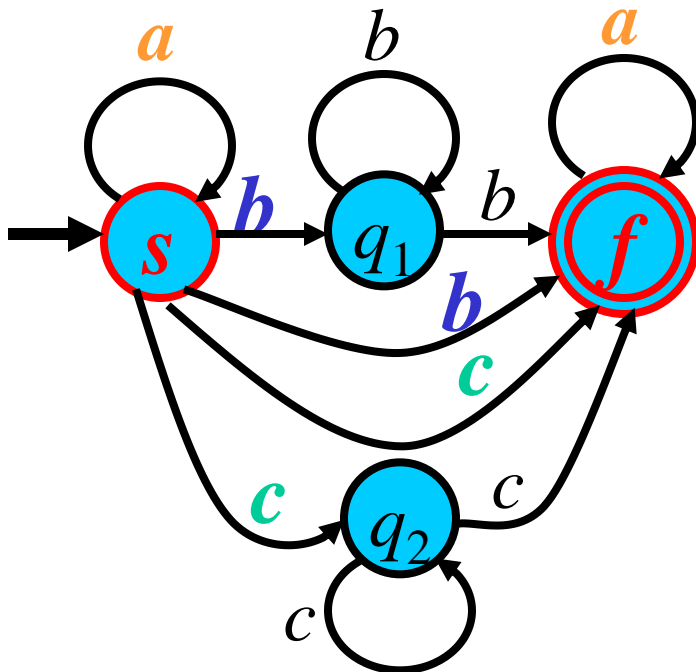


$$Q_{DFA} = \{\{s\}, \{q_1\}, \{q_2\}, \{f\}, \{s, q_1\}, \{s, q_2\}, \{s, f\}, \{q_1, q_2\}, \{q_1, f\}, \{q_2, f\}, \{s, q_1, q_2\}, \{s, q_1, f\}, \{s, q_2, f\}, \{q_1, q_2, f\}, \{s, q_1, q_2, f\}\}$$

Algorithm: ε -free FA to DFA 1/2

Gist: In DFA, make states from all subsets of states in ε -free FA and move between them so that all possible states of ε -free FA are simultaneously simulated.

Illustration:



$$Q_{DFA} = \{\{s\}, \{q_1\}, \{q_2\}, \{f\}, \{s, q_1\}, \{s, q_2\}, \{s, f\}, \{q_1, q_2\}, \{q_1, f\}, \{q_2, f\}, \{s, q_1, q_2\}, \{s, q_1, f\}, \{s, q_2, f\}, \{q_1, q_2, f\}, \{s, q_1, q_2, f\}\}$$

For state $\{s\}$: ...

⋮

For state $\{s, f\}$: $\{s, f\}$

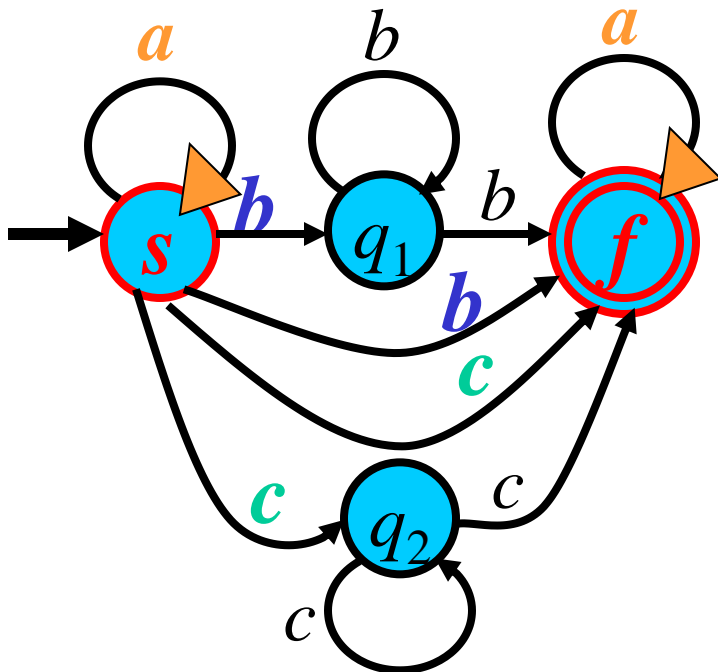
⋮

For state $\{s, q_1, q_2, f\}$: ...

Algorithm: ε -free FA to DFA 1/2

Gist: In DFA, make states from all subsets of states in ε -free FA and move between them so that all possible states of ε -free FA are simultaneously simulated.

Illustration:



$$Q_{DFA} = \{\{s\}, \{q_1\}, \{q_2\}, \{f\}, \{s, q_1\}, \{s, q_2\}, \{s, f\}, \{q_1, q_2\}, \{q_1, f\}, \{q_2, f\}, \{s, q_1, q_2\}, \{s, q_1, f\}, \{s, q_2, f\}, \{q_1, q_2, f\}, \{s, q_1, q_2, f\}\}$$

For state $\{s\}$: ...

⋮

For state $\{s, f\}$: 

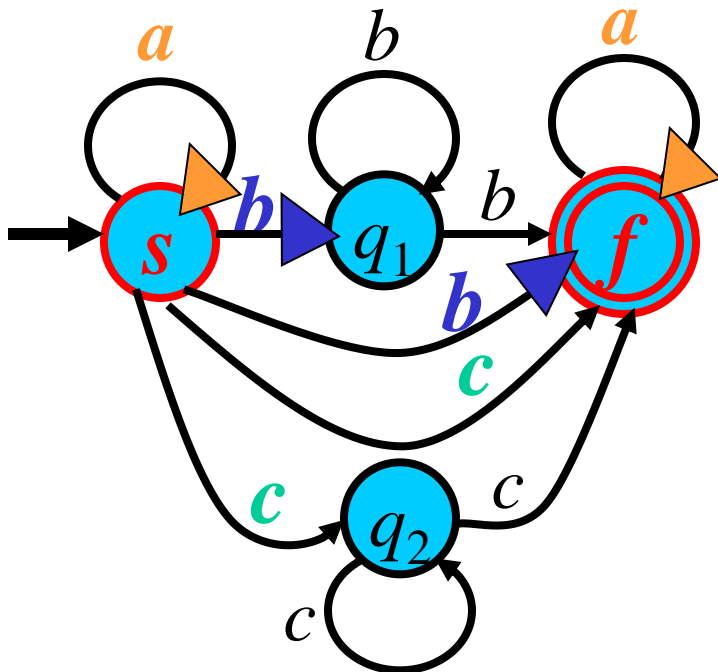
⋮

For state $\{s, q_1, q_2, f\}$: ...

Algorithm: ϵ -free FA to DFA 1/2

Gist: In DFA, make states from all subsets of states in ϵ -free FA and move between them so that all possible states of ϵ -free FA are simultaneously simulated.

Illustration:



$$Q_{DFA} = \{\{s\}, \{q_1\}, \{q_2\}, \{f\}, \{s, q_1\}, \{s, q_2\}, \{s, f\}, \{q_1, q_2\}, \{q_1, f\}, \{q_2, f\}, \{s, q_1, q_2\}, \{s, q_1, f\}, \{s, q_2, f\}, \{q_1, q_2, f\}, \{s, q_1, q_2, f\}\}$$

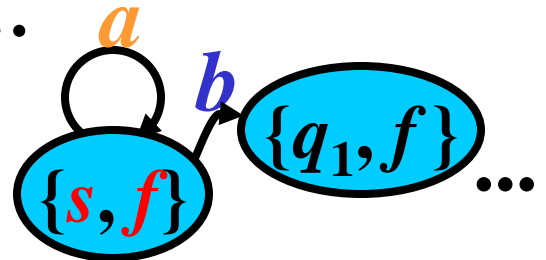
For state $\{s\}$: ...

⋮

For state $\{s, f\}$:

⋮

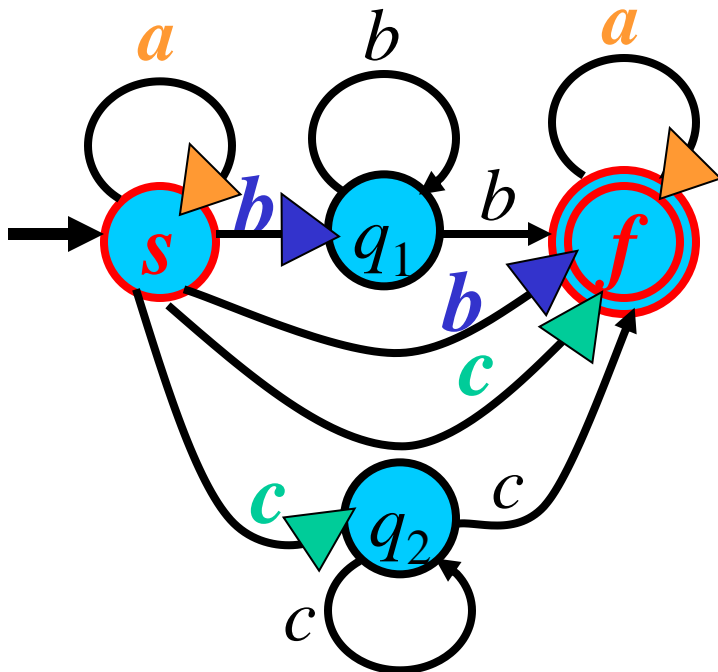
For state $\{s, q_1, q_2, f\}$: ...



Algorithm: ϵ -free FA to DFA 1/2

Gist: In DFA, make states from all subsets of states in ϵ -free FA and move between them so that all possible states of ϵ -free FA are simultaneously simulated.

Illustration:



$$Q_{DFA} = \{\{s\}, \{q_1\}, \{q_2\}, \{f\}, \{s, q_1\}, \{s, q_2\}, \{s, f\}, \{q_1, q_2\}, \{q_1, f\}, \{q_2, f\}, \{s, q_1, q_2\}, \{s, q_1, f\}, \{s, q_2, f\}, \{q_1, q_2, f\}, \{s, q_1, q_2, f\}\}$$

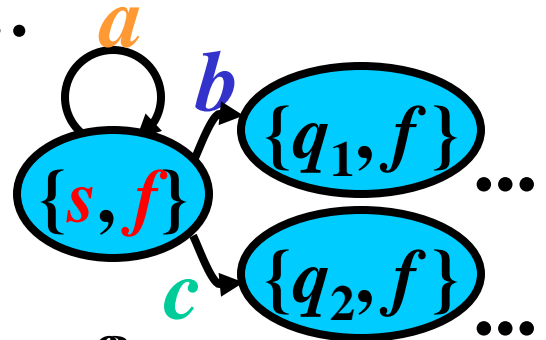
For state $\{s\}$: ...

⋮

For state $\{s, f\}$:

⋮

For state $\{s, q_1, q_2, f\}$: ...



Algorithm: ε -free FA to DFA 2/2

- **Input:** ε -free FA: $M = (Q, \Sigma, R, s, F)$
 - **Output:** DFA: $M_d = (Q_d, \Sigma, R_d, s_d, F_d)$
-
- **Method:**
 - $Q_d := \{Q' : Q' \subseteq Q, Q' \neq \emptyset\}; R_d := \emptyset;$
 - **for each** $Q' \in Q_d$, **and** $a \in \Sigma$ **do begin**
 $Q'' := \{q : p \in Q', pa \rightarrow q \in R\};$
if $Q'' \neq \emptyset$ **then** $R_d := R_d \cup \{Q'a \rightarrow Q''\};$
end
 - $s_d := \{s\};$
 - $F_d := \{F' : F' \in Q_d, F' \cap F \neq \emptyset\}.$

ε -free FA to DFA: Example 1/5

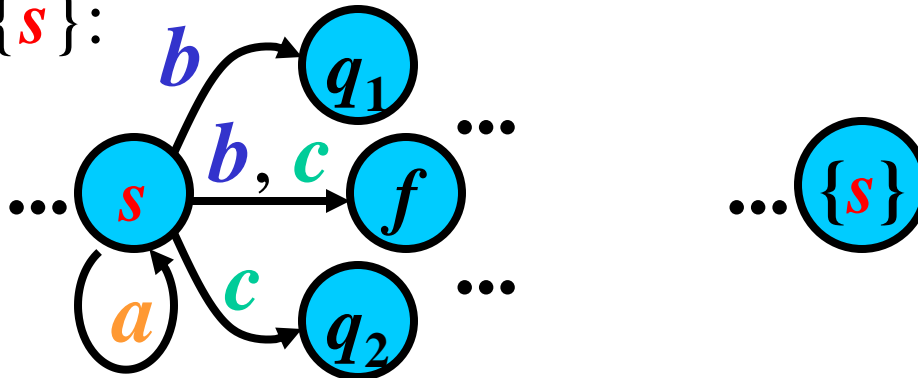
$M = (Q, \Sigma, R, s, F)$, where:

$Q = \{s, q_1, q_2, f\}; \Sigma = \{a, b, c\}; F = \{f\}$

$R = \{sa \rightarrow s, sb \rightarrow q_1, sb \rightarrow f, sc \rightarrow q_2, sc \rightarrow f,$
 $q_1b \rightarrow q_1, q_1b \rightarrow f, q_2c \rightarrow q_2, q_2c \rightarrow f, fa \rightarrow f\};$

$Q_d = \{\{s\}, \{s, q_1\}, \{s, q_1, q_2\}, \{s, q_1, f\}, \{s, q_1, q_2, f\}, \{s, q_2\}, \{s, q_2, f\},$
 $\{s, f\}, \{q_1\}, \{q_1, q_2\}, \{q_1, f\}, \{q_1, q_2, f\}, \{q_2\}, \{q_2, f\}, \{f\}\}$

for $Q' = \{s\}$:



ε -free FA to DFA: Example 1/5

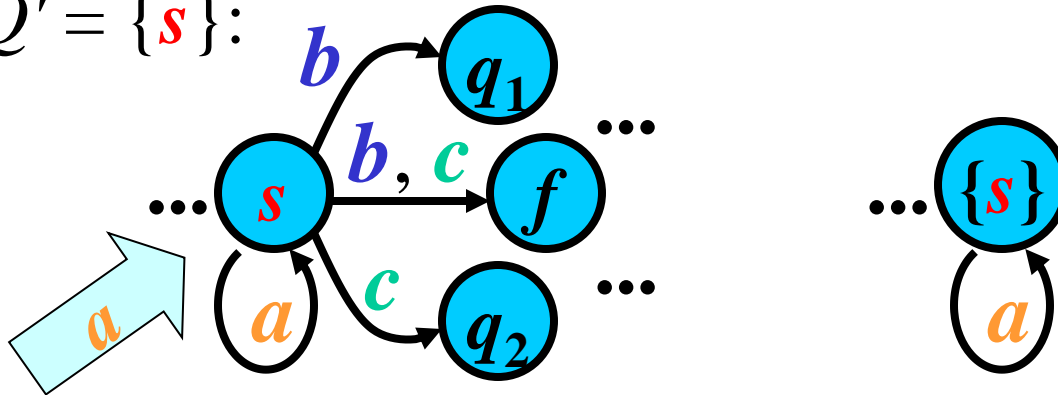
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$Q_d = \{\{s\}, \{s, q_1\}, \{s, q_1, q_2\}, \{s, q_1, f\}, \{s, q_1, q_2, f\}, \{s, q_2\}, \{s, q_2, f\},$
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for $Q' = \{s\}$:



ε -free FA to DFA: Example 1/5

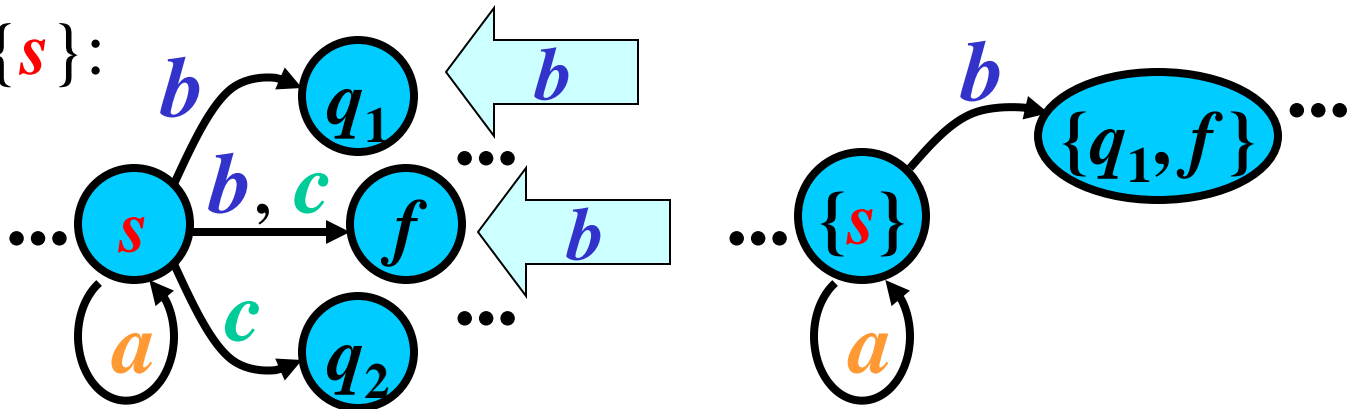
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$Q_d = \{\{s\}, \{s, q_1\}, \{s, q_1, q_2\}, \{s, q_1, f\}, \{s, q_1, q_2, f\}, \{s, q_2\}, \{s, q_2, f\}, \{s, f\}, \{q_1\}, \{q_1, q_2\}, \{q_1, f\}, \{q_1, q_2, f\}, \{q_2\}, \{q_2, f\}, \{f\}\}$

for $Q' = \{s\}$:



ε -free FA to DFA: Example 1/5

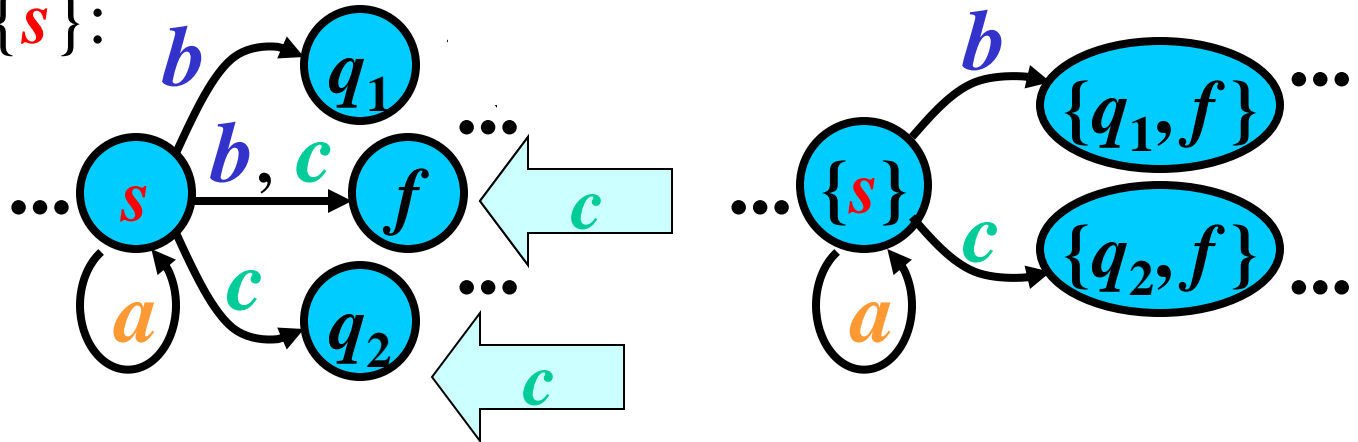
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$Q_d = \{\{s\}, \{s, q_1\}, \{s, q_1, q_2\}, \{s, q_1, f\}, \{s, q_1, q_2, f\}, \{s, q_2\}, \{s, q_2, f\}, \{s, f\}, \{q_1\}, \{q_1, q_2\}, \{q_1, f\}, \{q_1, q_2, f\}, \{q_2\}, \{q_2, f\}, \{f\}\}$

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ε -free FA to DFA: Example 1/5

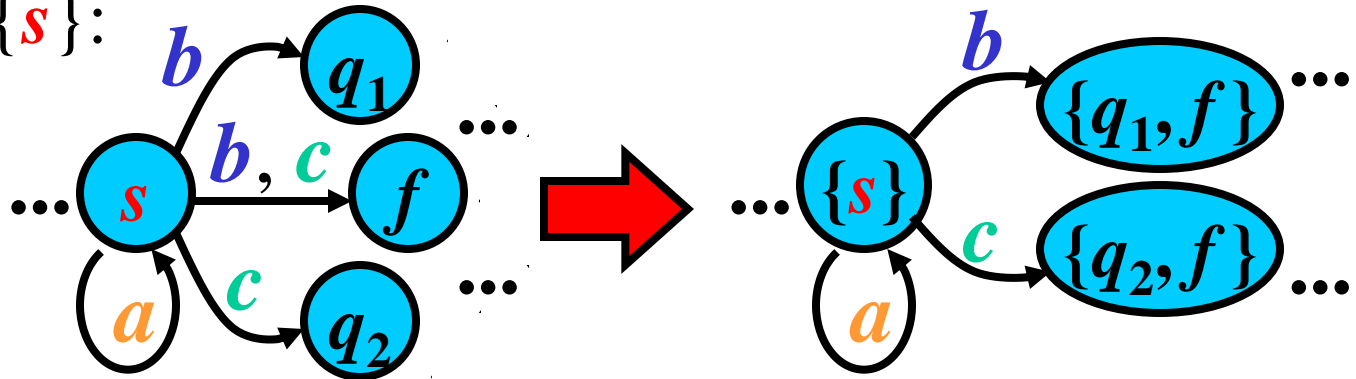
$M = (Q, \Sigma, R, s, F)$, where:

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$Q_d = \{\{s\}, \{s, q_1\}, \{s, q_1, q_2\}, \{s, q_1, f\}, \{s, q_1, q_2, f\}, \{s, q_2\}, \{s, q_2, f\}, \{s, f\}, \{q_1\}, \{q_1, q_2\}, \{q_1, f\}, \{q_1, q_2, f\}, \{q_2\}, \{q_2, f\}, \{f\}\}$

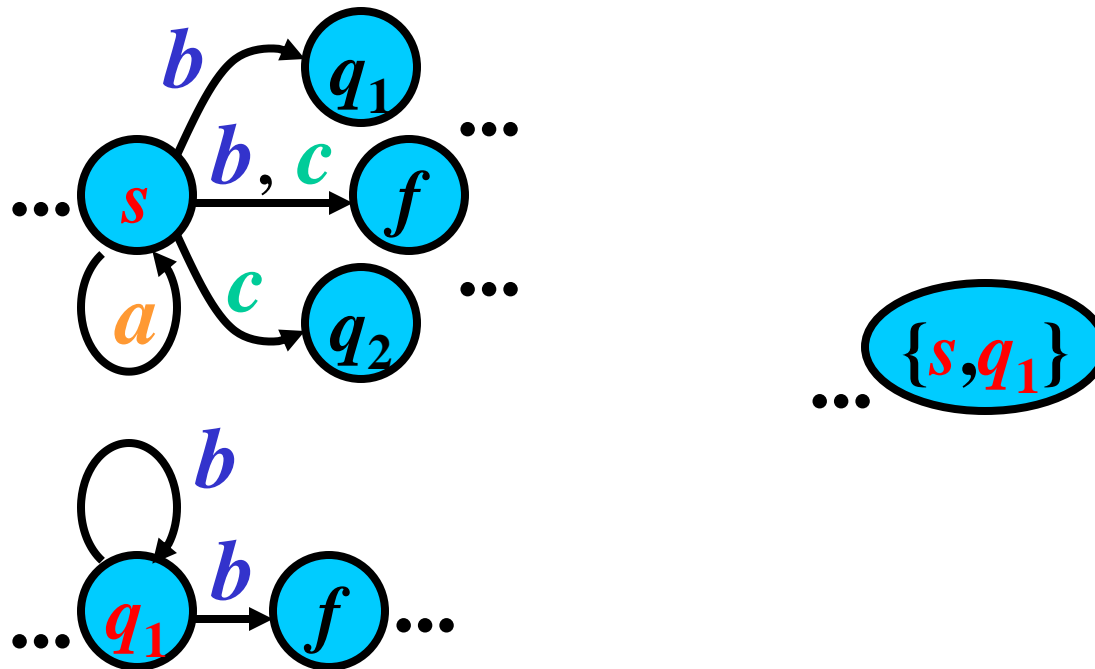
for $Q' = \{s\}$:



$R_d = \emptyset \cup \{\{s\}a \rightarrow \{s\}, \{s\}b \rightarrow \{q_1, f\}, \{s\}c \rightarrow \{q_2, f\}\}$

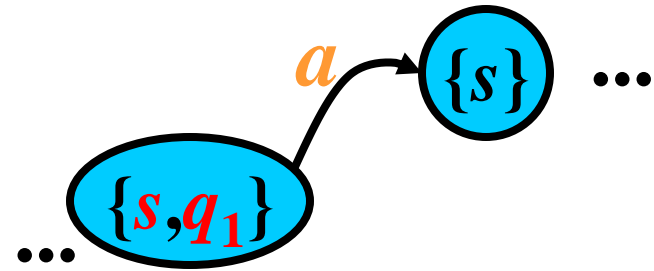
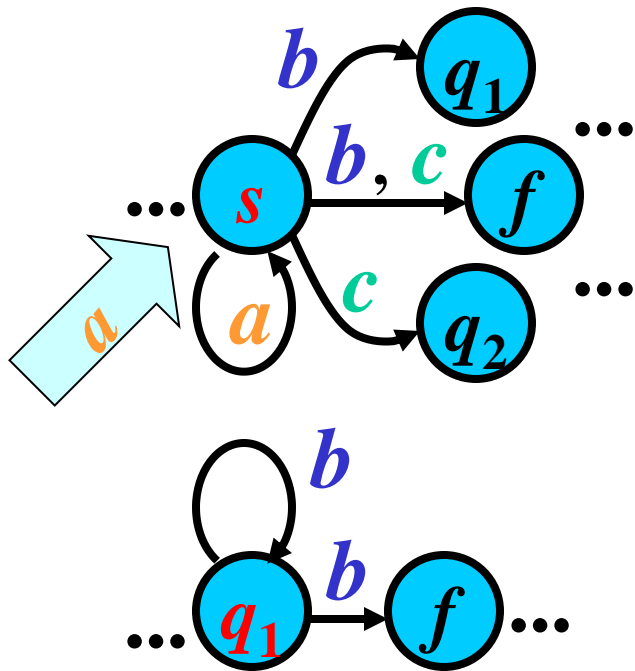
ϵ -free FA to DFA: Example 2/5

for $Q' = \{s, q_1\}$:



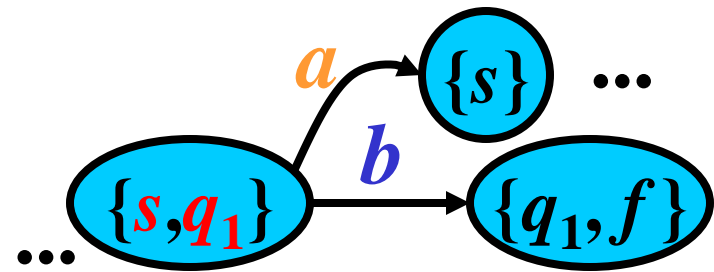
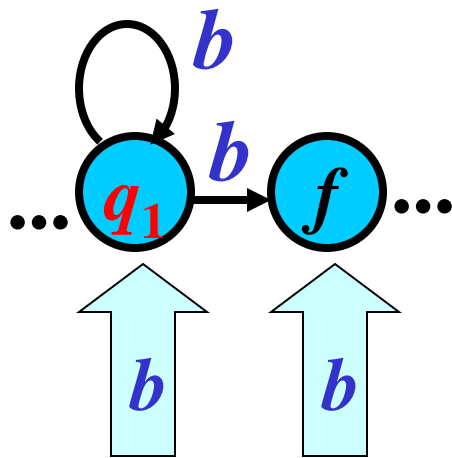
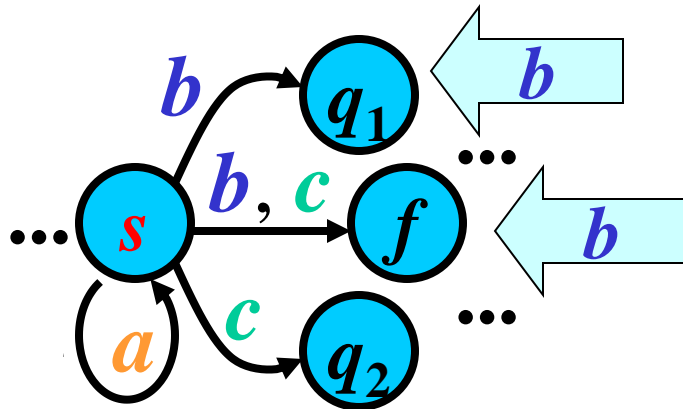
ϵ -free FA to DFA: Example 2/5

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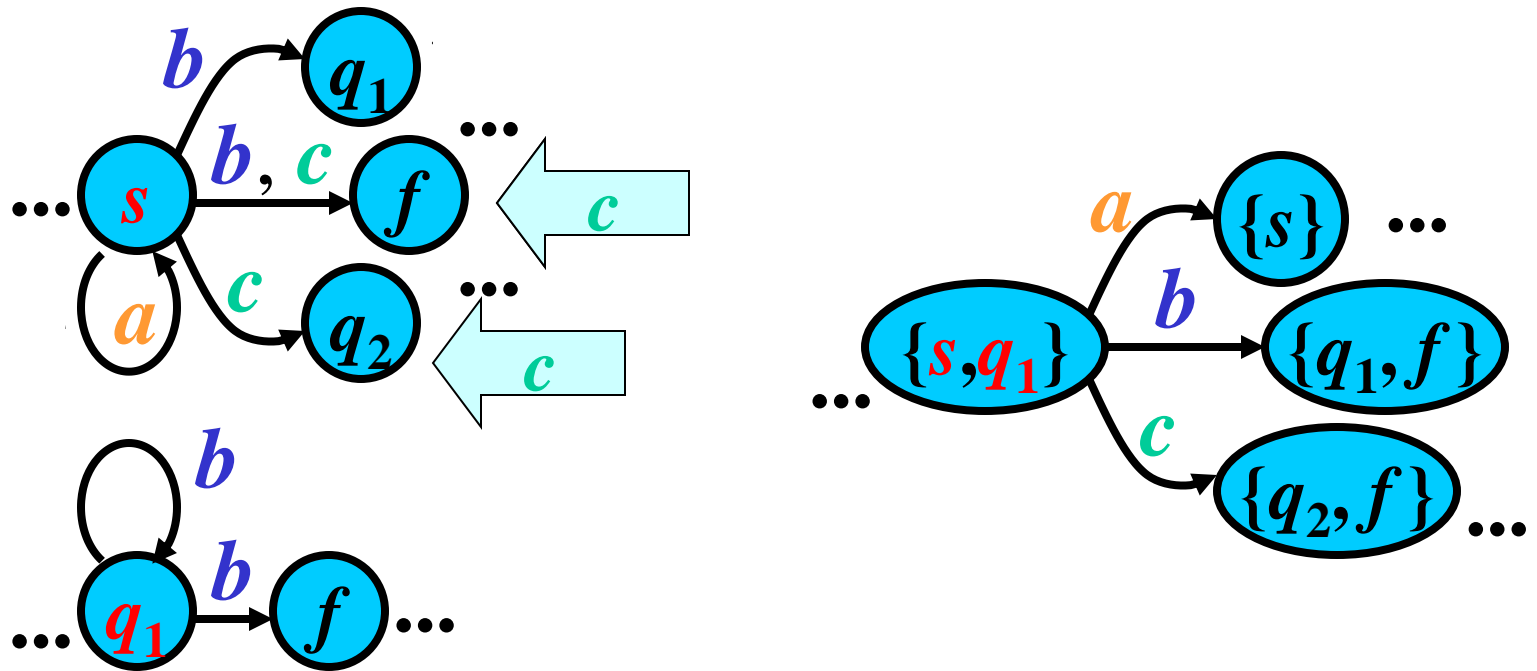
ϵ -free FA to DFA: Example 2/5

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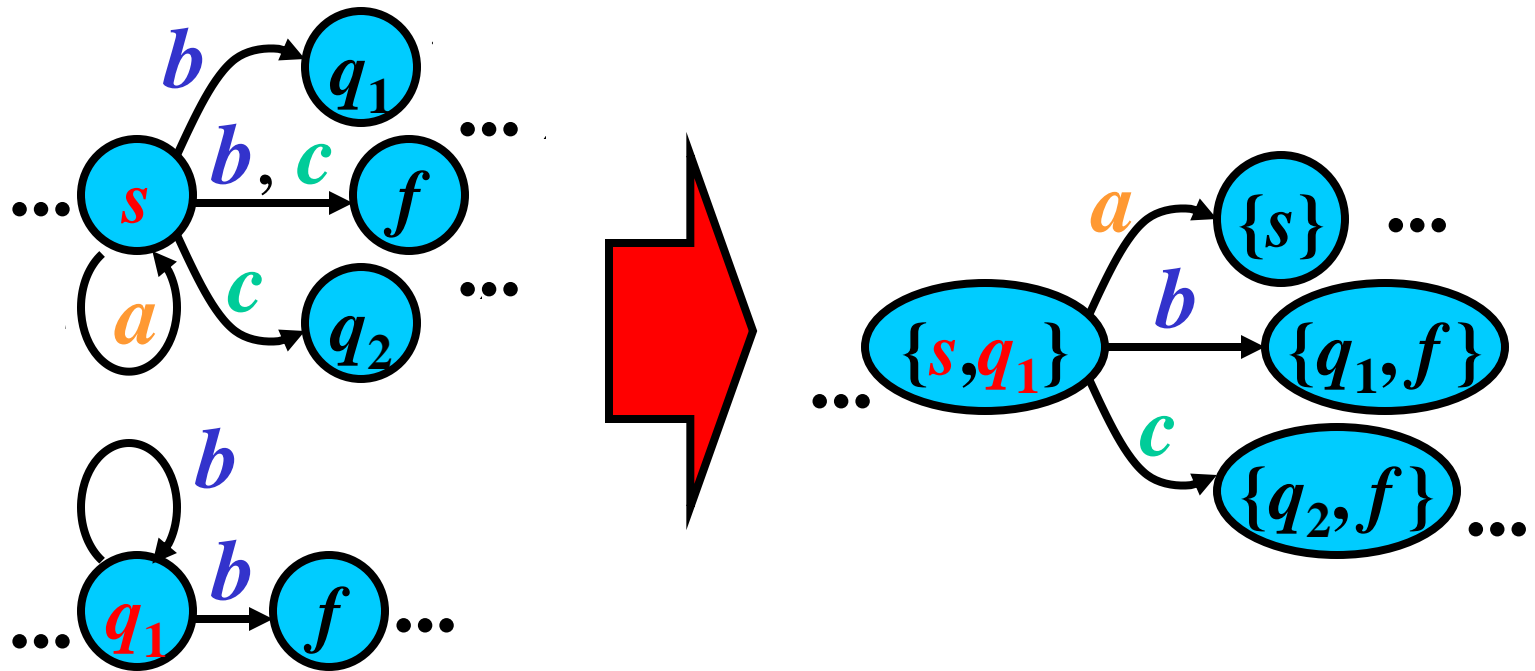
ϵ -free FA to DFA: Example 2/5

for $Q' = \{s, q_1\}$:



ϵ -free FA to DFA: Example 2/5

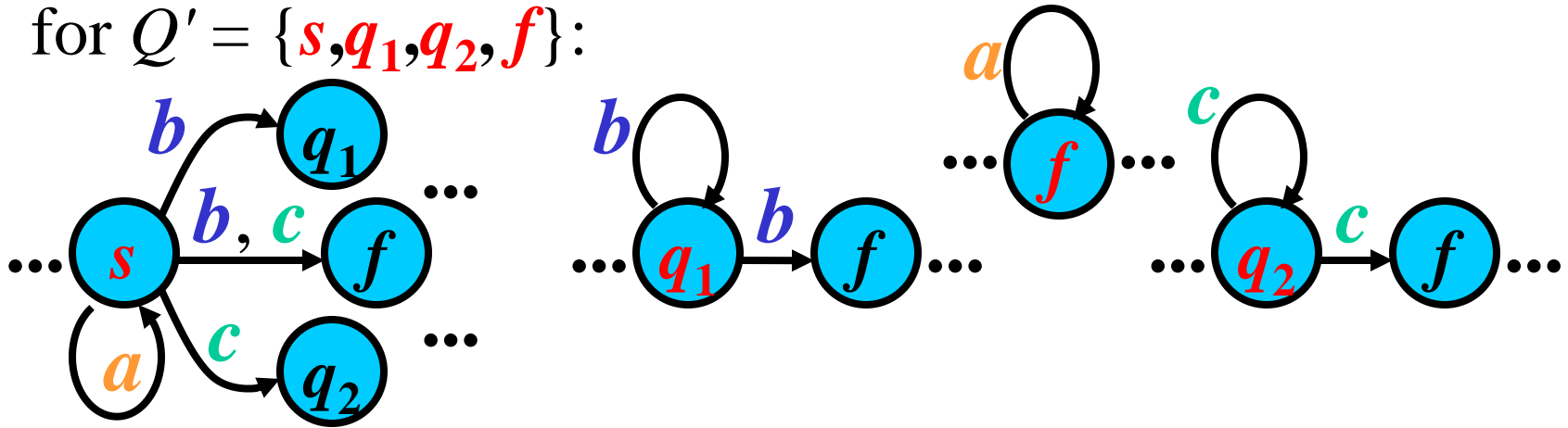
for $Q' = \{s, q_1\}$:



$$R_d = R_d \cup \{ \{s, q_1\} a \rightarrow \{s\}, \{s, q_1\} b \rightarrow \{q_1, f\}, \{s, q_1\} c \rightarrow \{q_2, f\} \}$$

ϵ -free FA to DFA: Example 3/5

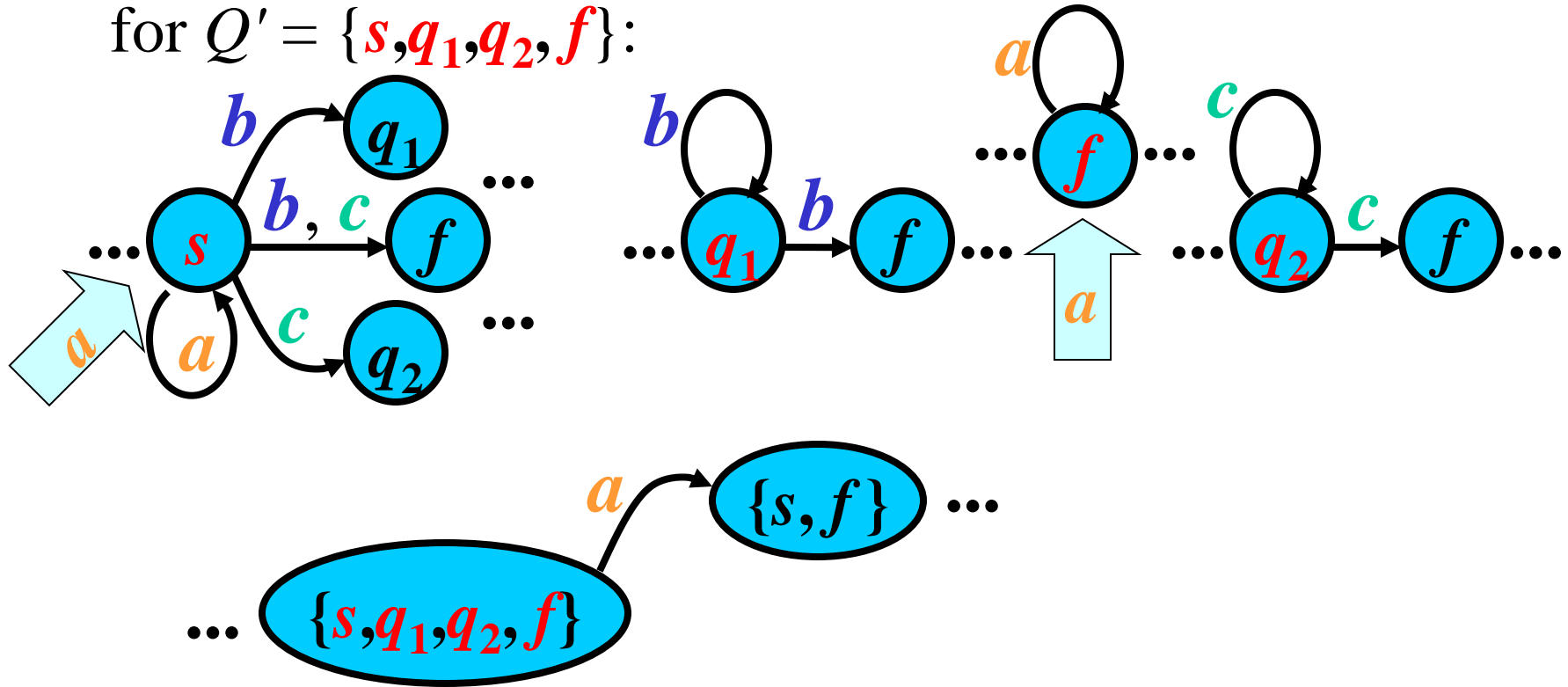
for $Q' = \{s, q_1, q_2, f\}$:



... $\{s, q_1, q_2, f\}$

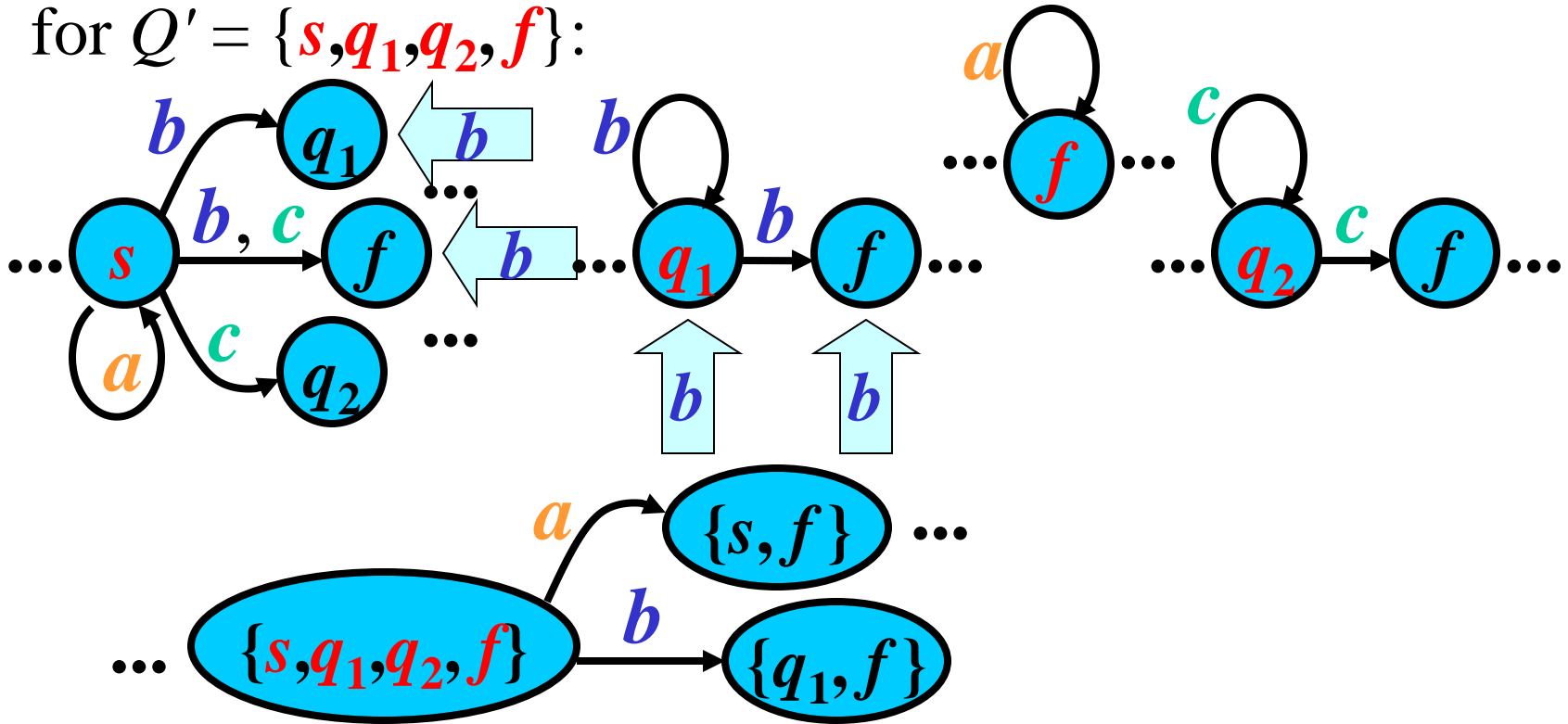
ϵ -free FA to DFA: Example 3/5

for $Q' = \{s, q_1, q_2, f\}$:



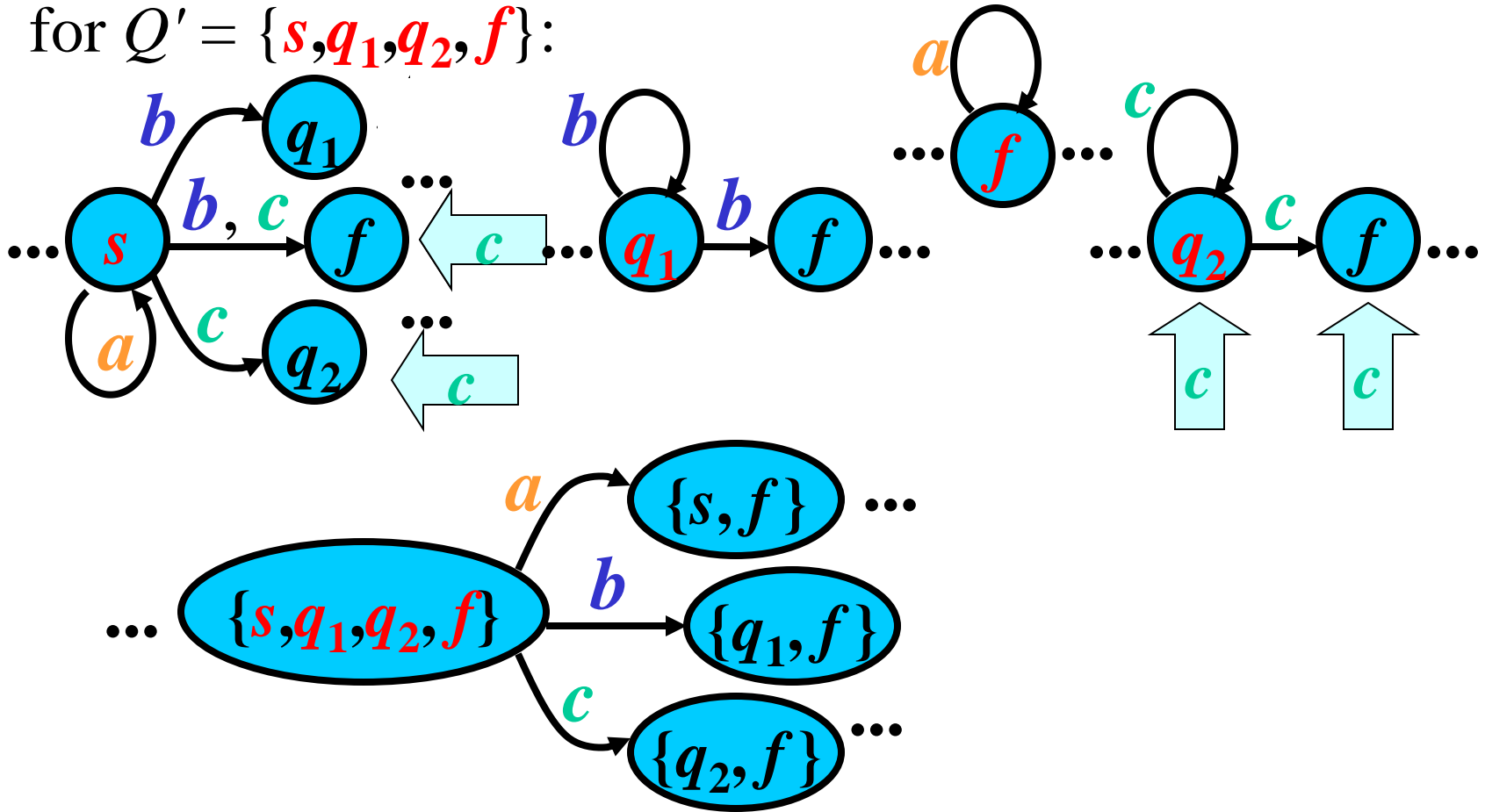
ϵ -free FA to DFA: Example 3/5

for $Q' = \{s, q_1, q_2, f\}$:



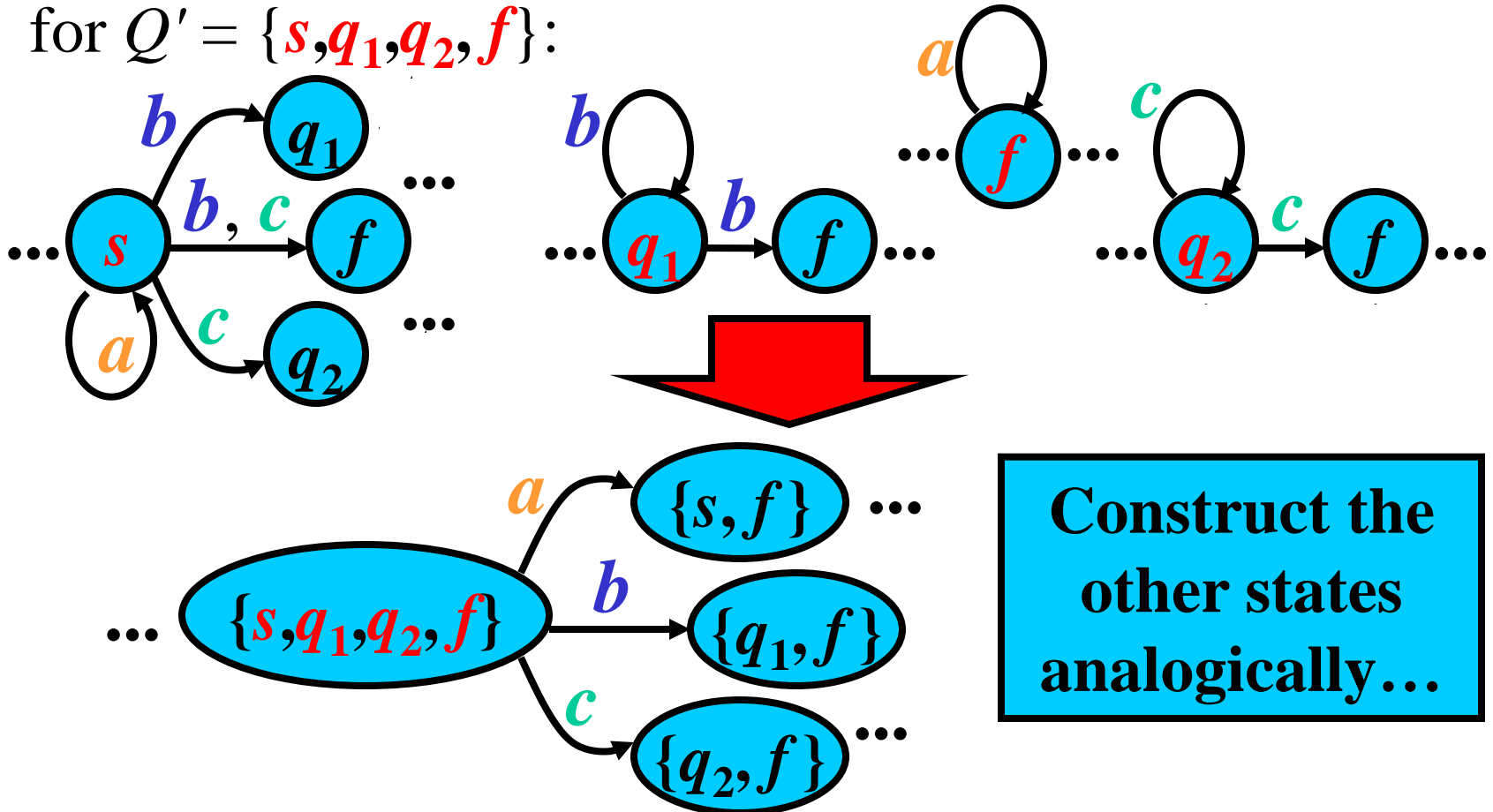
ϵ -free FA to DFA: Example 3/5

for $Q' = \{s, q_1, q_2, f\}$:



ϵ -free FA to DFA: Example 3/5

for $Q' = \{s, q_1, q_2, f\}$:



$$R_d = R_d \cup \{ \{s, q_1, q_2, f\} a \rightarrow \{s, f\}, \{s, q_1, q_2, f\} b \rightarrow \{q_1, f\}, \{s, q_1, q_2, f\} c \rightarrow \{q_2, f\} \}$$

ε-free FA to DFA: Example 4/5

Final states: $F_d := \{F' : F' \in Q_d, F' \cap F \neq \emptyset\}$

for $F = \{f\}$:

$$\{s\} \cap \{f\} = \emptyset \quad \Rightarrow \quad \{s\} \notin F_d$$

$$\{s, q_1\} \cap \{f\} = \emptyset \quad \Rightarrow \quad \{s, q_1\} \notin F_d$$

$$\{s, q_1, q_2\} \cap \{f\} = \emptyset \quad \Rightarrow \quad \{s, q_1, q_2\} \notin F_d$$

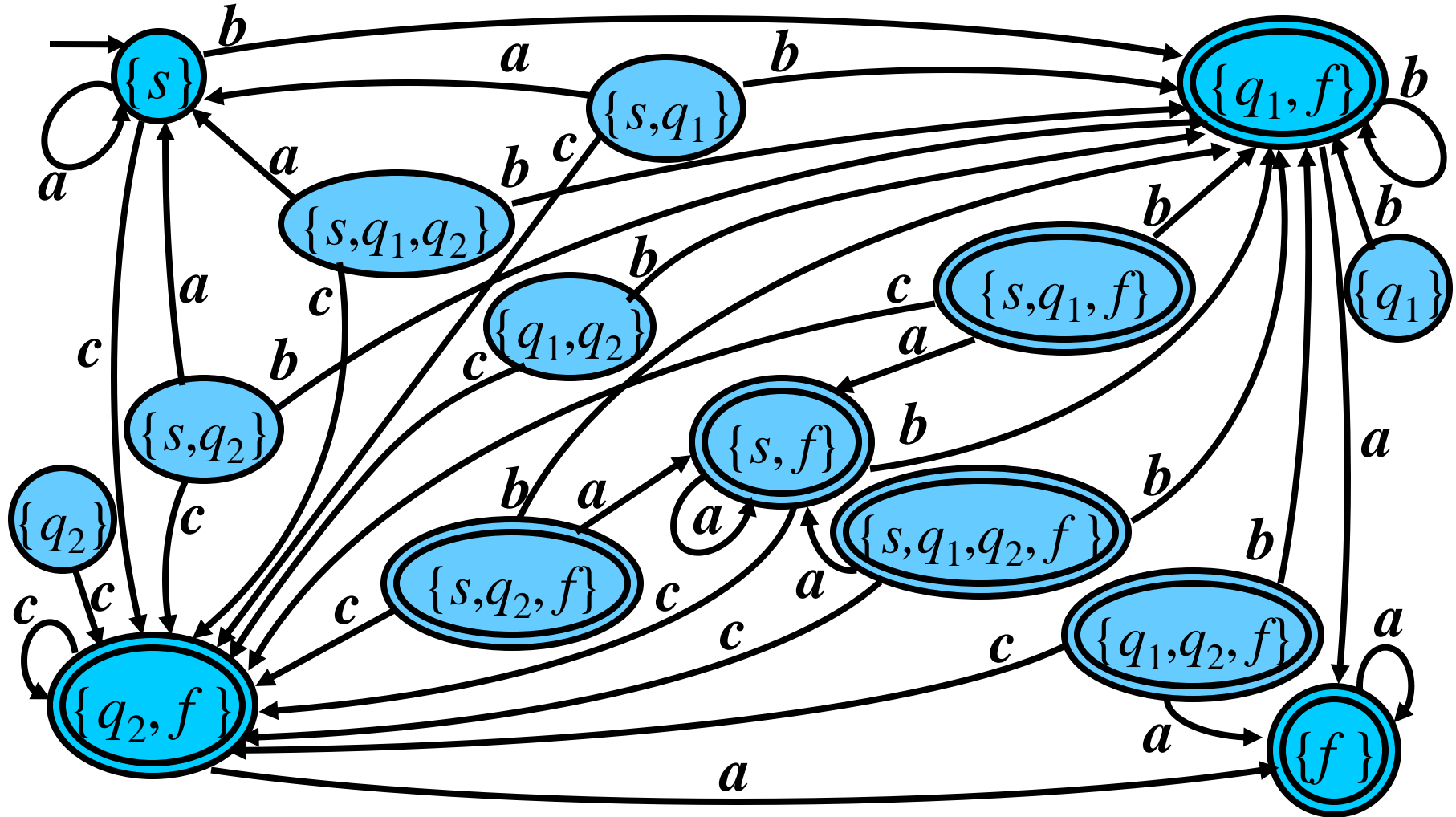
$$\{s, q_1, f\} \cap \{f\} = \{f\} \neq \emptyset \quad \Rightarrow \quad \{s, q_1, f\} \in F_d$$

$$\{s, q_1, q_2, f\} \cap \{f\} = \{f\} \neq \emptyset \quad \Rightarrow \quad \{s, q_1, q_2, f\} \in F_d$$

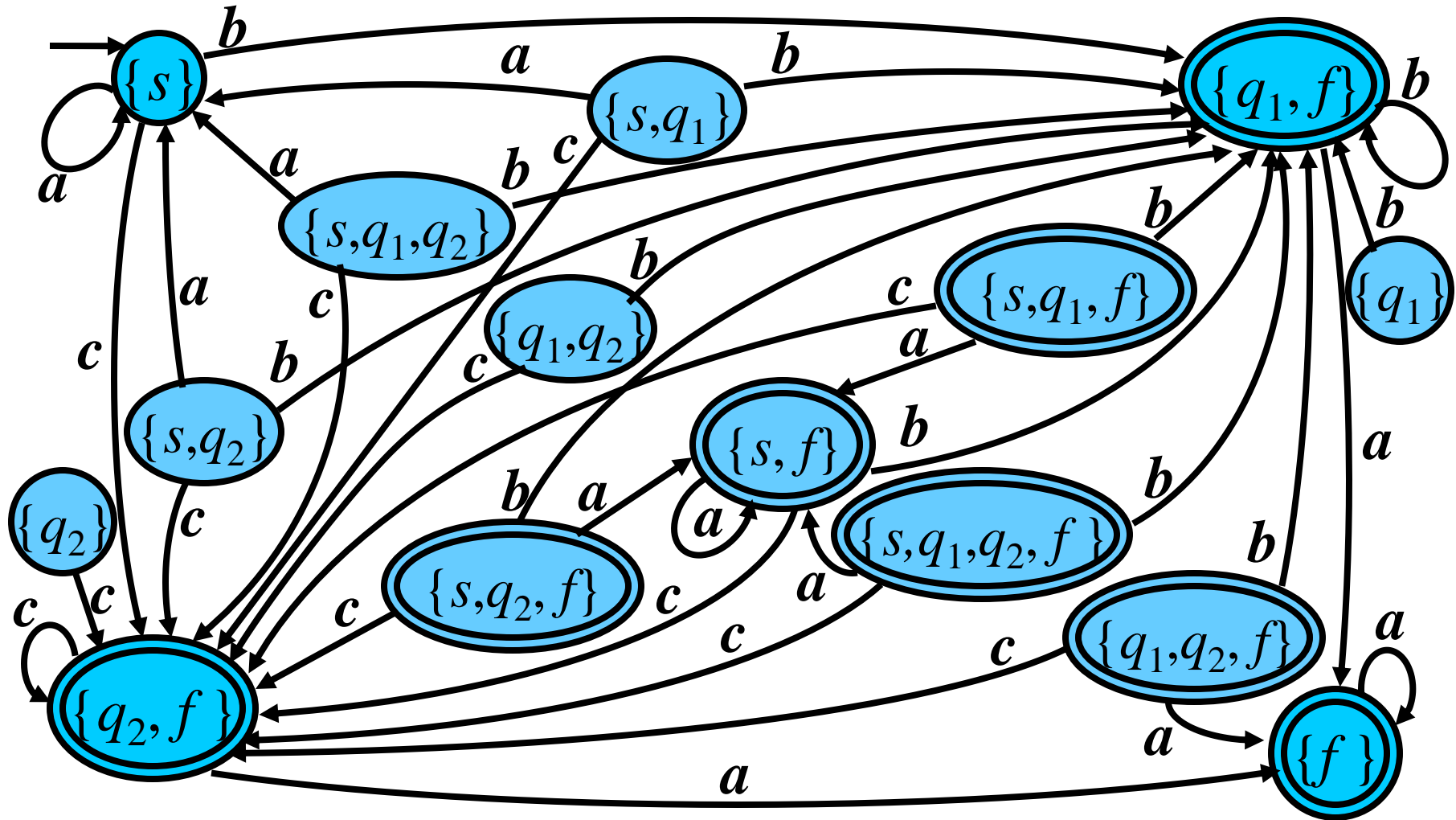
⋮

$$F_d = \{\{s, q_1, f\}, \{s, q_1, q_2, f\}, \{s, q_2, f\}, \{s, f\}, \\ \{q_1, f\}, \{q_1, q_2, f\}, \{q_2, f\}, \{f\}\}$$

ϵ -free FA to DFA: Example 5/5

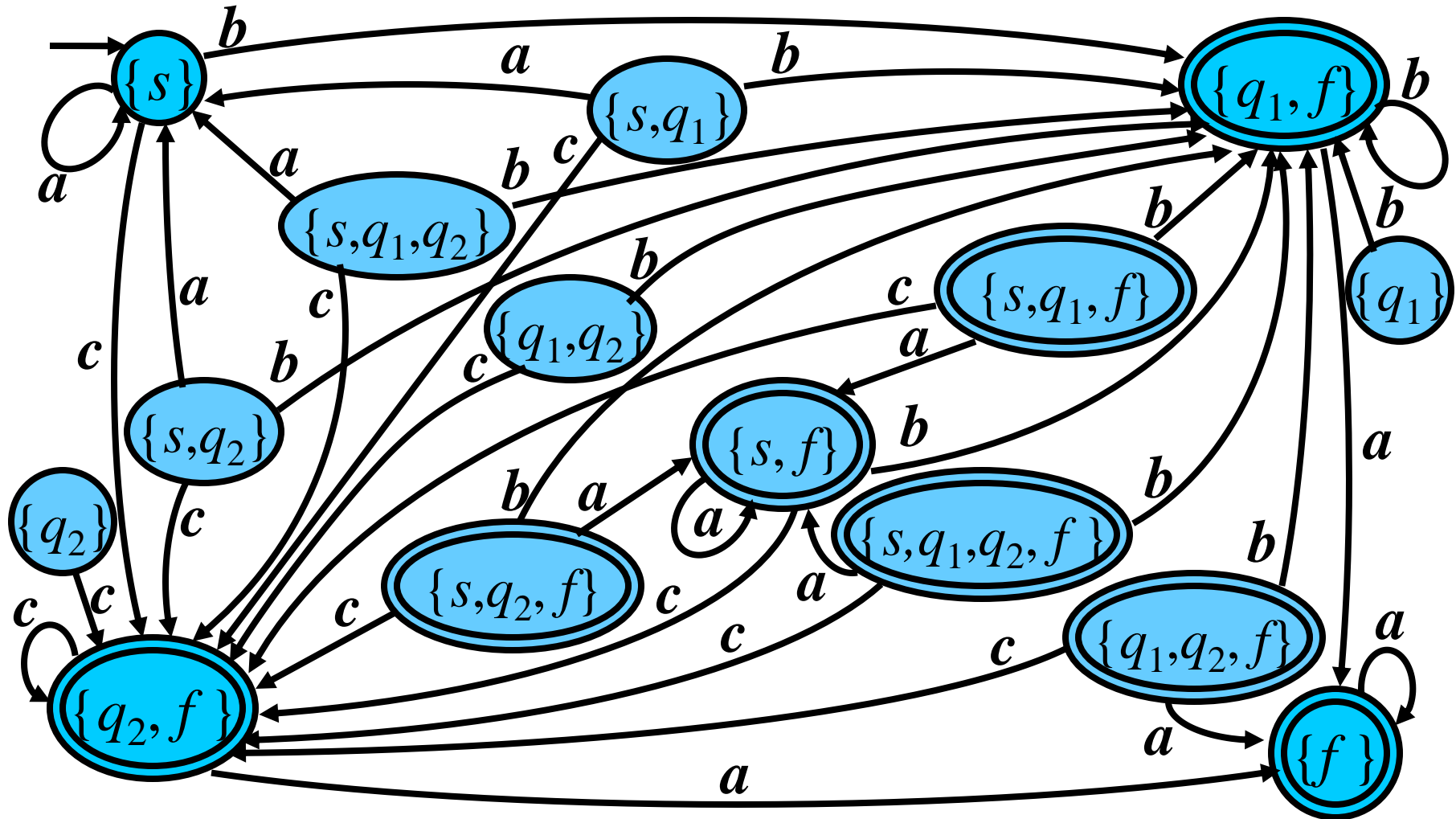


ϵ -free FA to DFA: Example 5/5



Question: Can we make DFA smaller?

ϵ -free FA to DFA: Example 5/5



Question: Can we make DFA smaller?

Answer: **YES**

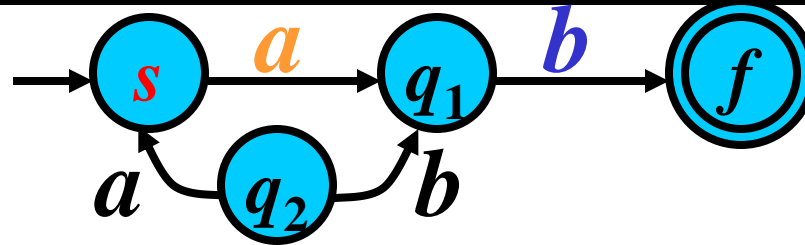
Accessible States

Gist: State q is *accessible* if a string takes DFA from s (the start state) to q .

Definition: Let $M = (Q, \Sigma, R, s, F)$ be an FA. A state $q \in Q$ is *accessible* if there exists $w \in \Sigma^*$ such that $sw \vdash^* q$; otherwise, q is *inaccessible*.

Note: Each inaccessible state can be removed from FA

Example:

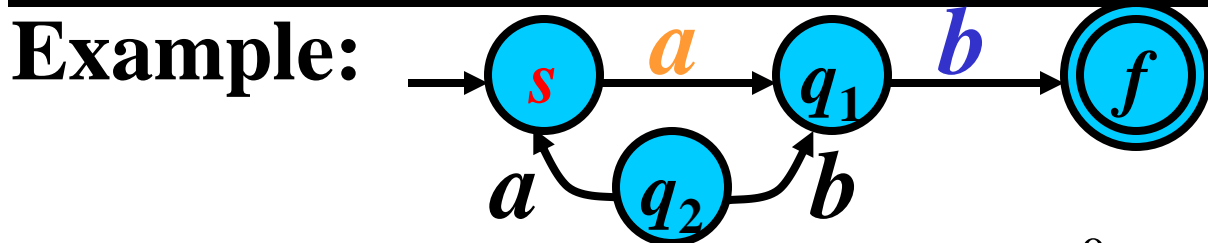


Accessible States

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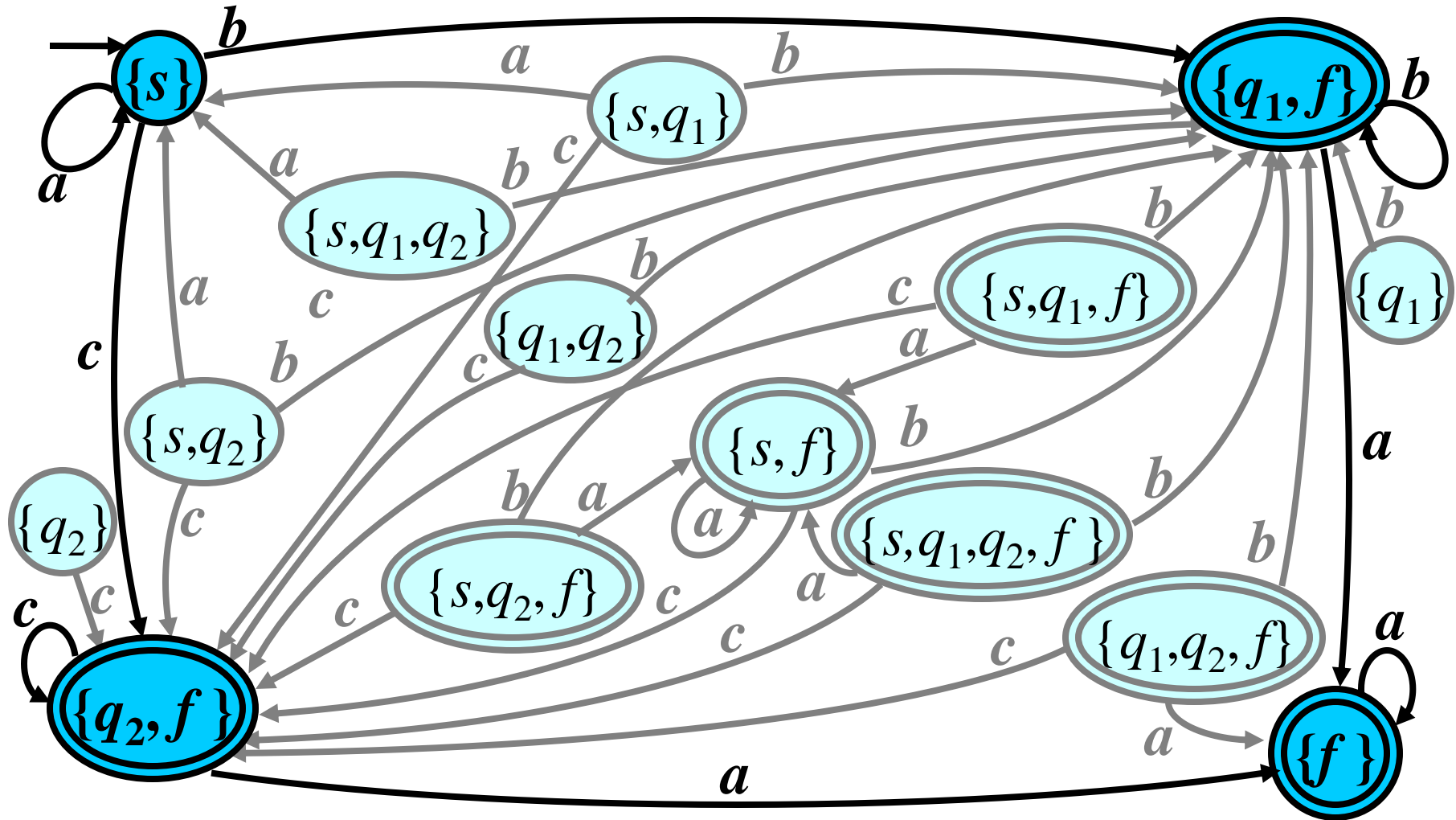
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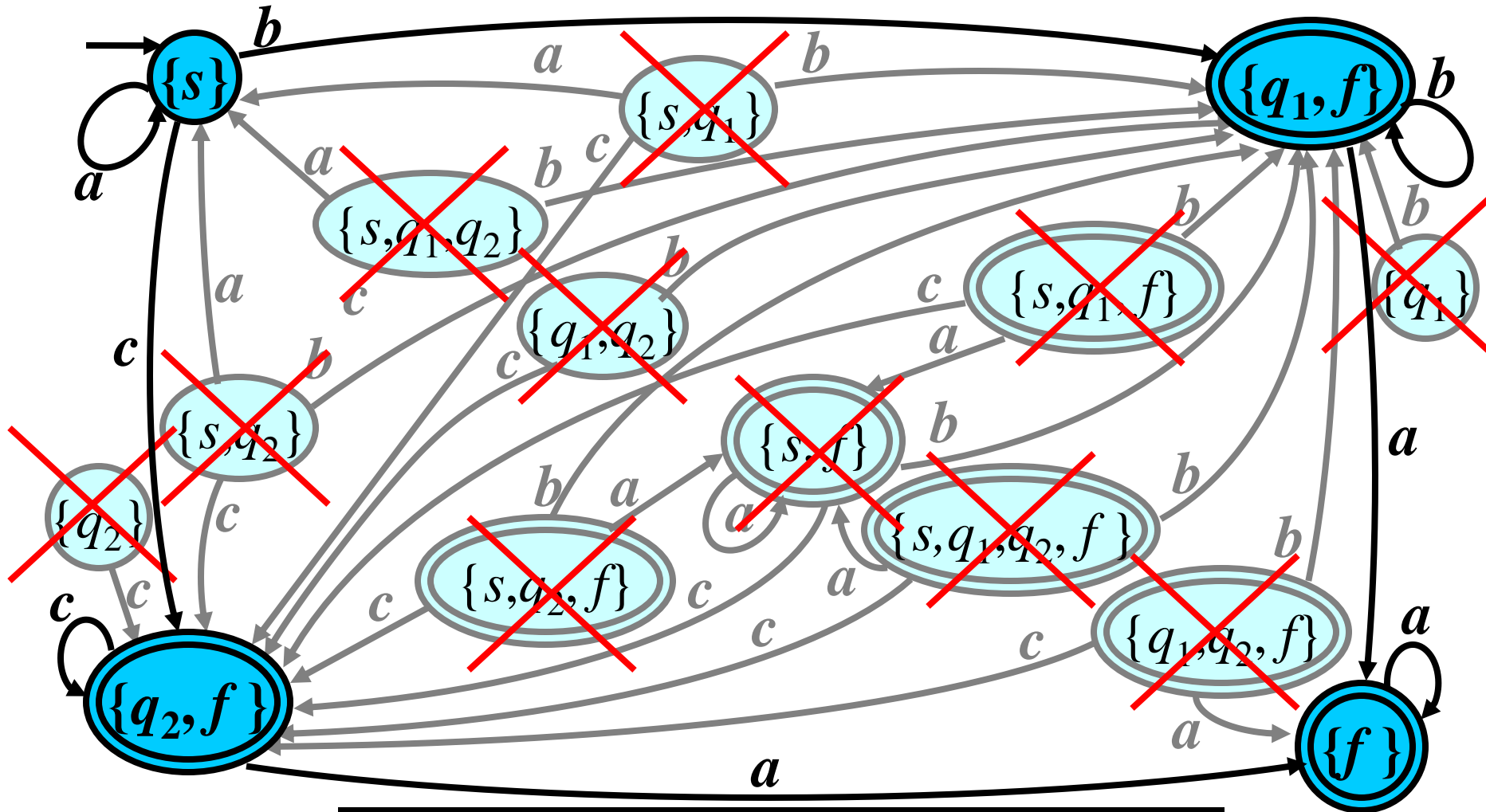


State s - accessible: $w = \varepsilon$: $s \dashv^0 s$
 State q_1 - accessible: $w = a$: $sa \dashv q_1$
 State f - accessible: $w = ab$: $sab \dashv q_1 b \dashv f$
 State q_2 - **inaccessible** (there is no $w \in \Sigma^*$ such that $sw \dashv^* q_2$)

Previous Example



Previous Example



Many **inaccessible states**

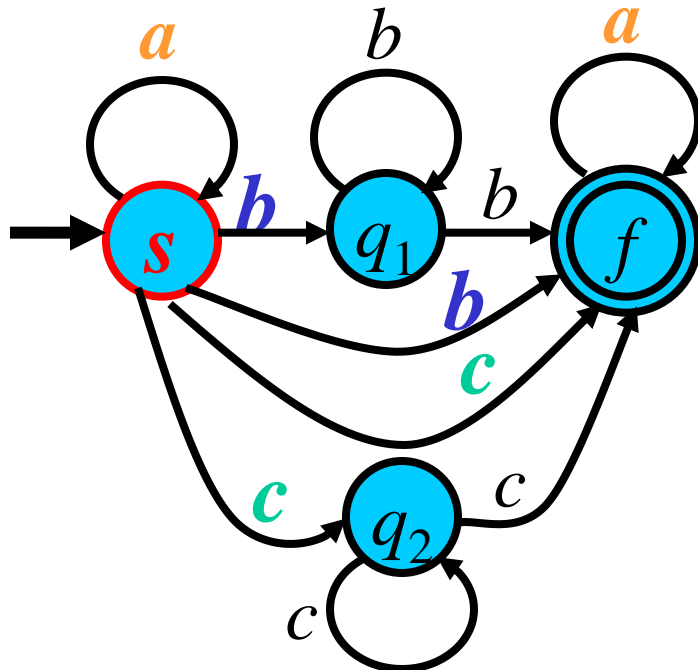
Algorithm II: ϵ -free FA to DFA 1/2

Gist: Analogy to the previous algorithm except that only sets of accessible states are introduced.

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Illustration:

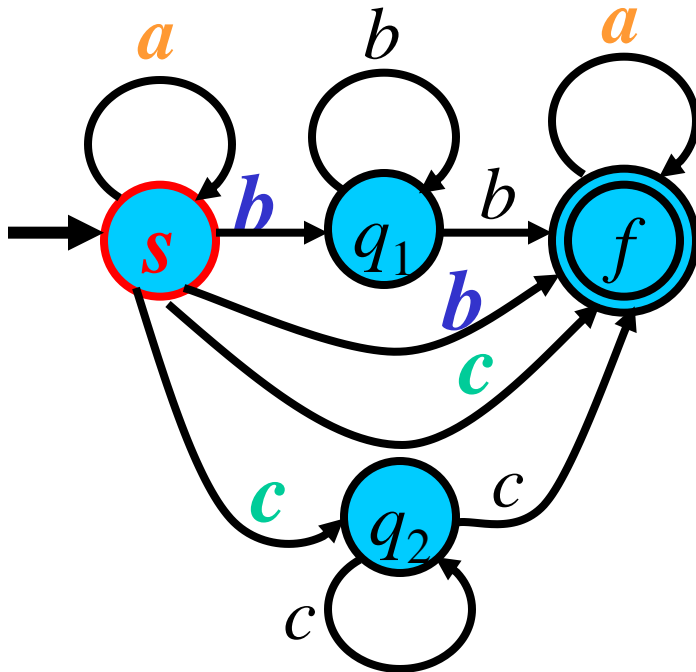


$$Q_{DFA} = \{\{s\}\}$$

Algorithm II: ϵ -free FA to DFA 1/2

Gist: Analogy to the previous algorithm except that only sets of accessible states are introduced.

Illustration:



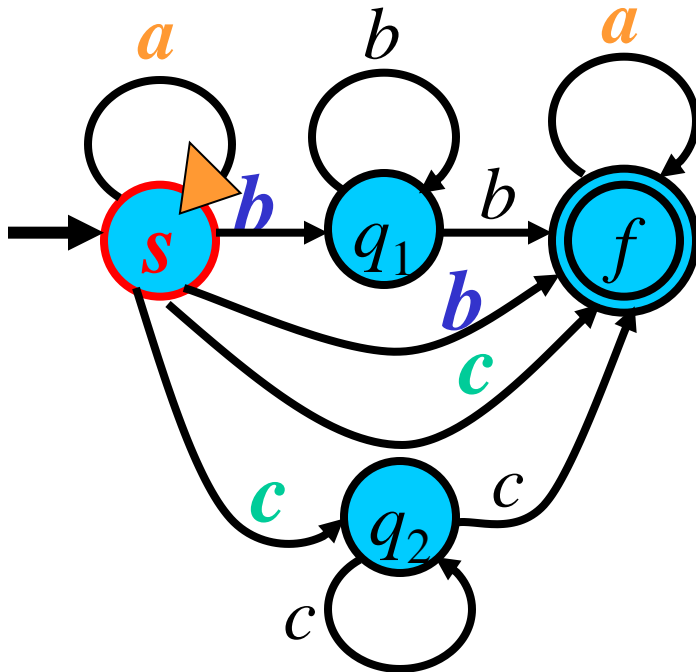
$$Q_{DFA} = \{\{s\}\}$$

For state $\{s\}$: $\{s\}$

Algorithm II: ϵ -free FA to DFA 1/2

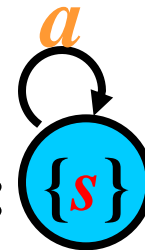
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$$Q_{DFA} = \{\{s\}\}$$

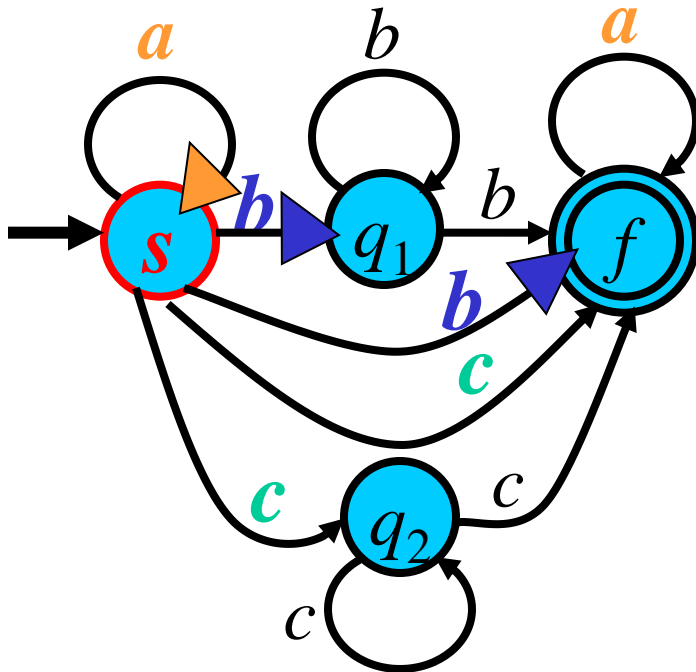
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Algorithm II: ϵ -free FA to DFA 1/2

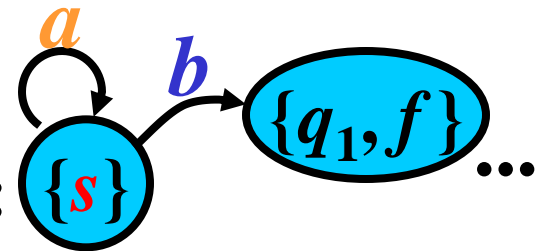
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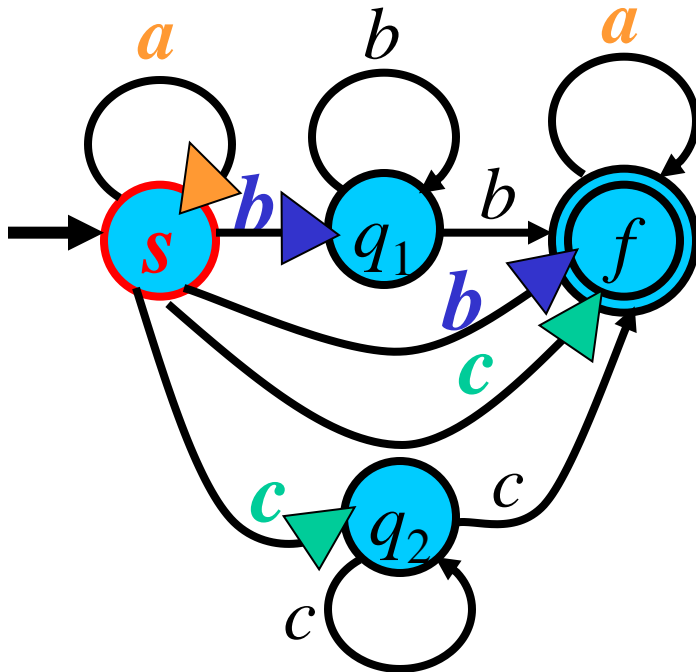
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Algorithm II: ϵ -free FA to DFA 1/2

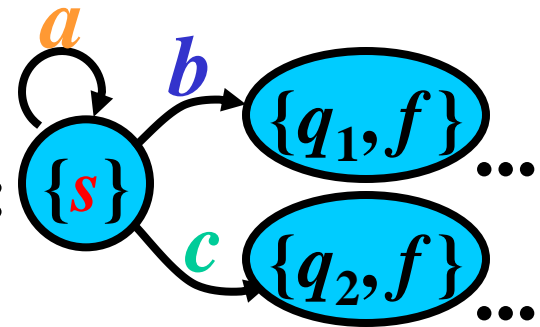
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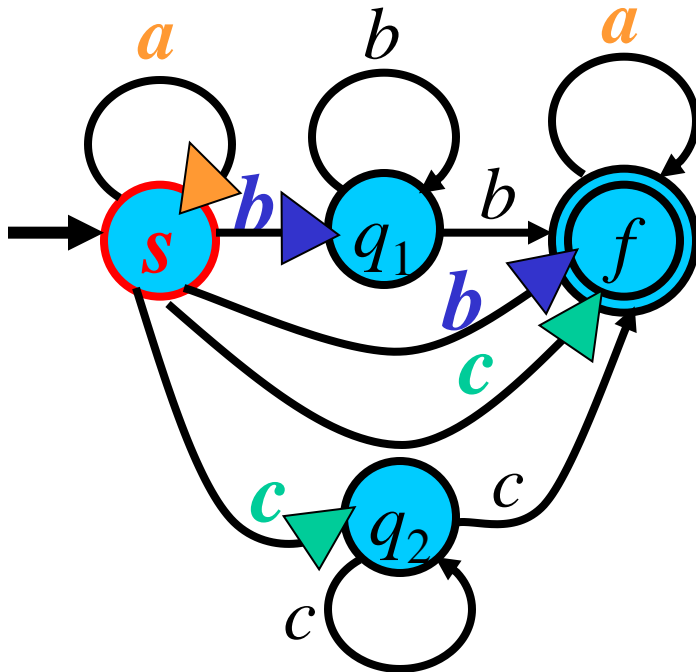
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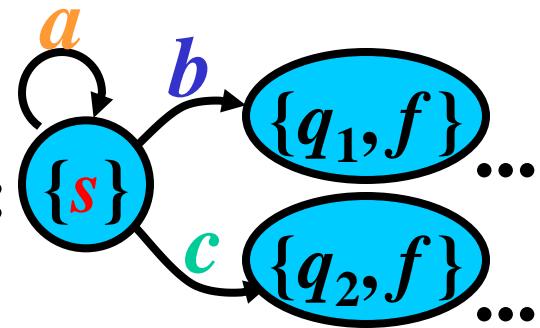
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$$Q_{DFA} = \{\{s\}\}$$

For state $\{s\}$:

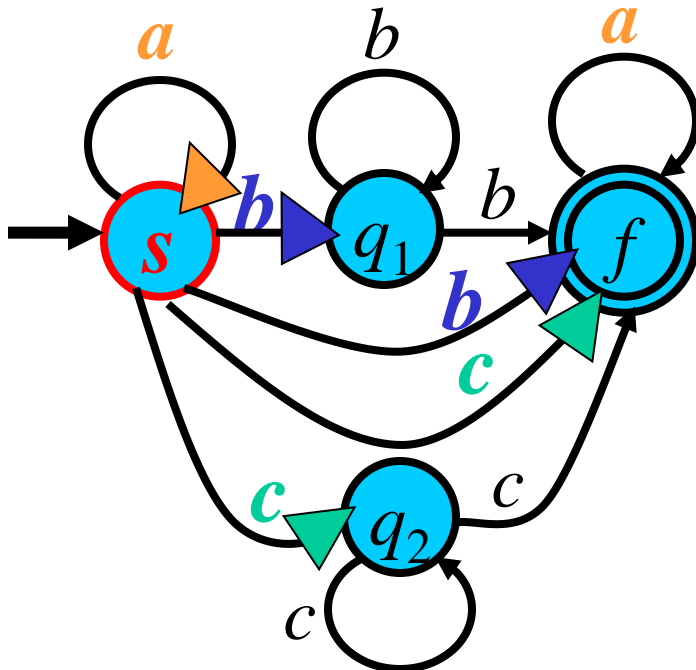


Add new states $\{q_1, f\}$, $\{q_2, f\}$ to Q_{DFA}

Algorithm II: ϵ -free FA to DFA 1/2

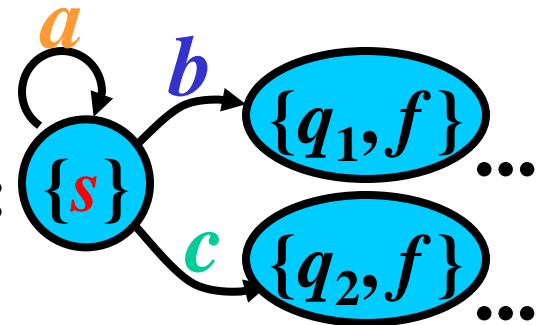
Gist: Analogy to the previous algorithm except that only sets of accessible states are introduced.

Illustration:



$$Q_{DFA} = \{\{s\}\}$$

For state $\{s\}$:



Add new states $\{q_1, f\}$, $\{q_2, f\}$ to Q_{DFA}

For state $\{q_1, f\}$: ...

For state $\{q_2, f\}$: ...

Add new states ...

⋮

Algorithm II: ε -free FA to DFA 2/2

- **Input:** ε -free FA: $M = (Q, \Sigma, R, s, F)$
- **Output:** DFA: $M_d = (Q_d, \Sigma, R_d, s_d, F_d)$
without any inaccessible states

- **Method:**

- $s_d := \{s\}; Q_{new} := \{s_d\}; R_d := \emptyset; Q_d := \emptyset; F_d := \emptyset;$
- **repeat**
 - let** $Q' \in Q_{new}; Q_{new} := Q_{new} - \{Q'\}; Q_d := Q_d \cup \{Q'\};$
 - for each** $a \in \Sigma$ **do begin**
 - $Q'' := \{q: p \in Q', pa \rightarrow q \in R\};$
 - if** $Q'' \neq \emptyset$ **then** $R_d := R_d \cup \{Q'a \rightarrow Q''\};$
 - if** $Q'' \notin Q_d \cup \{\emptyset\}$ **then** $Q_{new} := Q_{new} \cup \{Q''\}$
 - end;**
 - if** $Q' \cap F \neq \emptyset$ **then** $F_d := F_d \cup \{Q'\}$
- until** $Q_{new} = \emptyset.$

ε -free FA to DFA: Example 1/3

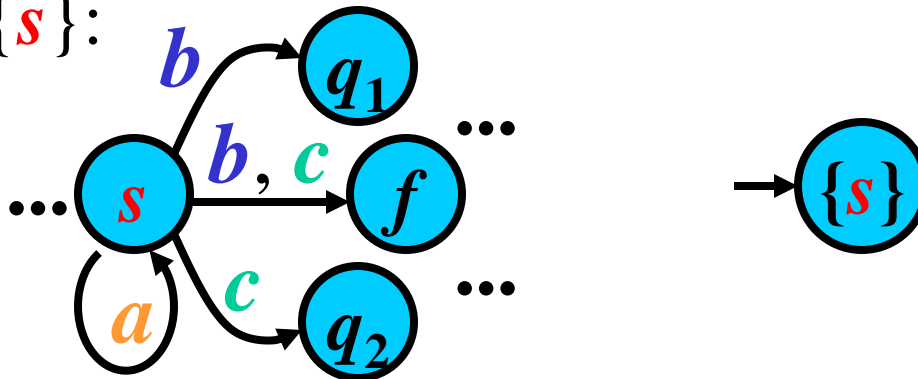
$M = (Q, \Sigma, R, s, F)$, where:

$Q = \{s, q_1, q_2, f\}; \Sigma = \{a, b, c\}; F = \{f\}$

$R = \{sa \rightarrow s, sb \rightarrow q_1, sb \rightarrow f, sc \rightarrow q_2, sc \rightarrow f,$
 $q_1b \rightarrow q_1, q_1b \rightarrow f, q_2c \rightarrow q_2, q_2c \rightarrow f, fa \rightarrow f\};$

$Q_{new} = \{\{s\}\}; R_d = \emptyset; Q_d = \emptyset; F_d = \emptyset$

for $Q' = \{s\}$:



ε -free FA to DFA: Example 1/3

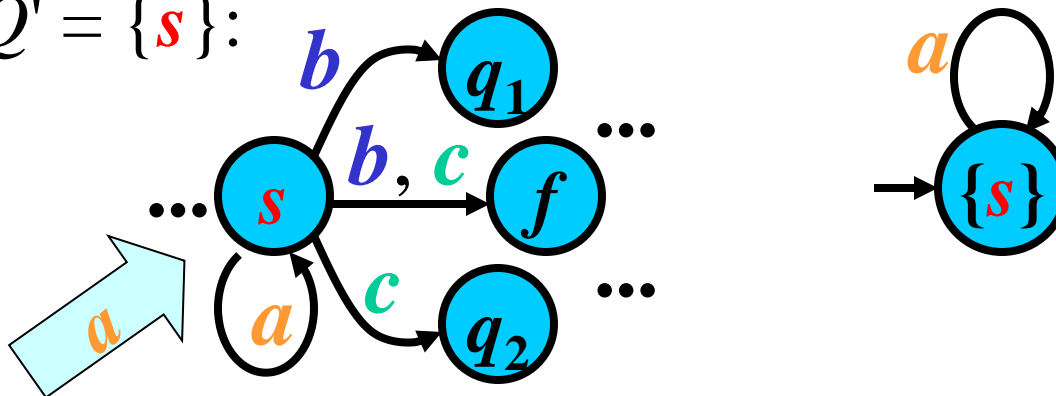
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for $Q' = \{s\}$:



ε -free FA to DFA: Example 1/3

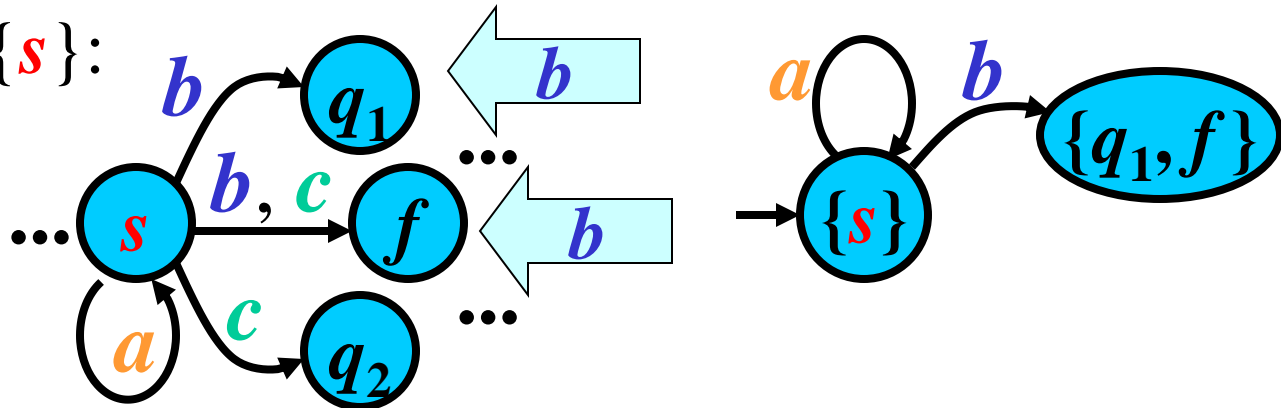
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for $Q' = \{s\}$:



ϵ -free FA to DFA: Example 1/3

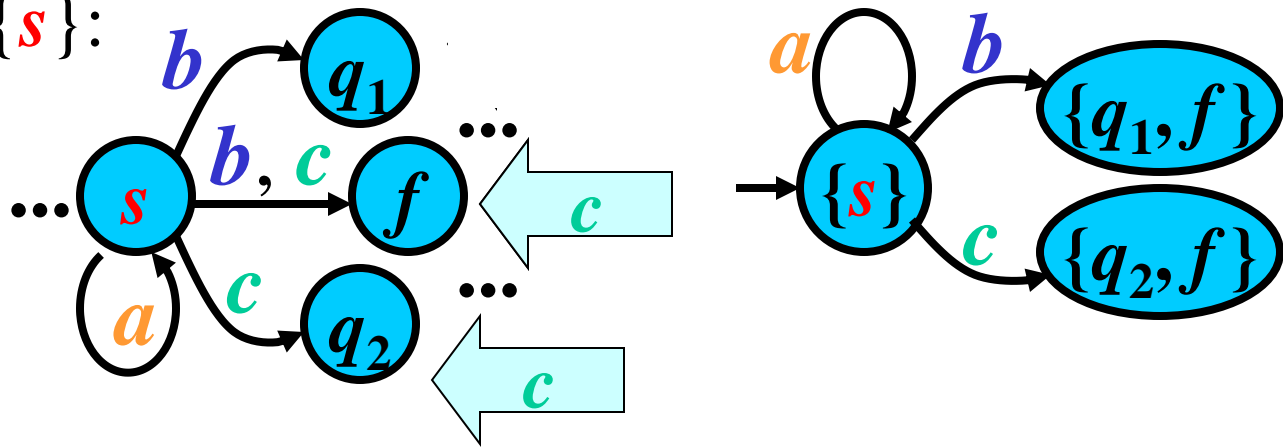
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$Q_{new} = \{\{s\}\}; R_d = \emptyset; Q_d = \emptyset; F_d = \emptyset$

for $Q' = \{s\}$:



ε -free FA to DFA: Example 1/3

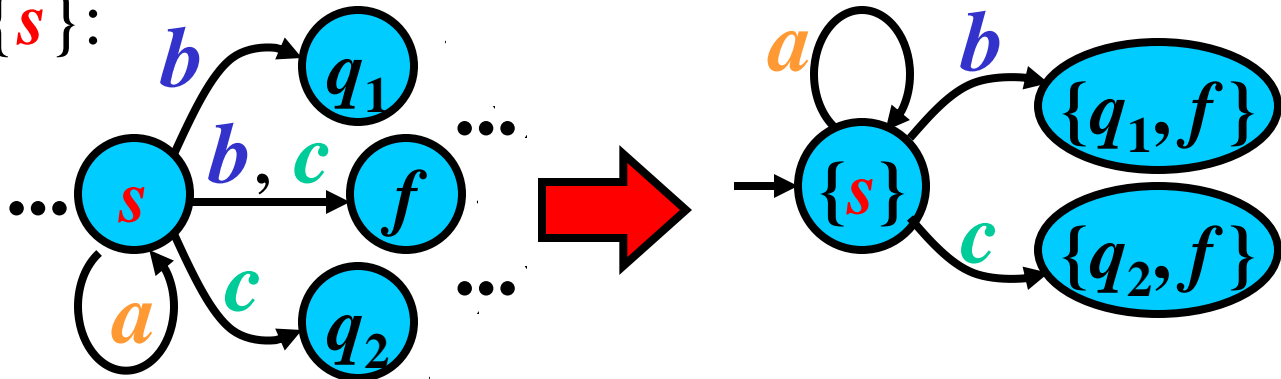
$M = (Q, \Sigma, R, s, F)$, where:

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$R = \{sa \rightarrow s, sb \rightarrow q_1, sb \rightarrow f, sc \rightarrow q_2, sc \rightarrow f, q_1b \rightarrow q_1, q_1b \rightarrow f, q_2c \rightarrow q_2, q_2c \rightarrow f, fa \rightarrow f\};$

$Q_{new} = \{\{s\}\}; R_d = \emptyset; Q_d = \emptyset; F_d = \emptyset$

for $Q' = \{s\}$:

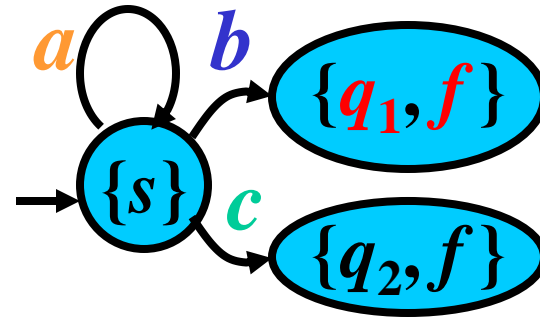
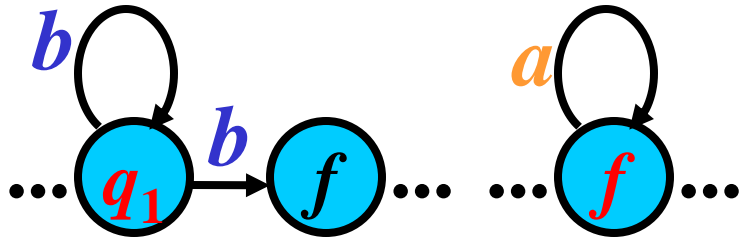


$R_d := \emptyset \cup \{\{s\}a \rightarrow \{s\}, \{s\}b \rightarrow \{q_1, f\}, \{s\}c \rightarrow \{q_2, f\}\}$

$Q_{new} = \{\{q_1, f\}, \{q_2, f\}\}, Q_d = \emptyset \cup \{\{s\}\}, F_d = \emptyset$

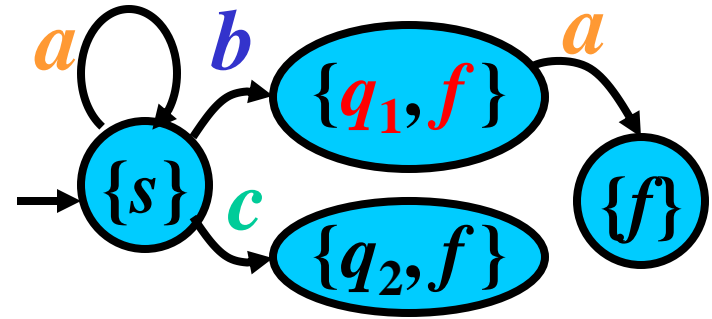
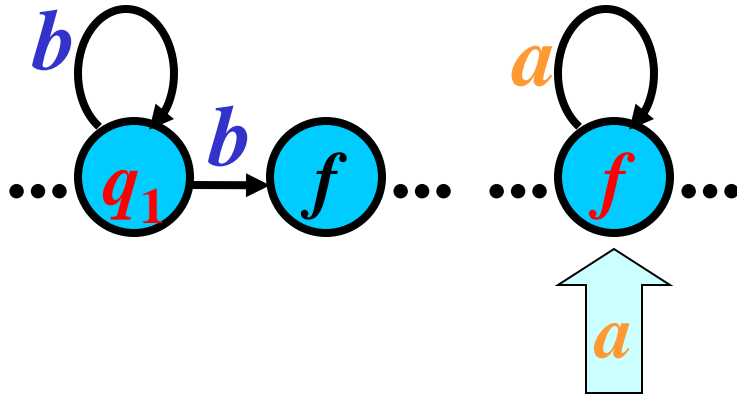
ϵ -free FA to DFA: Example 2/3

for $Q' = \{q_1, f\}$:



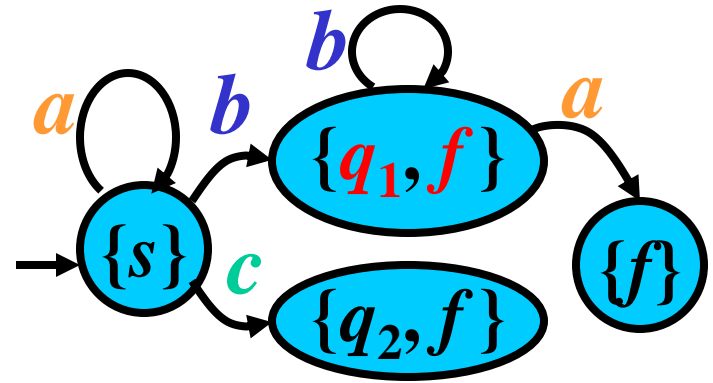
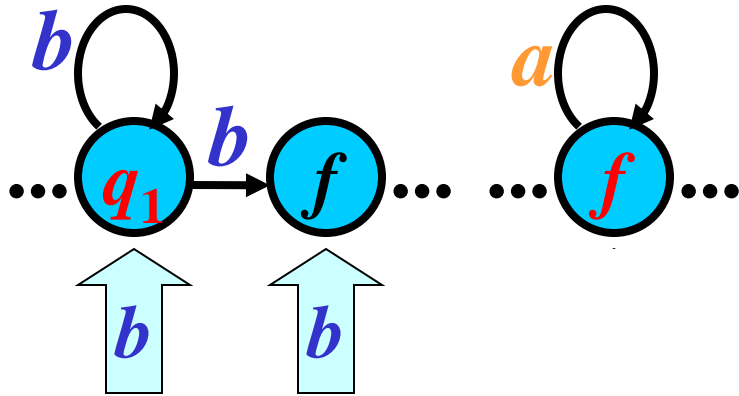
ϵ -free FA to DFA: Example 2/3

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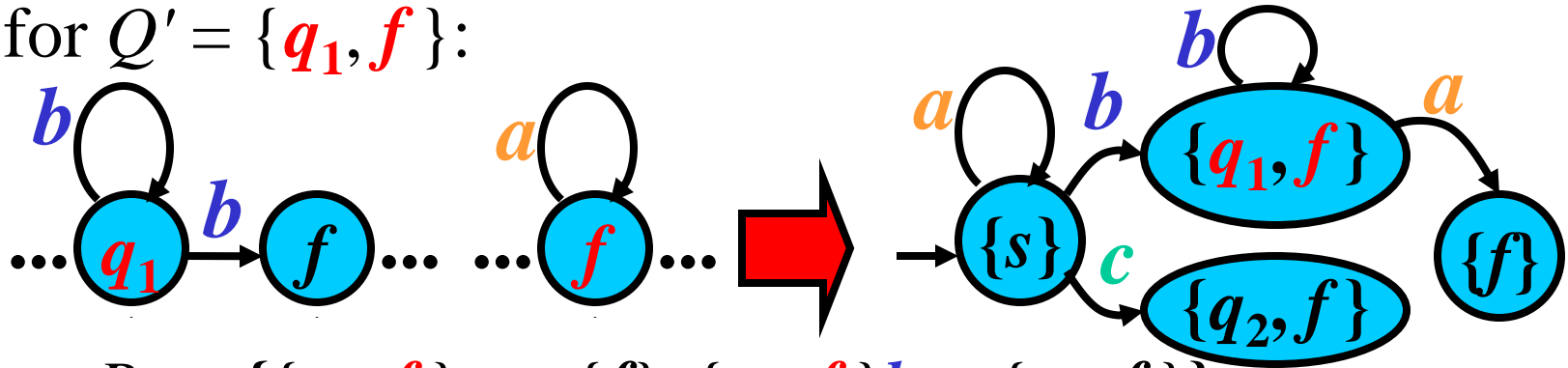
ϵ -free FA to DFA: Example 2/3

for $Q' = \{q_1, f\}$:



ε -free FA to DFA: Example 2/3

for $Q' = \{q_1, f\}$:

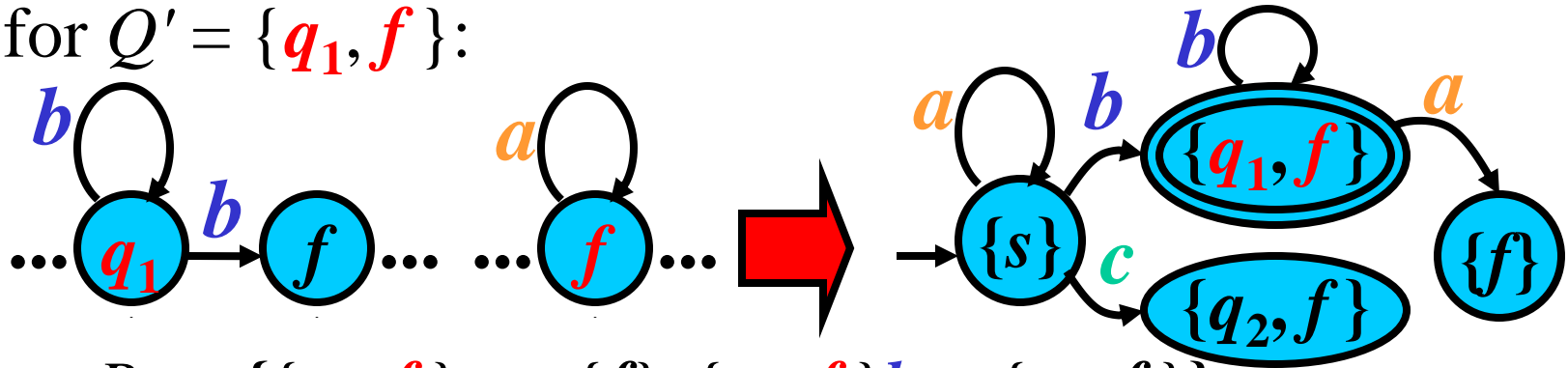


$$R_d := R_d \cup \{ \{q_1, f\} a \rightarrow \{f\}, \{q_1, f\} b \rightarrow \{q_1, f\} \}$$

$$Q_{new} = \{ \{q_2, f\}, \{f\} \}, Q_d = Q_d \cup \{ \{q_1, f\} \},$$

ε -free FA to DFA: Example 2/3

for $Q' = \{q_1, f\}$:

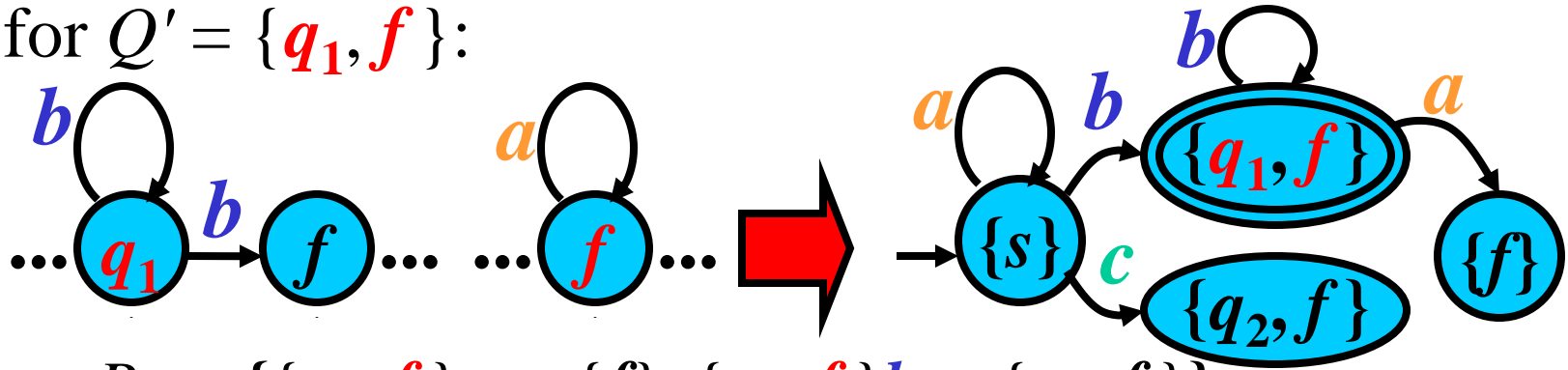


$$R_d := R_d \cup \{ \{q_1, f\} a \rightarrow \{f\}, \{q_1, f\} b \rightarrow \{q_1, f\} \}$$

$$Q_{new} = \{ \{q_2, f\}, \{f\} \}, Q_d = Q_d \cup \{ \{q_1, f\} \}, F_d := \emptyset \cup \{ \{q_1, f\} \}$$

ϵ -free FA to DFA: Example 2/3

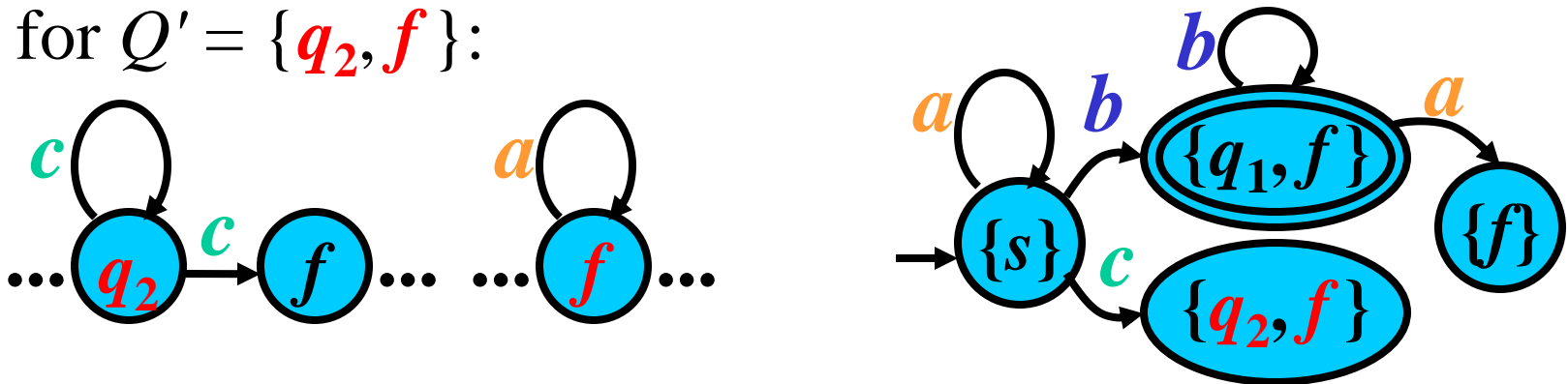
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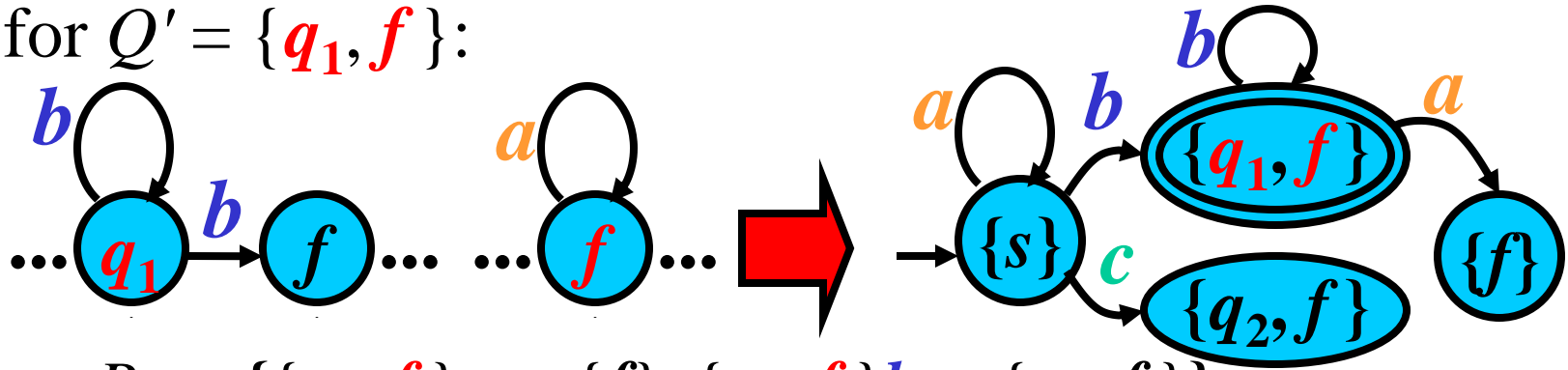
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ϵ -free FA to DFA: Example 2/3

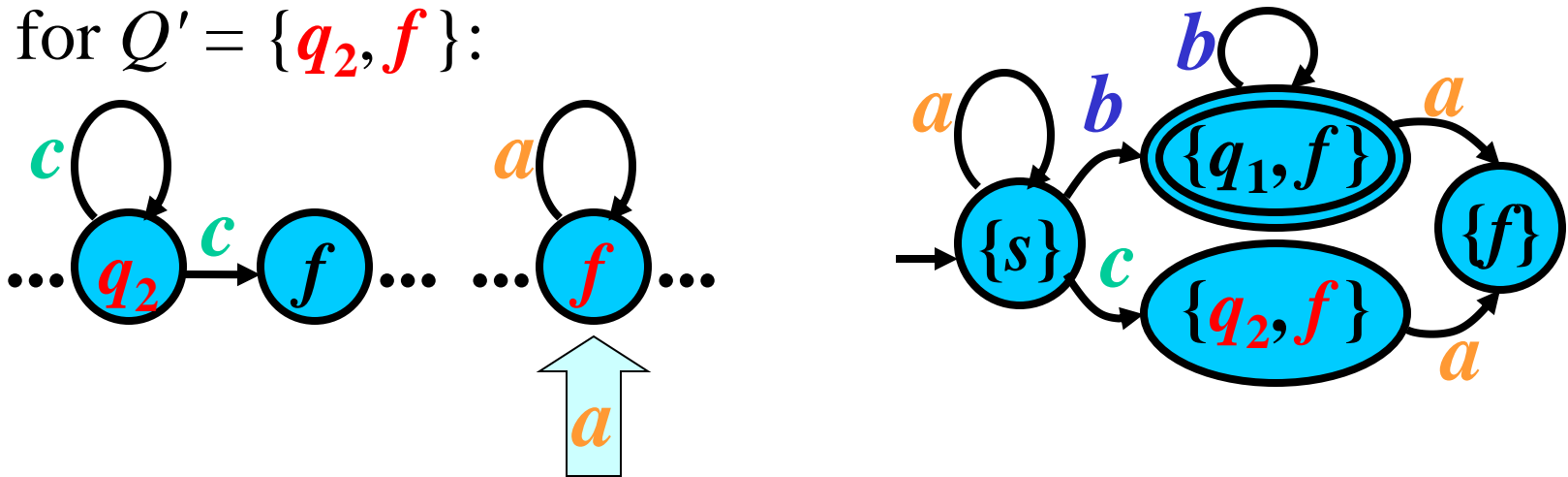
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$$R_d := R_d \cup \{ \{q_1, f\} a \rightarrow \{f\}, \{q_1, f\} b \rightarrow \{q_1, f\} \}$$

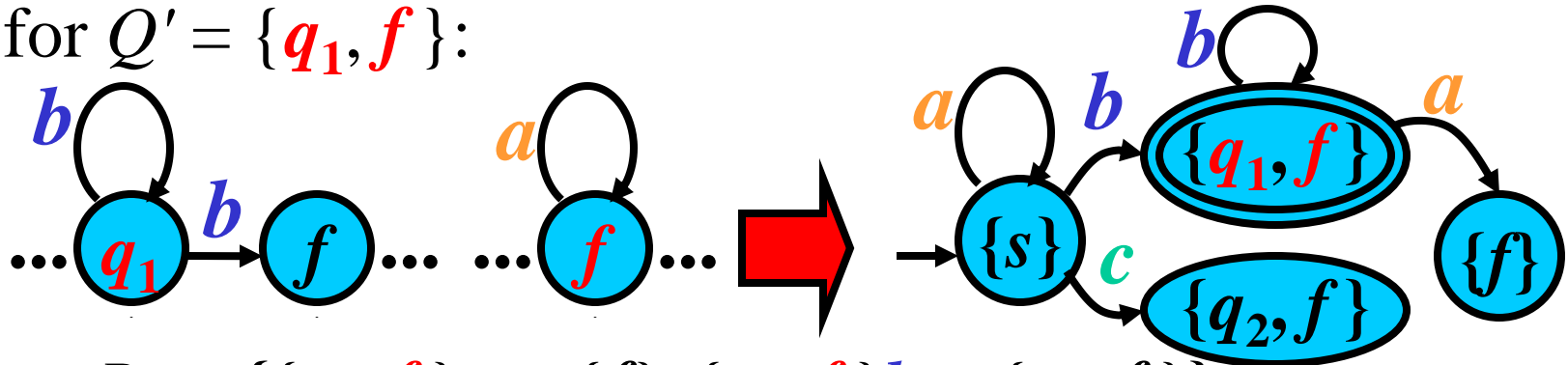
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for $Q' = \{q_2, f\}$:



ϵ -free FA to DFA: Example 2/3

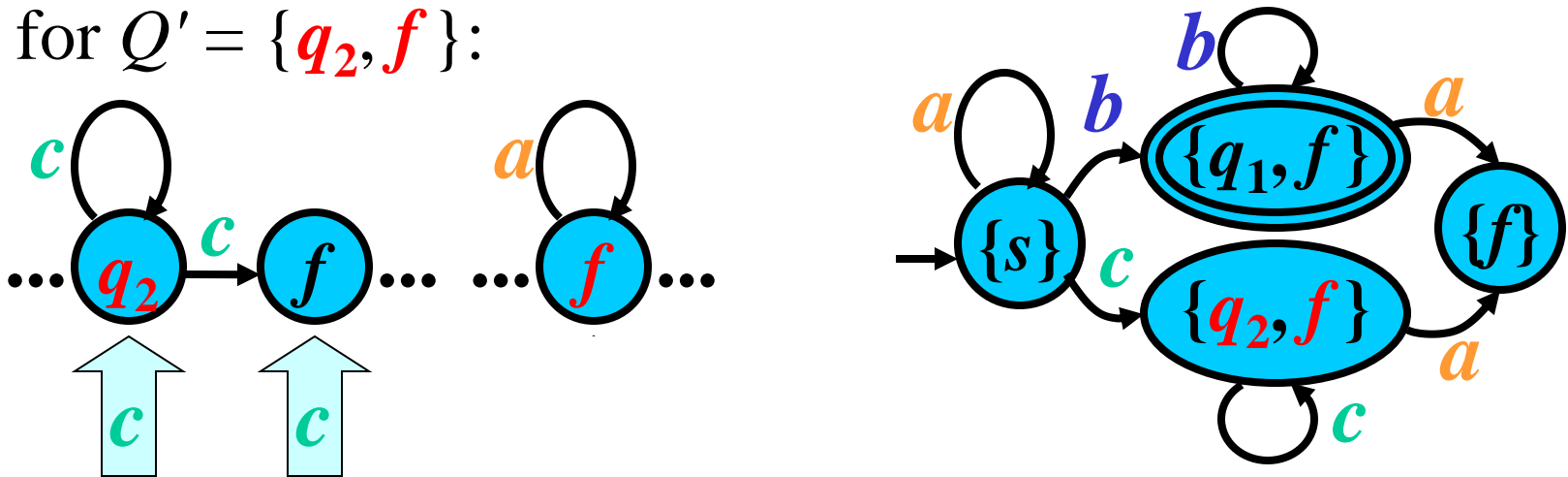
for $Q' = \{q_1, f\}$:



$$R_d := R_d \cup \{ \{q_1, f\} a \rightarrow \{f\}, \{q_1, f\} b \rightarrow \{q_1, f\} \}$$

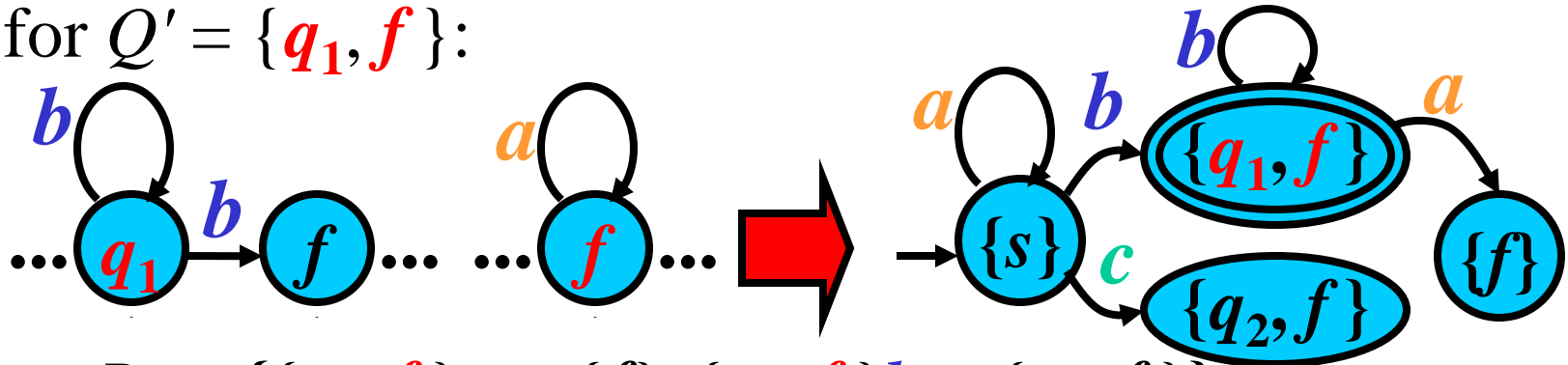
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ϵ -free FA to DFA: Example 2/3

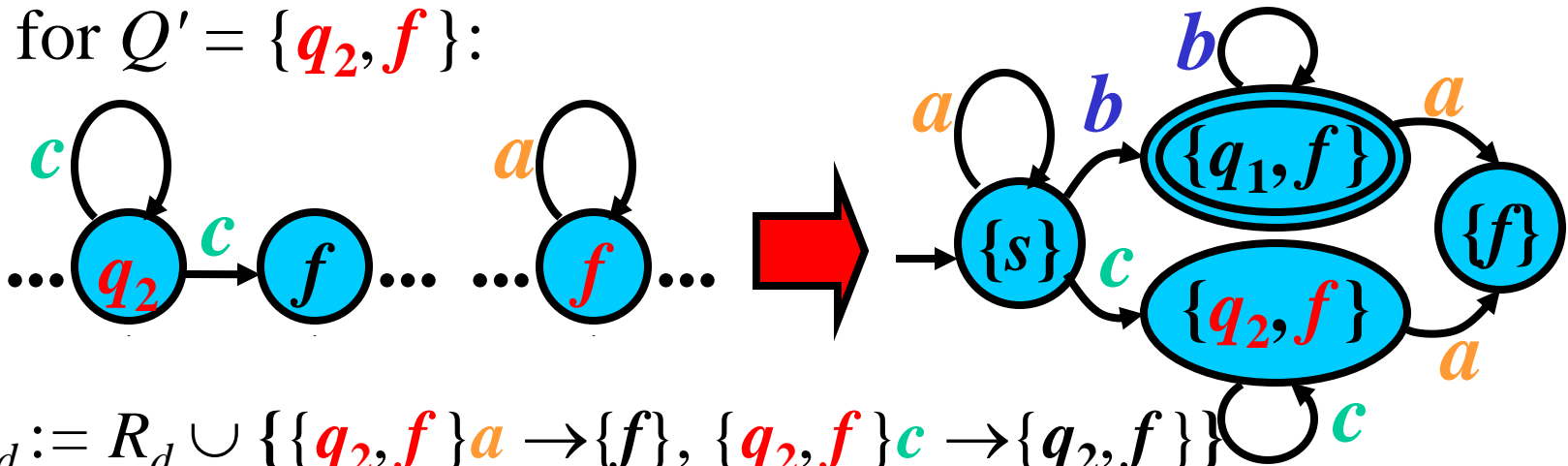
for $Q' = \{q_1, f\}$:



$$R_d := R_d \cup \{ \{q_1, f\} a \rightarrow \{f\}, \{q_1, f\} b \rightarrow \{q_1, f\} \}$$

$$Q_{new} = \{ \{q_2, f\}, \{f\} \}, Q_d = Q_d \cup \{ \{q_1, f\} \}, F_d := \emptyset \cup \{ \{q_1, f\} \}$$

for $Q' = \{q_2, f\}$:

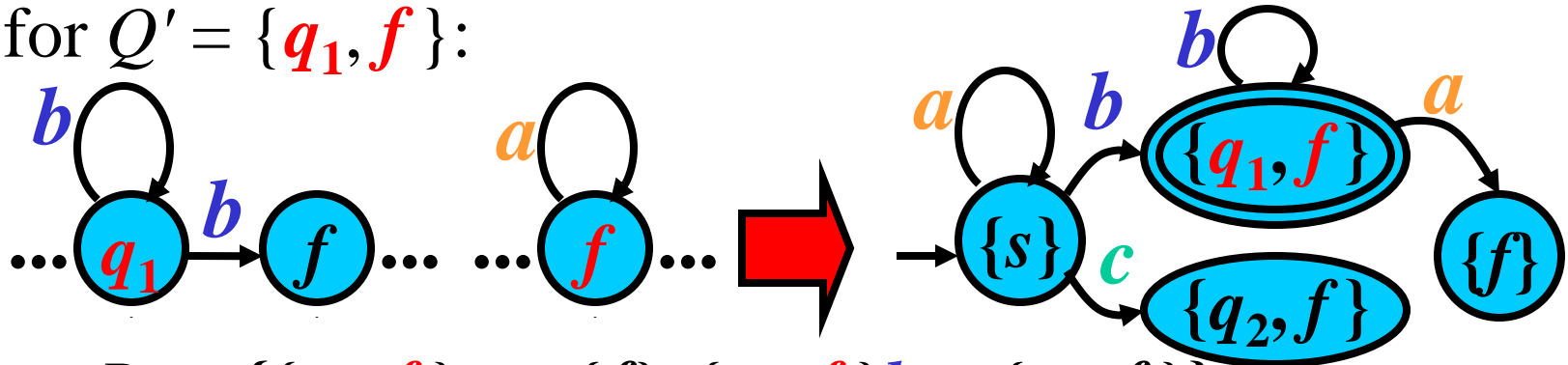


$$R_d := R_d \cup \{ \{q_2, f\} a \rightarrow \{f\}, \{q_2, f\} c \rightarrow \{q_2, f\} \}$$

$$Q_{new} = \{ \{f\} \}, Q_d = Q_d \cup \{ \{q_2, f\} \},$$

ε-free FA to DFA: Example 2/3

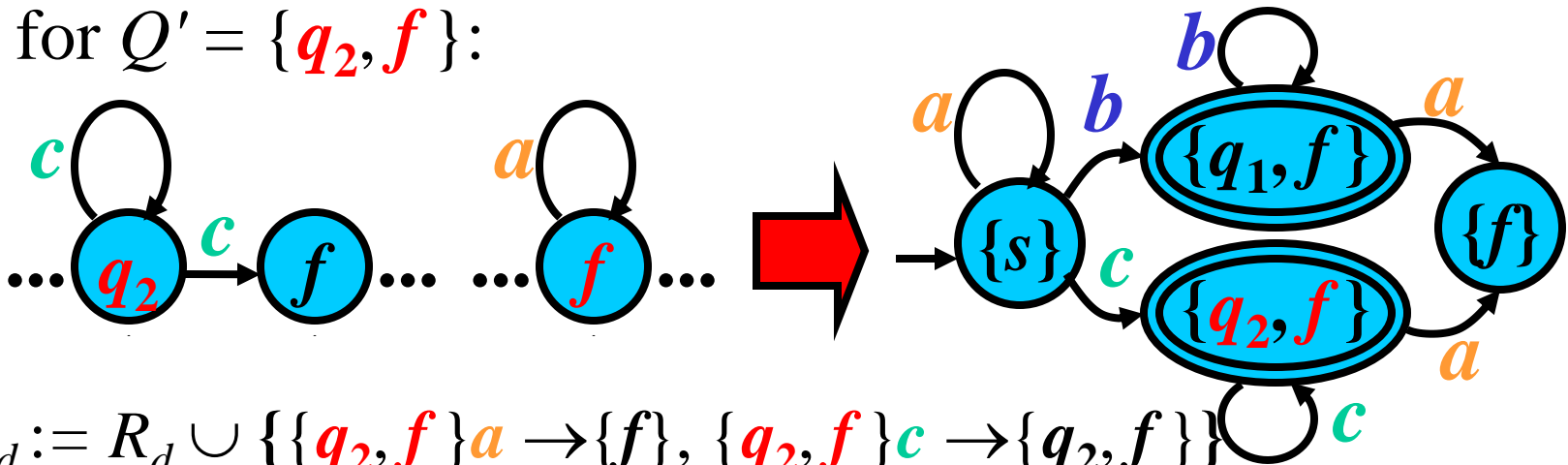
for $Q' = \{q_1, f\}$:



$$R_d := R_d \cup \{ \{q_1, f\} a \rightarrow \{f\}, \{q_1, f\} b \rightarrow \{q_1, f\} \}$$

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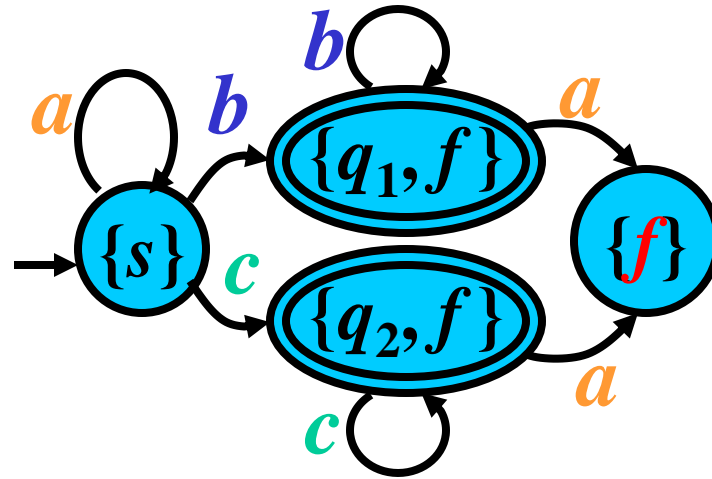
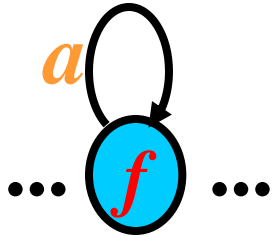


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$$Q_{new} = \{ \{f\} \}, Q_d = Q_d \cup \{ \{q_2, f\} \}, F_d := F_d \cup \{ \{q_2, f\} \}$$

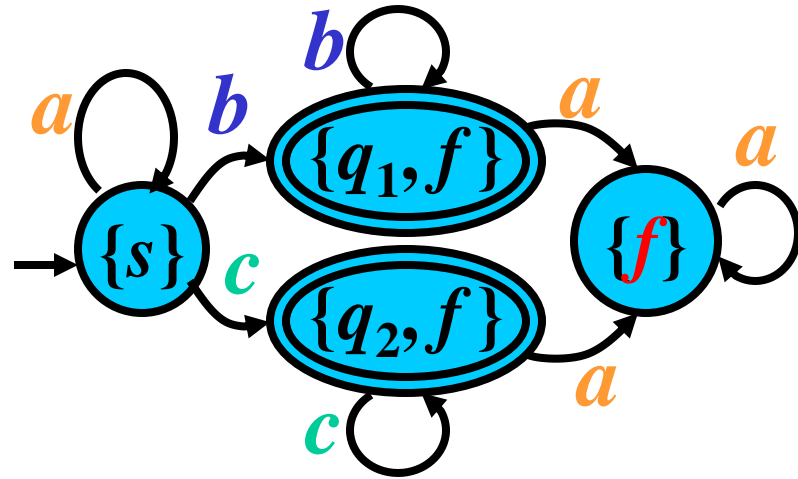
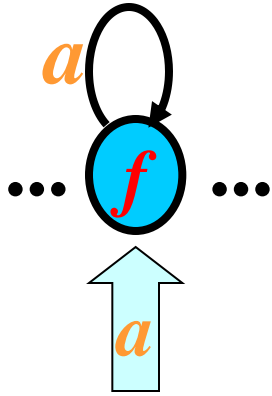
ϵ -free FA to DFA: Example 3/3

for $Q' = \{f\}$:



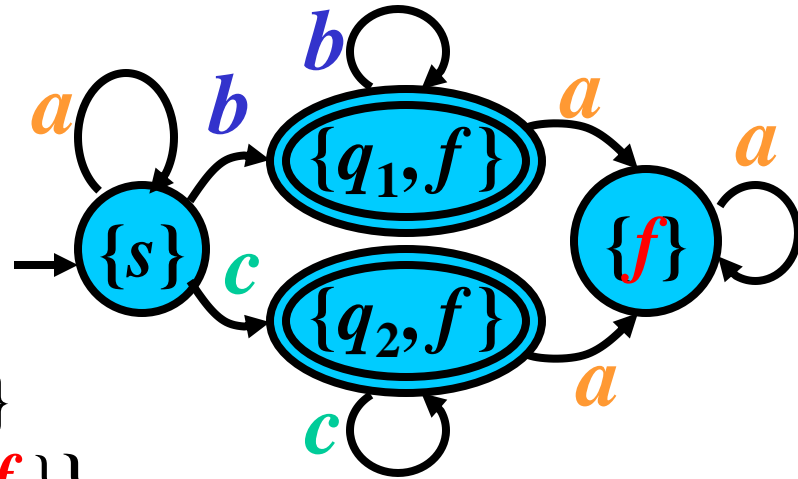
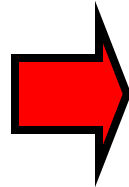
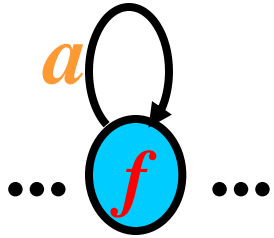
ϵ -free FA to DFA: Example 3/3

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ϵ -free FA to DFA: Example 3/3

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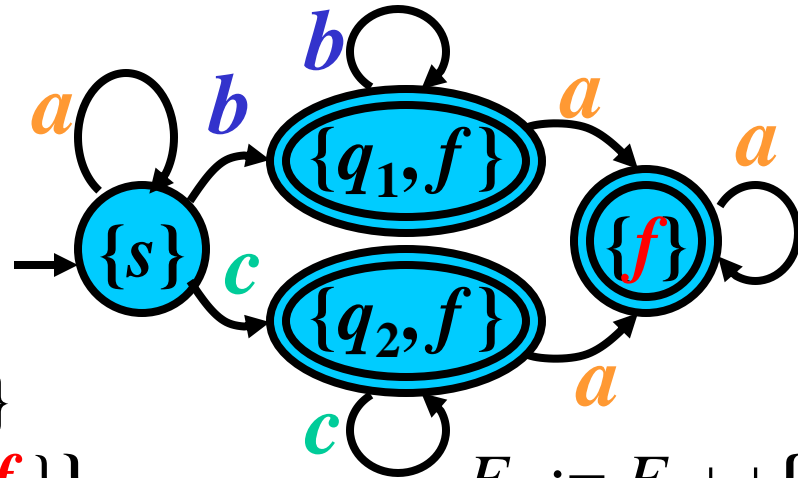
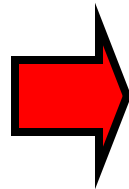
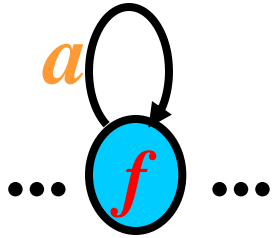


$$R_d := R_d \cup \{\{f\}a \rightarrow \{f\}\}$$

$$Q_{new} = \emptyset, Q_d = Q_d \cup \{\{f\}\},$$

ϵ -free FA to DFA: Example 3/3

for $Q' = \{f\}$:



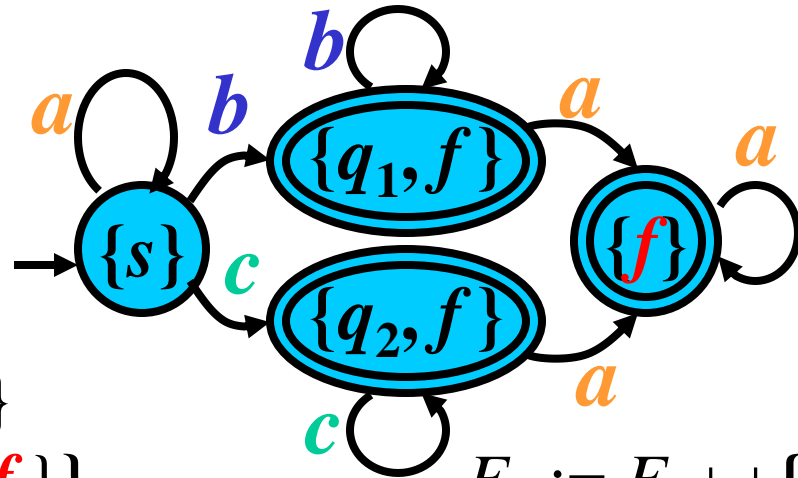
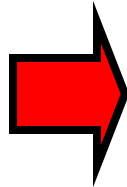
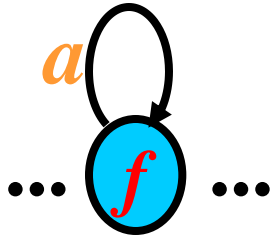
$$R_d := R_d \cup \{\{f\}a \rightarrow \{f\}\}$$

$$Q_{new} = \emptyset, Q_d = Q_d \cup \{\{f\}\},$$

$$F_d := F_d \cup \{\{f\}\}$$

ϵ -free FA to DFA: Example 3/3

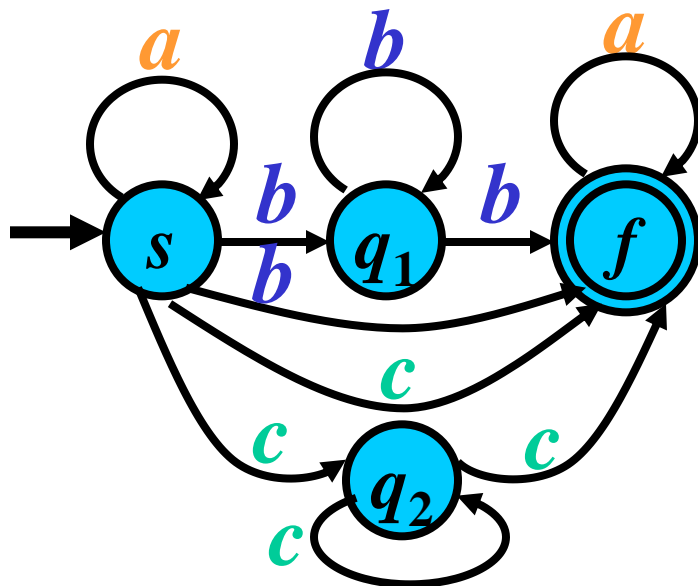
for $Q' = \{f\}$:



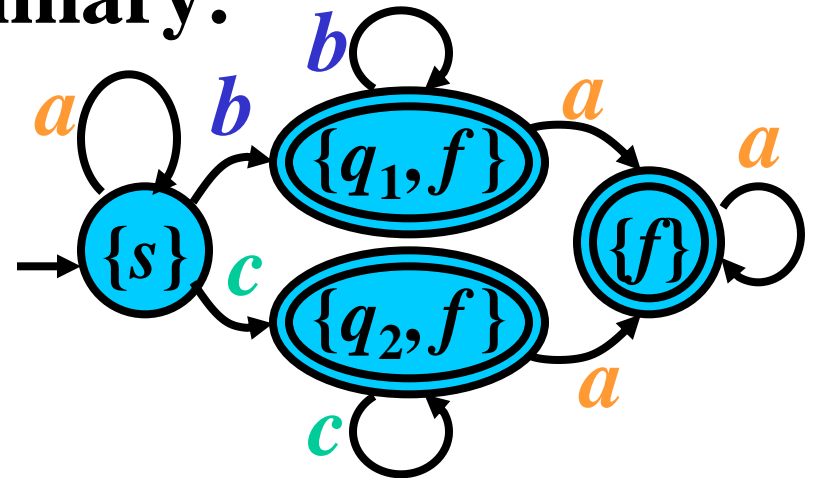
$$R_d := R_d \cup \{\{f\}a \rightarrow \{f\}\}$$

$$Q_{new} = \emptyset, Q_d = Q_d \cup \{\{f\}\},$$

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Summary:

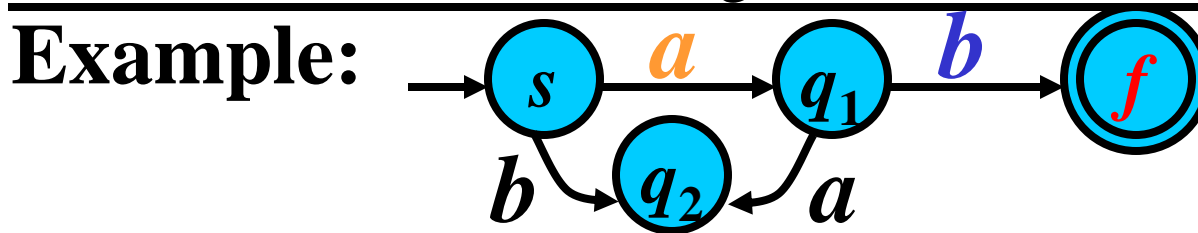


Terminating States

Gist: State q is *terminating* if a string takes DFA from q to a final state.

Definition: Let $M = (Q, \Sigma, R, s, F)$ be a DFA. A state $q \in Q$ is *terminating* if there exists $w \in \Sigma^*$ such that $qw \stackrel{*}{\vdash} f$ with $f \in F$; otherwise, q is *nonterminating*.

Note: Each nonterminating state can be removed from DFA

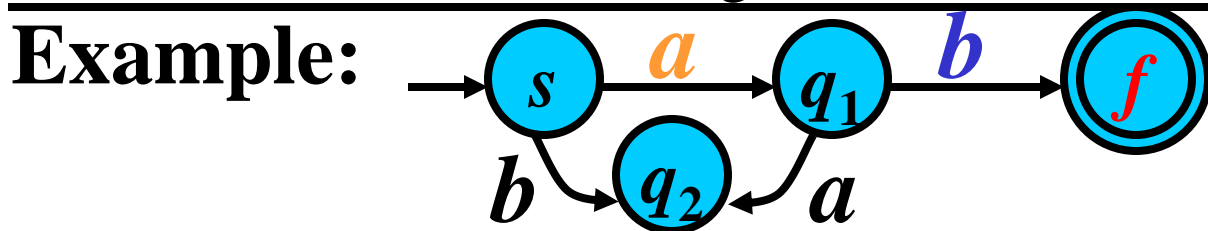


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Note: Each nonterminating state can be removed from DFA



State s - terminating: $w = ab$: $sab \stackrel{*}{\vdash} q_1b \stackrel{*}{\vdash} f$

State q_1 - terminating: $w = b$: $q_1b \stackrel{*}{\vdash} f$

State f - terminating: $w = \varepsilon$: $f \stackrel{*}{\vdash} f$

State q_2 - **nonterminating** (there is no $w \in \Sigma^*$ such that $q_2w \stackrel{*}{\vdash} q, q \in F$)

Algorithm: Removal of nont. states

- **Input:** DFA: $M = (Q, \Sigma, R, s, F)$
 - **Output:** DFA: $M_t = (Q_t, \Sigma, R_t, s, F)$
-
- **Method:**
 - $Q_0 := F; i := 0;$
 - **repeat**
 - $i := i + 1;$
 - $Q_i := Q_{i-1} \cup \{q: qa \rightarrow p \in R, a \in \Sigma, p \in Q_{i-1}\};$
 - until** $Q_i = Q_{i-1};$
 - $Q_t := Q_i;$
 - $R_t := \{qa \rightarrow p: qa \rightarrow p \in R, p, q \in Q_t, a \in \Sigma\}.$

Nonterminating States: Example

$M = (Q, \Sigma, R, s, F)$, where: $Q = \{s, q_1, q_2, f\}$, $\Sigma = \{a, b\}$,
 $R = \{sa \rightarrow q_1, sb \rightarrow q_2, q_1a \rightarrow q_2, q_1b \rightarrow f\}$, $F = \{f\}$

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Nonterminating States: Example

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$$Q_0 = \{f\}$$

$$1) qd \rightarrow f; q \in Q; d \in \Sigma: \quad q_1b \rightarrow f$$

$$Q_1 = \{f\} \cup \{q_1\} = \{f, q_1\}$$

Nonterminating States: Example

$M = (Q, \Sigma, R, s, F)$, where: $Q = \{s, q_1, q_2, f\}$, $\Sigma = \{a, b\}$,
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$$1) \quad qd \rightarrow f; q \in Q; d \in \Sigma: \quad q_1b \rightarrow f$$

$$Q_1 = \{f\} \cup \{q_1\} = \{f, q_1\}$$

$$2) \quad \begin{array}{ll} qd \rightarrow f; q \in Q; d \in \Sigma: & q_1b \rightarrow f \\ qd \rightarrow q_1; q \in Q; d \in \Sigma: & sa \rightarrow q_1 \end{array}$$

$$Q_2 = \{f, q_1\} \cup \{q_1, s\} = \{f, q_1, s\}$$

Nonterminating States: Example

$M = (Q, \Sigma, R, s, F)$, where: $Q = \{s, q_1, q_2, f\}$, $\Sigma = \{a, b\}$,
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$$2) \quad \begin{array}{ll} qd \rightarrow f; q \in Q; d \in \Sigma: & q_1b \rightarrow f \\ qd \rightarrow q_1; q \in Q; d \in \Sigma: & sa \rightarrow q_1 \end{array}$$

$$Q_2 = \{f, q_1\} \cup \{q_1, s\} = \{f, q_1, s\}$$

$$3) \quad \begin{array}{ll} qd \rightarrow f; q \in Q; d \in \Sigma: & q_1b \rightarrow f \\ qd \rightarrow q_1; q \in Q; d \in \Sigma: & sa \rightarrow q_1 \\ qd \rightarrow s; q \in Q; d \in \Sigma: & \text{none} \end{array}$$

$$Q_3 = \{f, q_1, s\} \cup \{q_1, s\} = \{f, q_1, s\} = Q_2 = Q_t$$

Nonterminating States: Example

$M = (Q, \Sigma, R, s, F)$, where: $Q = \{s, q_1, q_2, f\}$, $\Sigma = \{a, b\}$,
 $R = \{sa \rightarrow q_1, sb \rightarrow q_2, q_1a \rightarrow q_2, q_1b \rightarrow f\}$, $F = \{f\}$

$$Q_0 = \{f\}$$

$$1) \quad qd \rightarrow f; q \in Q; d \in \Sigma: \quad q_1b \rightarrow f$$

$$Q_1 = \{f\} \cup \{q_1\} = \{f, q_1\}$$

$$2) \quad \begin{array}{ll} qd \rightarrow f; q \in Q; d \in \Sigma: & q_1b \rightarrow f \\ qd \rightarrow q_1; q \in Q; d \in \Sigma: & sa \rightarrow q_1 \end{array}$$

$$Q_2 = \{f, q_1\} \cup \{q_1, s\} = \{f, q_1, s\}$$

$$3) \quad \begin{array}{ll} qd \rightarrow f; q \in Q; d \in \Sigma: & q_1b \rightarrow f \\ qd \rightarrow q_1; q \in Q; d \in \Sigma: & sa \rightarrow q_1 \\ qd \rightarrow s; q \in Q; d \in \Sigma: & \text{none} \end{array}$$

$$Q_3 = \{f, q_1, s\} \cup \{q_1, s\} = \{f, q_1, s\} = Q_2 = Q_t$$

$$R_t = \{sa \rightarrow q_1, sb \rightarrow q_2, q_1a \rightarrow q_2, q_1b \rightarrow f\}$$

Nonterminating States: Example

$M = (Q, \Sigma, R, s, F)$, where: $Q = \{s, q_1, q_2, f\}$, $\Sigma = \{a, b\}$,
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$$Q_2 = \{f, q_1\} \cup \{q_1, s\} = \{f, q_1, s\}$$

$$3) \quad \begin{array}{ll} qd \rightarrow f; q \in Q; d \in \Sigma: & q_1b \rightarrow f \\ qd \rightarrow q_1; q \in Q; d \in \Sigma: & sa \rightarrow q_1 \\ qd \rightarrow s; q \in Q; d \in \Sigma: & \text{none} \end{array}$$

$$Q_3 = \{f, q_1, s\} \cup \{q_1, s\} = \{f, q_1, s\} = Q_2 = Q_t$$

$$R_t = \{sa \rightarrow q_1, sb \not\rightarrow q_2, q_1a \rightarrow q_2, q_1b \rightarrow f\}$$

Nonterminating States: Example

$M = (Q, \Sigma, R, s, F)$, where: $Q = \{s, q_1, q_2, f\}$, $\Sigma = \{a, b\}$,
 $R = \{sa \rightarrow q_1, sb \rightarrow q_2, q_1a \rightarrow q_2, q_1b \rightarrow f\}$, $F = \{f\}$

$$Q_0 = \{f\}$$

$$1) \quad qd \rightarrow f; q \in Q; d \in \Sigma: \quad q_1b \rightarrow f$$

$$Q_1 = \{f\} \cup \{q_1\} = \{f, q_1\}$$

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$$Q_2 = \{f, q_1\} \cup \{q_1, s\} = \{f, q_1, s\}$$

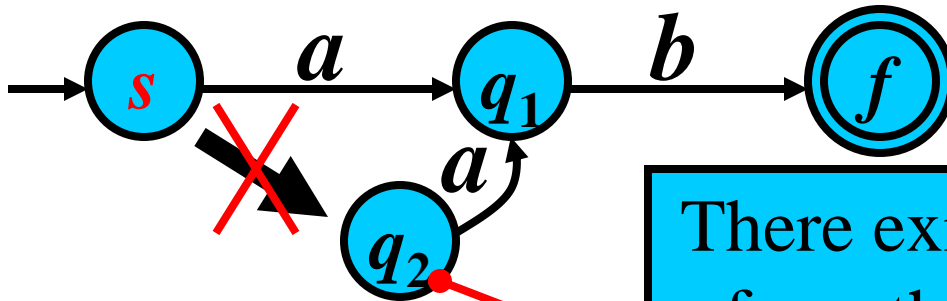
$$3) \quad \begin{array}{ll} qd \rightarrow f; q \in Q; d \in \Sigma: & q_1b \rightarrow f \\ qd \rightarrow q_1; q \in Q; d \in \Sigma: & sa \rightarrow q_1 \\ qd \rightarrow s; q \in Q; d \in \Sigma: & \text{none} \end{array}$$

$$Q_3 = \{f, q_1, s\} \cup \{q_1, s\} = \{f, q_1, s\} = Q_2 = Q_t$$

$$R_t = \{sa \rightarrow q_1, sb \not\rightarrow q_2, q_1a \not\rightarrow q_2, q_1b \rightarrow f\}$$

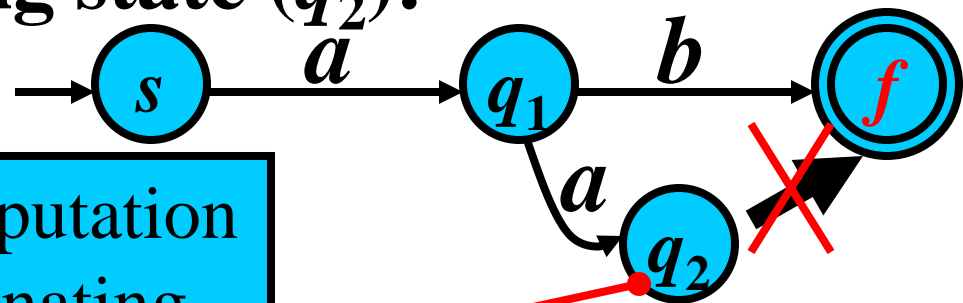
Summary: States to Remove

1) Inaccessible state (q_2):



There exists no computation from the start state to this inaccessible state.

2) Nonterminating state (q_2):



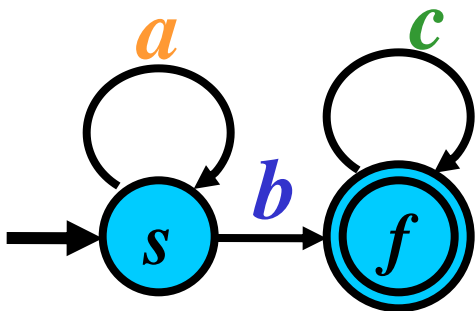
There exists no computation from this nonterminating state to a final state.

Complete DFA

Gist: Complete DFA cannot get stuck.

Definition: Let $M = (Q, \Sigma, R, s, F)$ be a **DFA**. M is *complete*, if for any $p \in Q$, $a \in \Sigma$ there is exactly one rule of the form $pa \rightarrow q \in R$ for some $q \in Q$; otherwise, M is *incomplete*

Conversion: Incomplete DFA



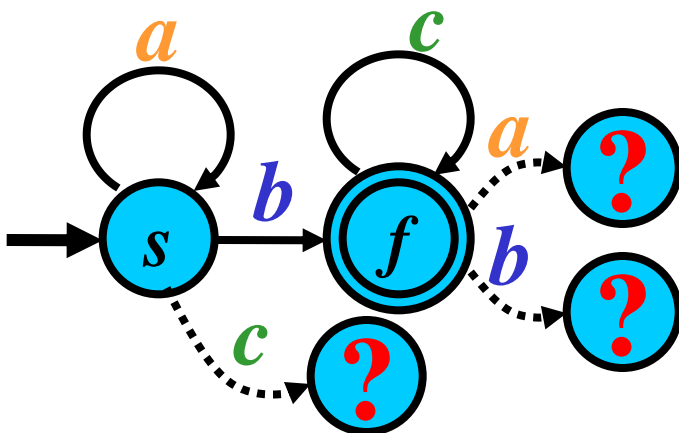
$$\Sigma = \{a, b, c\}$$

Complete DFA

Gist: Complete DFA cannot get stuck.

Definition: Let $M = (Q, \Sigma, R, s, F)$ be a **DFA**. M is *complete*, if for any $p \in Q$, $a \in \Sigma$ there is exactly one rule of the form $pa \rightarrow q \in R$ for some $q \in Q$; otherwise, M is *incomplete*

Conversion: Incomplete DFA



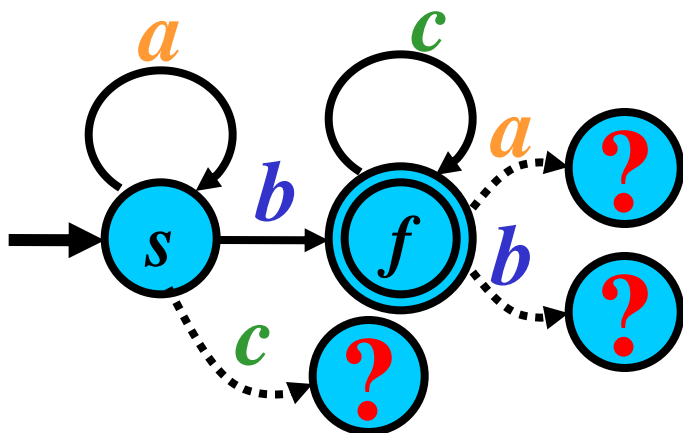
$$\Sigma = \{a, b, c\}$$

Complete DFA

Gist: Complete DFA cannot get stuck.

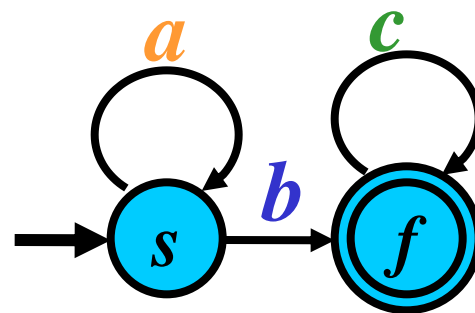
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Conversion: Incomplete DFA



$$\Sigma = \{a, b, c\}$$

to Complete DFA

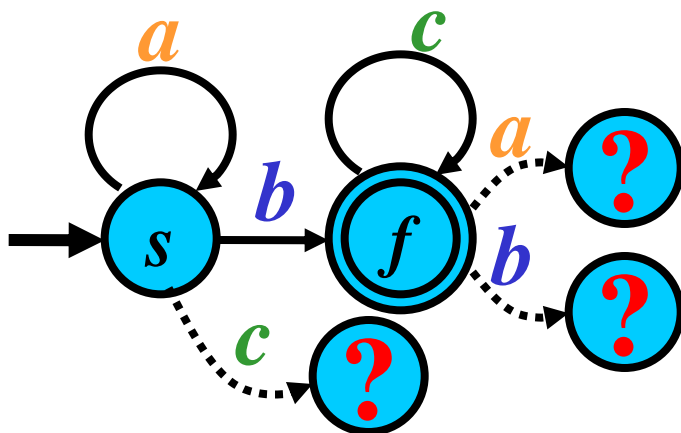


Complete DFA

Gist: Complete DFA cannot get stuck.

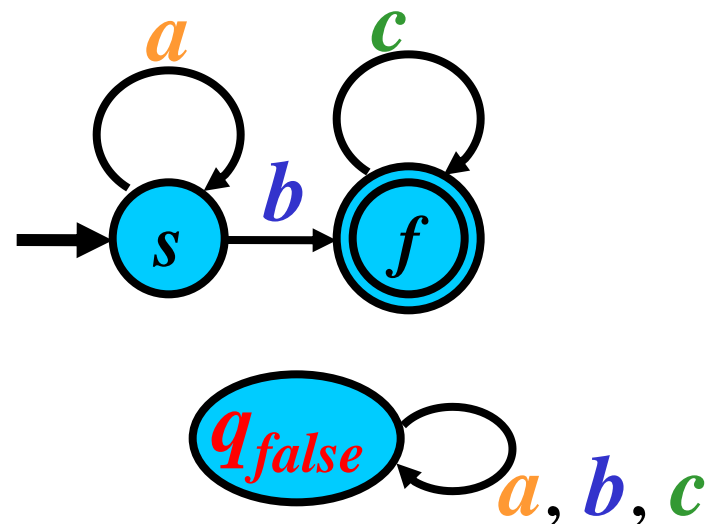
Definition: Let $M = (Q, \Sigma, R, s, F)$ be a **DFA**. M is *complete*, if for any $p \in Q$, $a \in \Sigma$ there is exactly one rule of the form $pa \rightarrow q \in R$ for some $q \in Q$; otherwise, M is *incomplete*

Conversion: Incomplete DFA



$$\Sigma = \{a, b, c\}$$

to Complete DFA

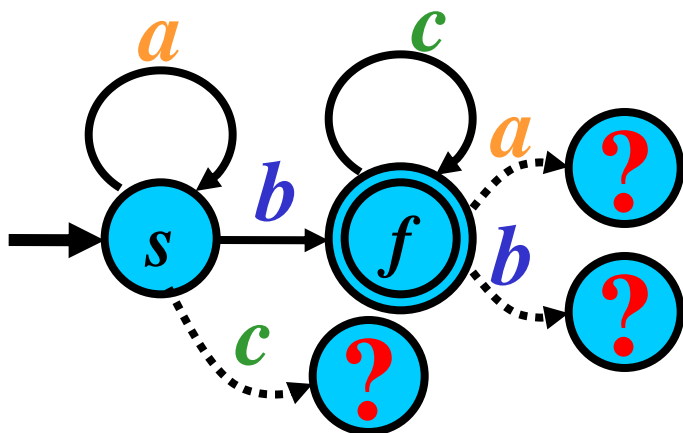


Complete DFA

Gist: Complete DFA cannot get stuck.

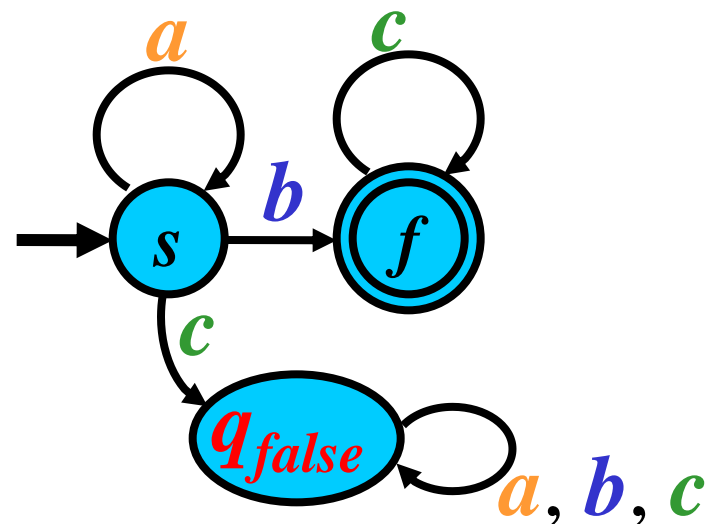
Definition: Let $M = (Q, \Sigma, R, s, F)$ be a DFA. M is *complete*, if for any $p \in Q$, $a \in \Sigma$ there is exactly one rule of the form $pa \rightarrow q \in R$ for some $q \in Q$; otherwise, M is *incomplete*

Conversion: Incomplete DFA



$$\Sigma = \{a, b, c\}$$

to Complete DFA

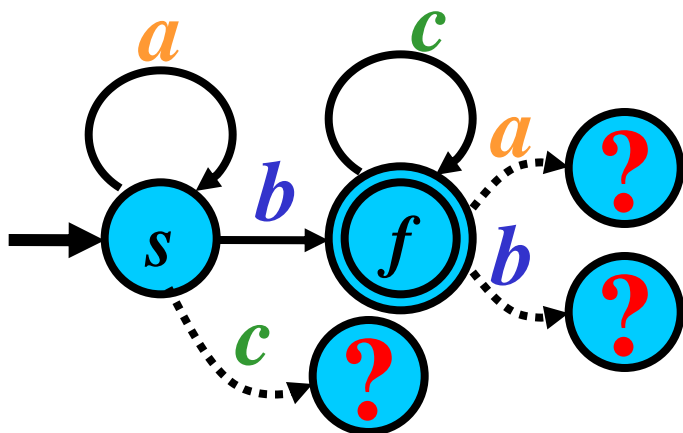


Complete DFA

Gist: Complete DFA cannot get stuck.

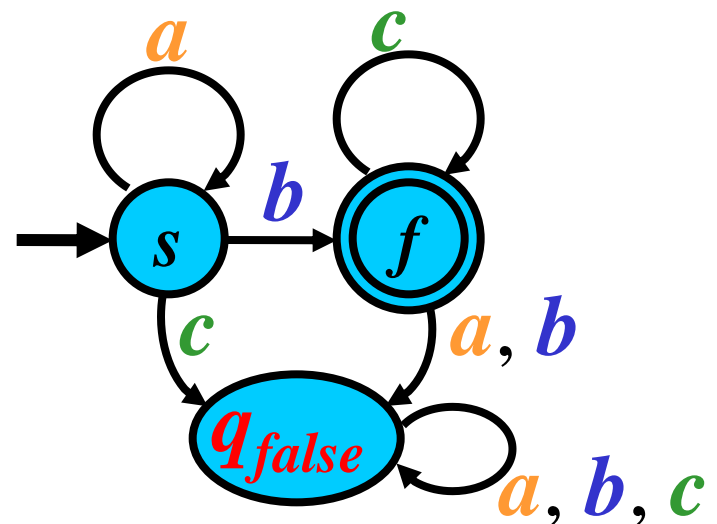
Definition: Let $M = (Q, \Sigma, R, s, F)$ be a DFA. M is *complete*, if for any $p \in Q$, $a \in \Sigma$ there is exactly one rule of the form $pa \rightarrow q \in R$ for some $q \in Q$; otherwise, M is *incomplete*

Conversion: Incomplete DFA



$\Sigma = \{a, b, c\}$

to Complete DFA



Algorithm: DFA to Complete DFA

Gist: Add a “trap” state

- **Input:** Incomplete DFA $M = (Q, \Sigma, R, s, F)$
 - **Output:** Complete DFA $M_c = (Q_c, \Sigma, R_c, s, F)$
-

- **Method:**

- $Q_c := Q \cup \{q_{false}\};$
- $R_c := R \cup \{qa \rightarrow q_{false} : a \in \Sigma, q \in Q_c,$
 $qa \rightarrow p \notin R, p \in Q\}.$

Well-Specified FA

Definition: Let $M = (Q, \Sigma, R, s, F)$ be a **complete DFA**. Then, M is *well-specified FA* (WSFA) if:

- 1) Q has no inaccessible state
- 2) Q has no more than one nonterminating state

Note: If well-specified FA has one nonterminating state, then it is q_{false} from the previous algorithm.

Theorem: For every FA M , there is an equivalent WSFA M_{ws} .

Proof: Use the next algorithm.

Algorithm: FA to WSFA

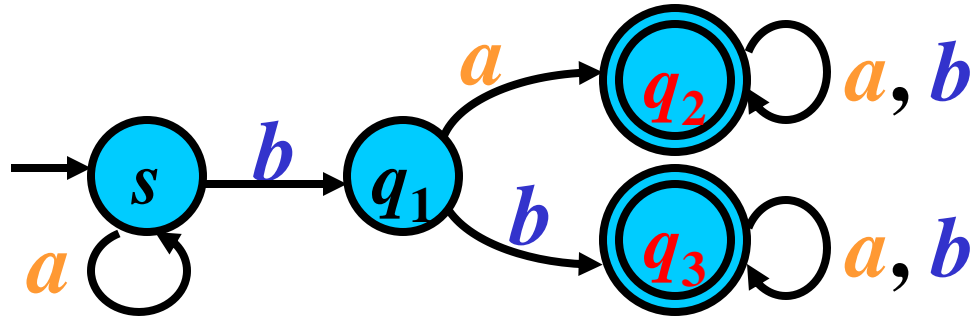
- **Input:** FA M
 - **Output:** WSFA M_{ws}
-
- **Method:**
 - convert a FA M to an equivalent ε -free FA M'
 - convert a M' to an equivalent DFA M_d without any inaccessible state
 - convert M_d to an equivalent DFA M_t without any nonterminating state
 - convert M_t to an equivalent complete FA M_c
 - $M_{ws} := M_c$
- Note:** No more than one nonterminating state in $M_{ws} \xrightarrow{q_{false}}$

Distinguishable States

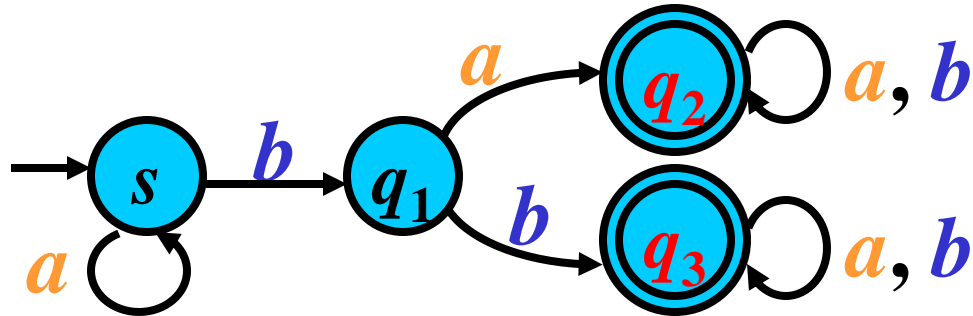
Gist: String w *distinguishes* states p and q if WSFA reaches a final state from precisely one of configurations pw and qw .

Definition: Let $M = (Q, \Sigma, R, s, F)$ be a WSFA, and let $p, q \in Q, p \neq q$. States p and q are *distinguishable* if there exists $w \in \Sigma^*$ such that: $pw \vdash^* p'$ and $qw \vdash^* q'$, where $p', q' \in Q$ and $((p' \in F \text{ and } q' \notin F) \text{ or } (p' \notin F \text{ and } q' \in F))$; otherwise, states p and q are *indistinguishable*

Distinguishable States: Example



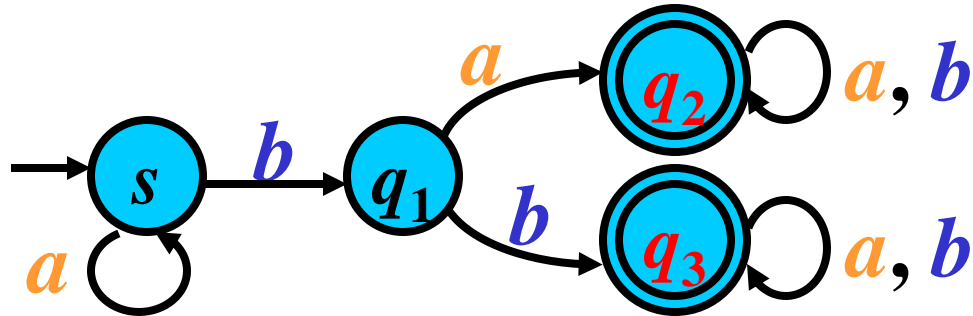
Distinguishable States: Example



- s and q_1 are **distinguishable**, because for $w = a$:

$$\begin{array}{l}
 sa \mid - s, s \notin F \\
 q_1 a \mid - q_2, q_2 \in F
 \end{array}$$

Distinguishable States: Example



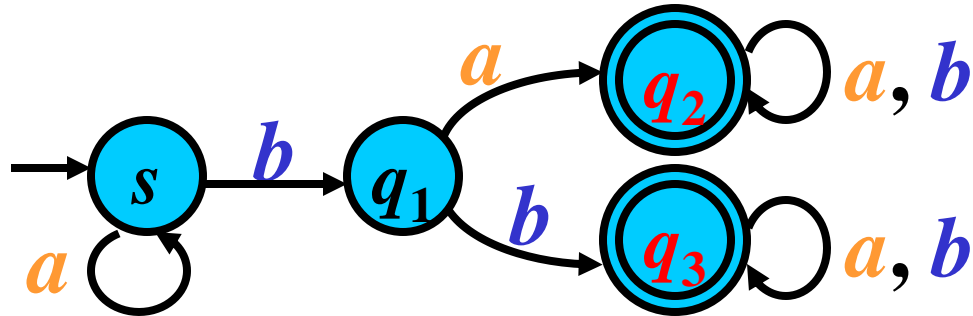
- s and q_1 are **distinguishable**, because for $w = a$:

$$\begin{array}{l}
 sa \mid - s, s \notin F \\
 q_1 a \mid - q_2, q_2 \in F
 \end{array}$$

- q_2 and q_3 are **indistinguishable**, because for each $w \in \Sigma^*$:

$$\begin{array}{l}
 q_2 w \mid -^* q_2, q_2 \in F \\
 q_3 w \mid -^* q_3, q_3 \in F
 \end{array}$$

Distinguishable States: Example



- s and q_1 are **distinguishable**, because for $w = a$:

$$\begin{aligned} sa & \vdash s, s \notin F \\ q_1 a & \vdash q_2, q_2 \in F \end{aligned}$$

- q_2 and q_3 are **indistinguishable**, because for each $w \in \Sigma^*$:

$$\begin{aligned} q_2 w & \vdash^* q_2, q_2 \in F \\ q_3 w & \vdash^* q_3, q_3 \in F \end{aligned}$$

- Other pairs of states are trivially **distinguishable** for $w = \varepsilon$.

Minimum-State FA

Definition: Let M be a WSFA. Then, M is *minimum-state FA* if M contains only distinguishable states.

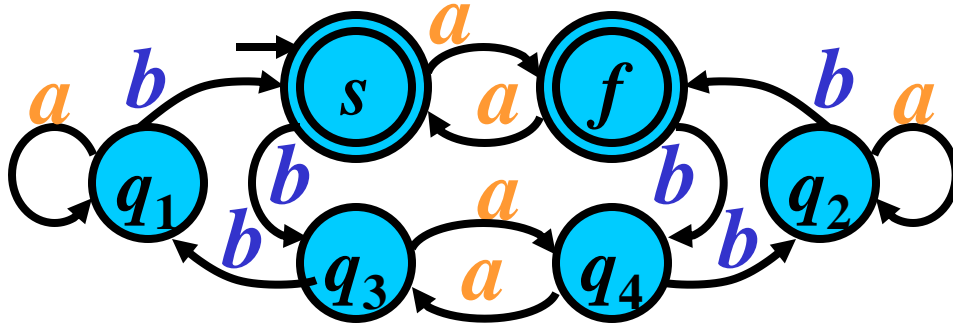
Theorem: For every WSFA M , there is an equivalent minimum-state FA M_m

Proof: Use the next algorithm.

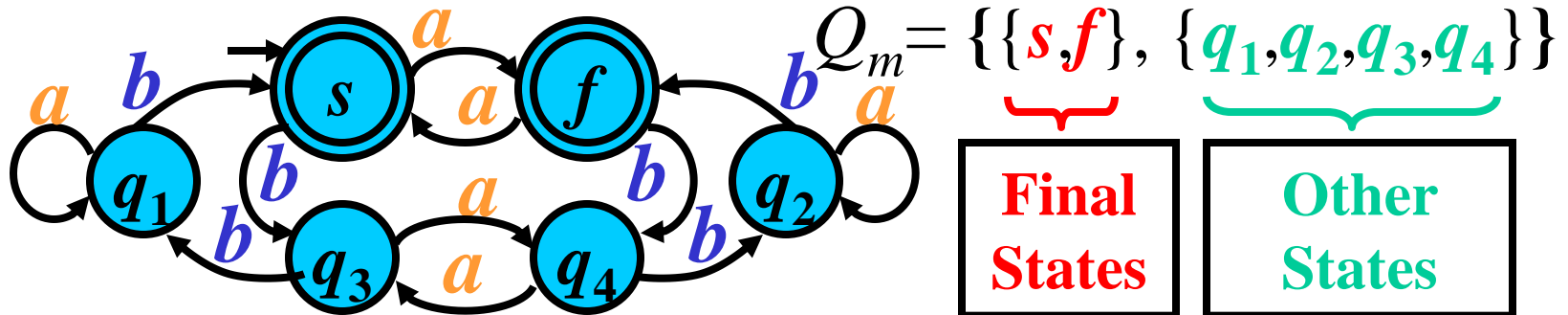
Algorithm: WSFA to Min-State FA

- **Input:** WSFA $M = (Q, \Sigma, R, s, F)$
- **Output:** Minimum-State FA $M_m = (Q_m, \Sigma, R_m, s_m, F_m)$
- **Method:**
- $Q_m = \{\{p: p \in F\}, \{q: q \in Q - F\}\};$
- **repeat**
 - if there exist** $X \in Q_m$, $d \in \Sigma$, $X_1, X_2 \subset X$ such that
 - $X = X_1 \cup X_2$, $X_1 \cap X_2 = \emptyset$ **and**
 - $\{q_1: p_1 \in X_1, p_1 d \rightarrow q_1 \in R\} \subseteq Q_m$, $Q_1 \in Q_m$,
 - $\{q_2: p_2 \in X_2, p_2 d \rightarrow q_2 \in R\} \cap Q_1 = \emptyset$
 - then** divide X into X_1 and X_2 in Q_m
- until** no division is possible;
- $R_m = \{Xa \rightarrow Y: X, Y \in Q_m, pa \rightarrow q \in R, p \in X, q \in Y, a \in \Sigma\};$
- $s_m = X$ with $s \in X$; $F_m := \{X: X \in Q_m, X \cap F \neq \emptyset\}.$

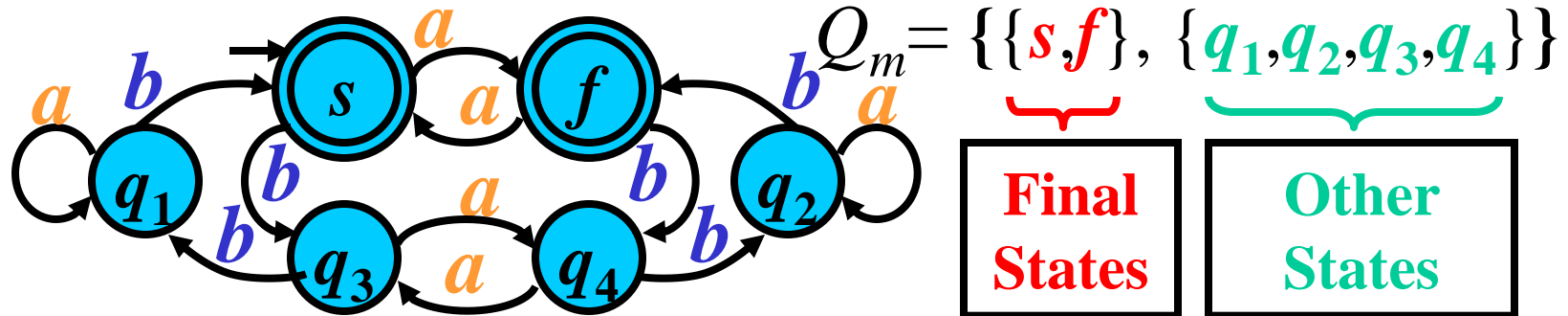
Minimization: Example 1/4



Minimization: Example 1/4



Minimization: Example 1/4

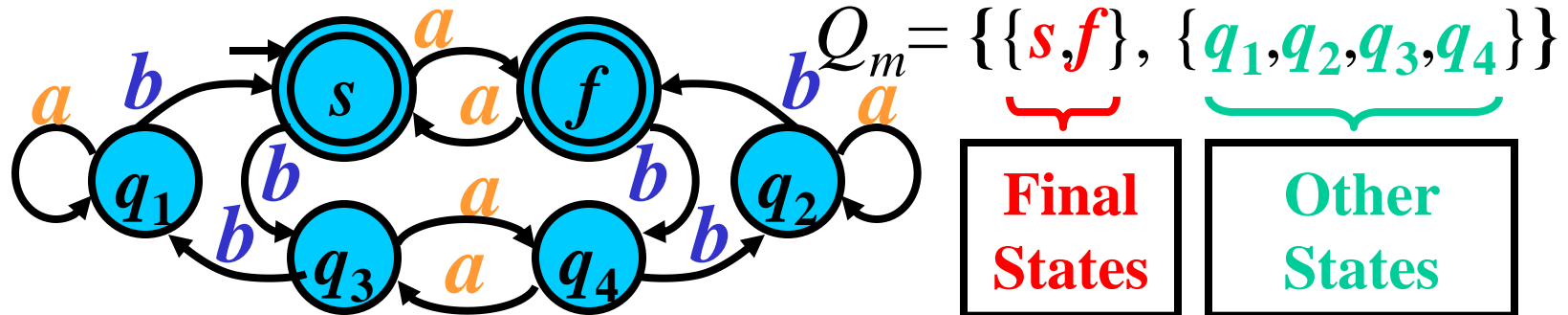


1) $X = \{s, f\}$:

$d = a:$

- $sa \rightarrow f$
- $fa \rightarrow s$

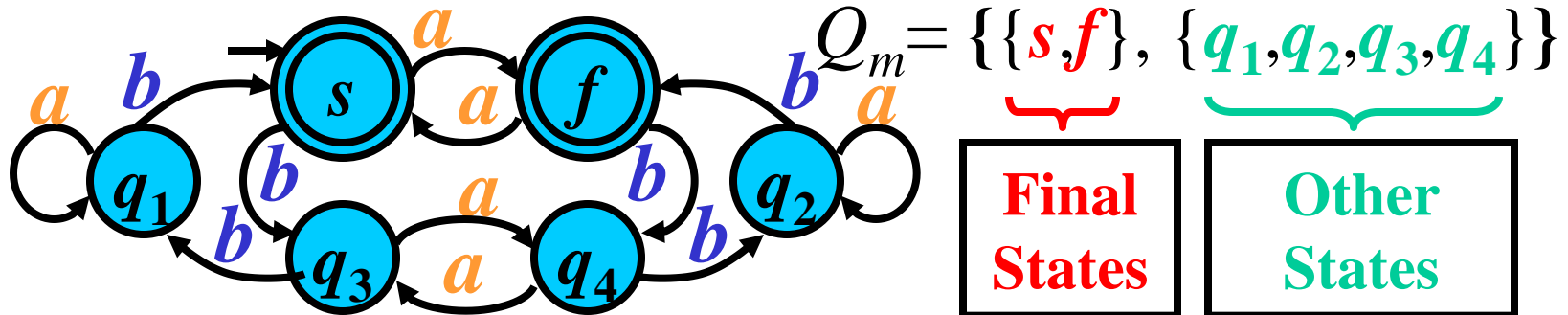
Minimization: Example 1/4



1) $X = \{s, f\}$: **From one set**

$d = a$: $sa \rightarrow f$
 $fa \rightarrow s$

Minimization: Example 1/4

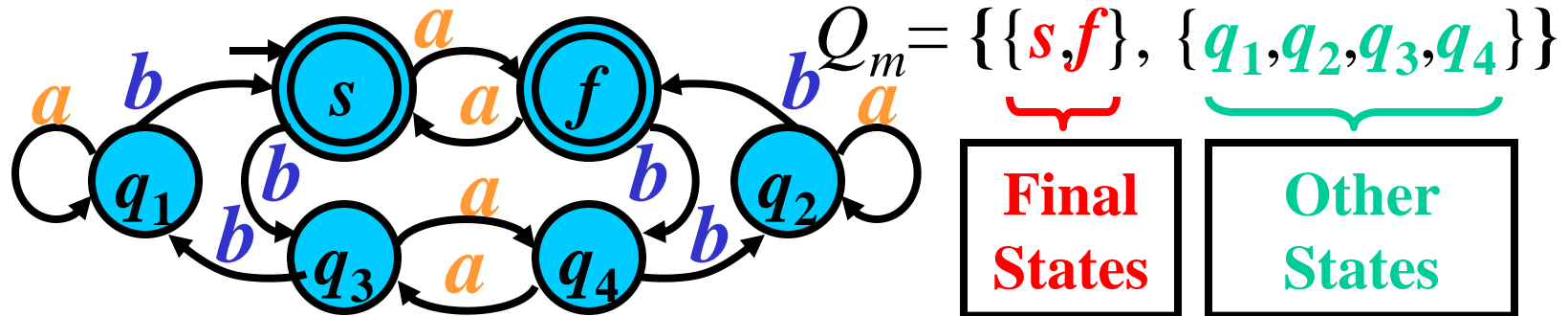


1) $X = \{s, f\}$: **From one set**

$d = a$: $sa \rightarrow f$
 $fa \rightarrow s$

$d = b$: $sb \rightarrow q_3$
 $fb \rightarrow q_4$

Minimization: Example 1/4



1) $X = \{s, f\}$:

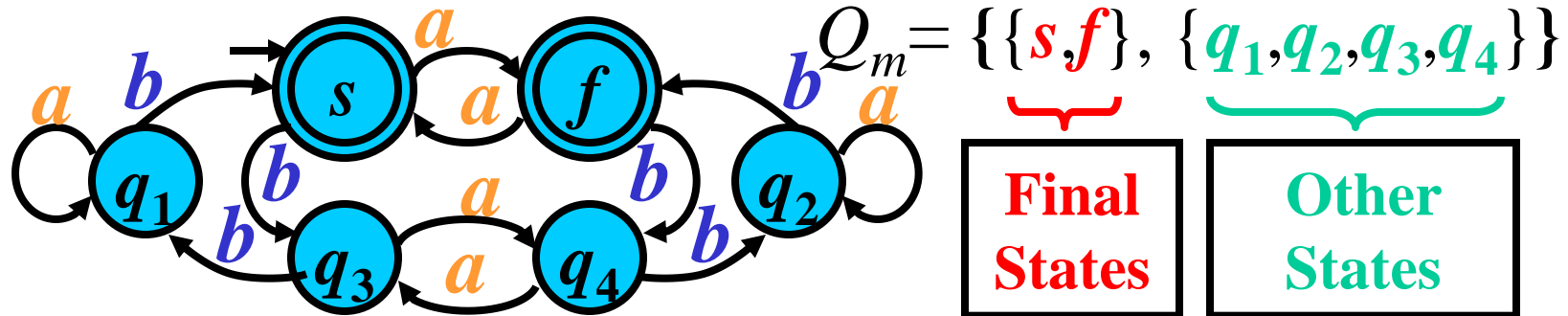
From one set

$d = a$: $sa \rightarrow f$
 $fa \rightarrow s$

From one set

$d = b$: $sb \rightarrow q_3$
 $fb \rightarrow q_4$

Minimization: Example 1/4



1) $X = \{s, f\}$: **From one set**

$d = a$: $sa \rightarrow f$
 $fa \rightarrow s$

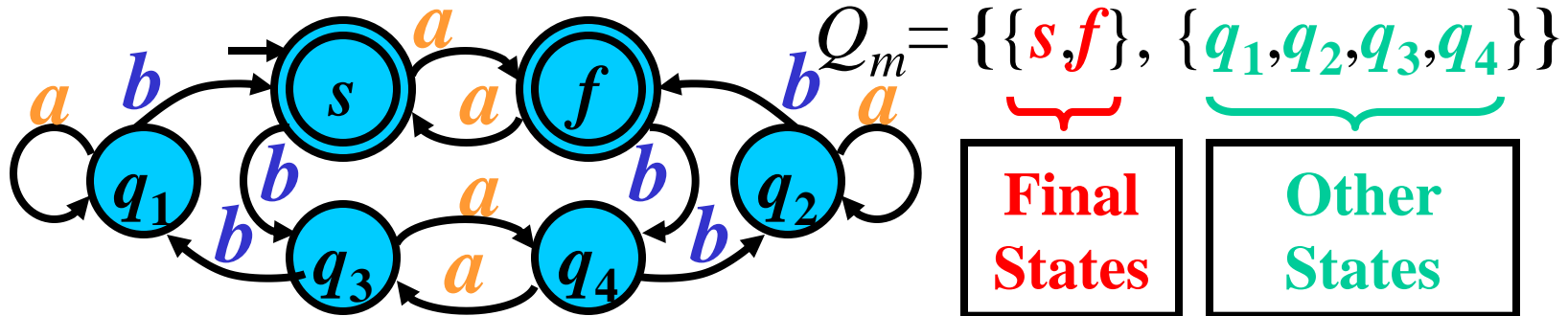
$d = b$: $sb \rightarrow q_3$
 $fb \rightarrow q_4$

From one set

2) $X = \{q_1, q_2, q_3, q_4\}$:

$d = a$: $q_1a \rightarrow q_1$
 $q_2a \rightarrow q_2$
 $q_3a \rightarrow q_4$
 $q_4a \rightarrow q_3$

Minimization: Example 1/4



1) $X = \{s, f\}$: **From one set** **From one set**

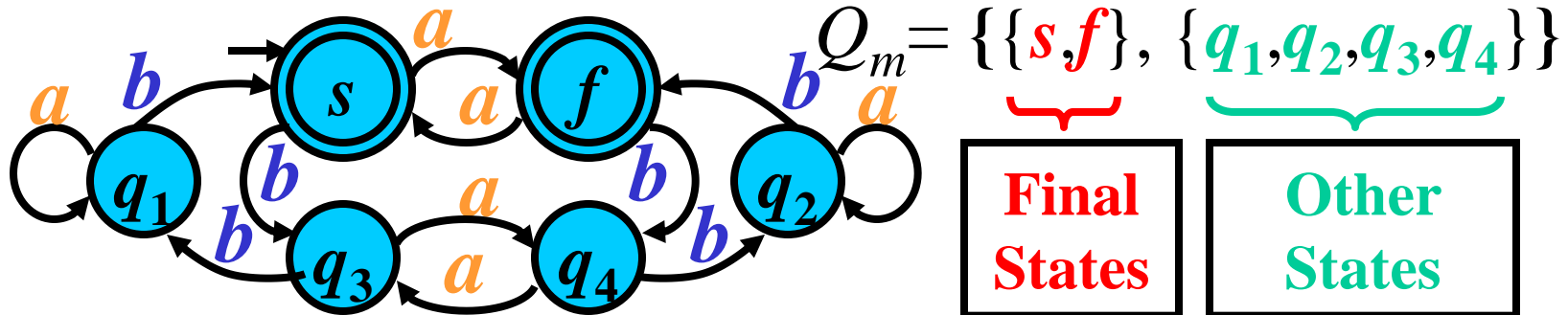
$d = a$: $sa \rightarrow f$
 $fa \rightarrow s$

$d = b$: $sb \rightarrow q_3$
 $fb \rightarrow q_4$

2) $X = \{q_1, q_2, q_3, q_4\}$: **From one set**

$d = a$: $q_1a \rightarrow q_1$
 $q_2a \rightarrow q_2$
 $q_3a \rightarrow q_4$
 $q_4a \rightarrow q_3$

Minimization: Example 1/4



1) $X = \{s, f\}$: **From one set** **From one set**

$d = a$: $sa \rightarrow f$
 $fa \rightarrow s$

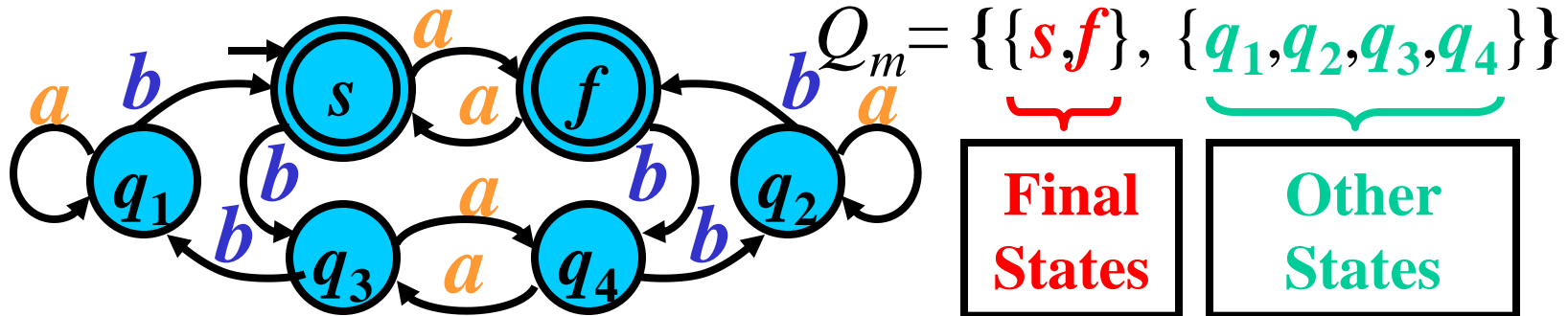
$d = b$: $sb \rightarrow q_3$
 $fb \rightarrow q_4$

2) $X = \{q_1, q_2, q_3, q_4\}$: **From one set**

$d = a$: $q_1a \rightarrow q_1$
 $q_2a \rightarrow q_2$
 $q_3a \rightarrow q_4$
 $q_4a \rightarrow q_3$

$d = b$: $q_1b \rightarrow s$
 $q_2b \rightarrow f$
 $q_3b \rightarrow q_1$
 $q_4b \rightarrow q_2$

Minimization: Example 1/4



1) $X = \{s, f\}$: **From one set** **From one set**

$d = a$: $sa \rightarrow f$
 $fa \rightarrow s$

$d = b$: $sb \rightarrow q_3$
 $fb \rightarrow q_4$

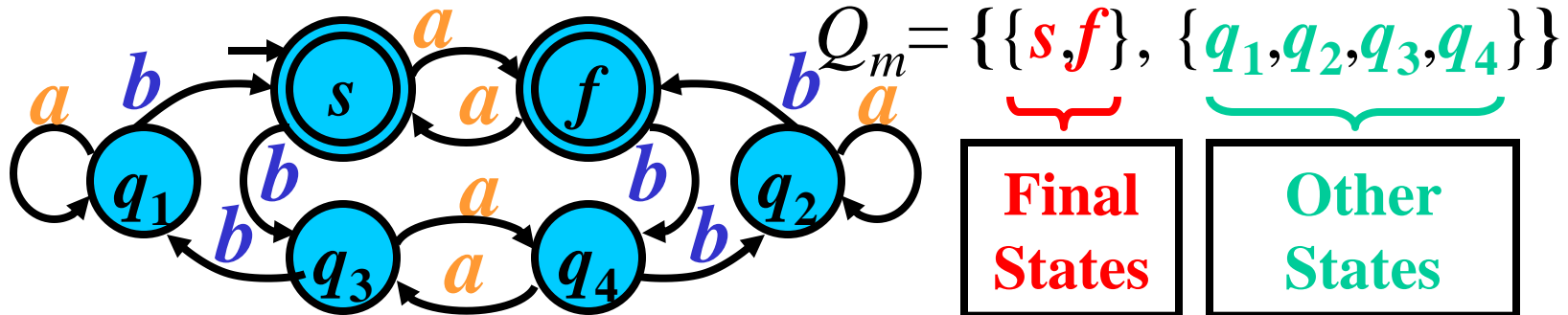
2) $X = \{q_1, q_2, q_3, q_4\}$: **From one set**

$d = a$: $q_1a \rightarrow q_1$
 $q_2a \rightarrow q_2$
 $q_3a \rightarrow q_4$
 $q_4a \rightarrow q_3$

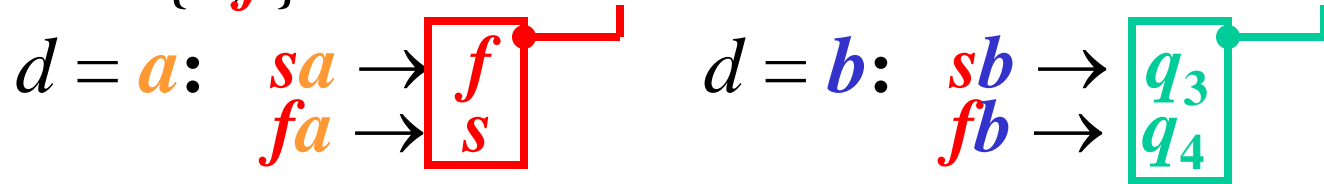
$d = b$: $q_1b \rightarrow s$
 $q_2b \rightarrow f$
 $q_3b \rightarrow q_1$
 $q_4b \rightarrow q_2$

$\subseteq Q_1 = \{s, f\}$

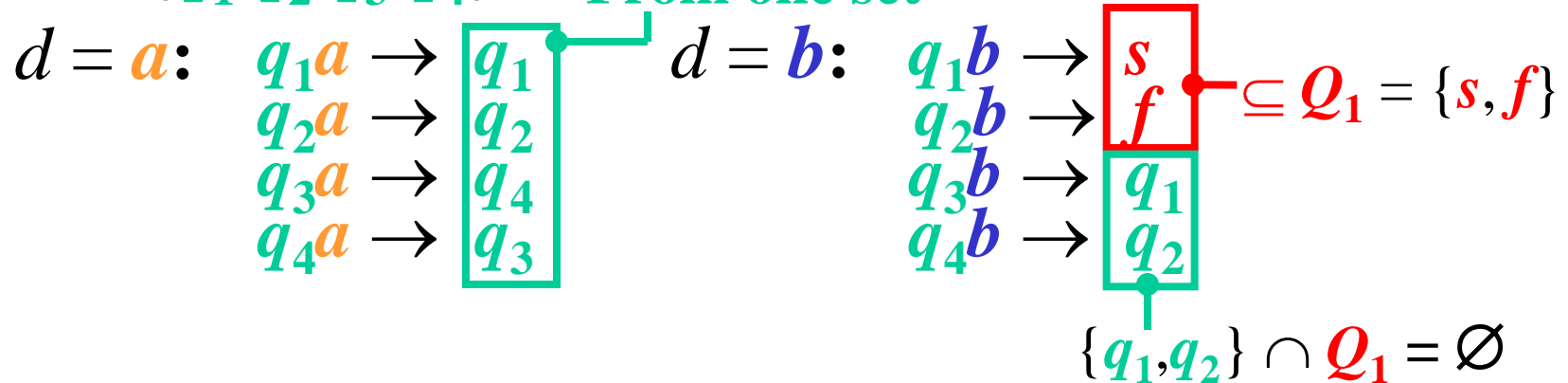
Minimization: Example 1/4



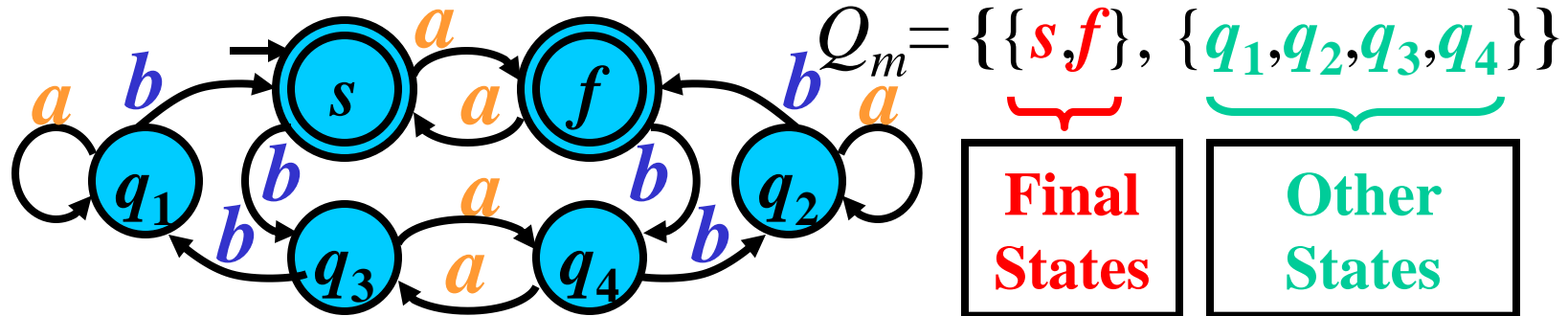
1) $X = \{s, f\}$: **From one set** **From one set**



2) $X = \{q_1, q_2, q_3, q_4\}$: **From one set**



Minimization: Example 1/4



1) $X = \{s, f\}$: **From one set**

$d = a$: $sa \rightarrow f$
 $fa \rightarrow s$

From one set

$d = b$: $sb \rightarrow q_3$
 $fb \rightarrow q_4$

2) $X = \{q_1, q_2, q_3, q_4\}$: **From one set**

$d = a$: $q_1a \rightarrow q_1$
 $q_2a \rightarrow q_2$
 $q_3a \rightarrow q_4$
 $q_4a \rightarrow q_3$

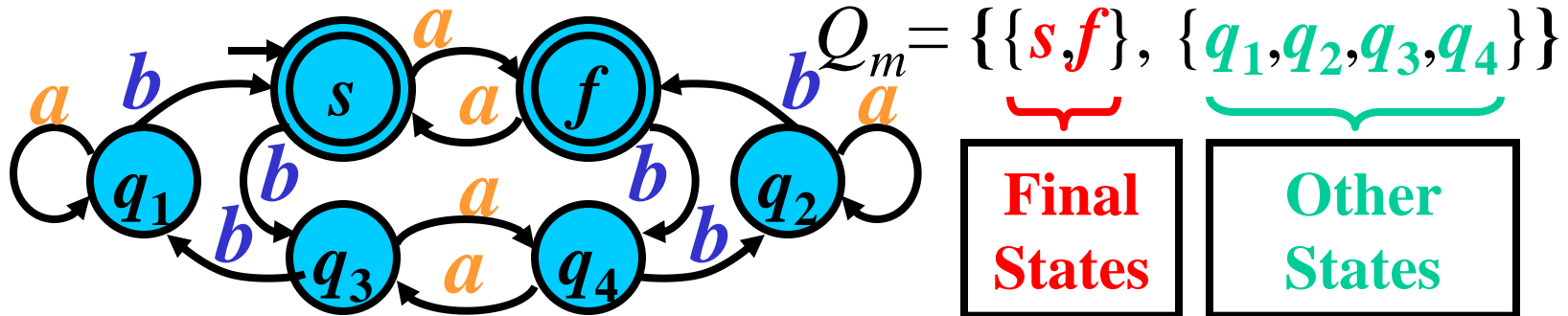
$d = b$: $q_1b \rightarrow s$
 $q_2b \rightarrow f$
 $q_3b \rightarrow q_1$
 $q_4b \rightarrow q_2$

$\subseteq Q_1 = \{s, f\}$

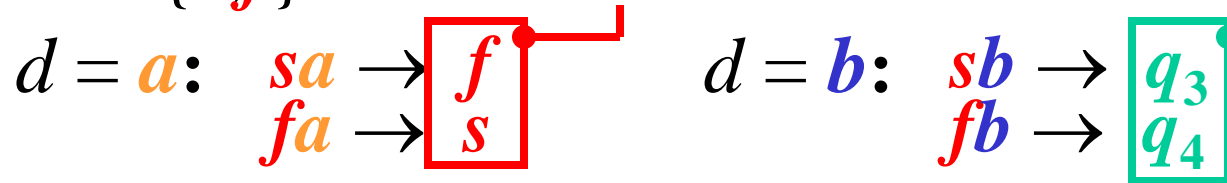
Division: $\{q_1, q_2, q_3, q_4\} \Rightarrow$

$\{q_1, q_2\} \cap Q_1 = \emptyset$

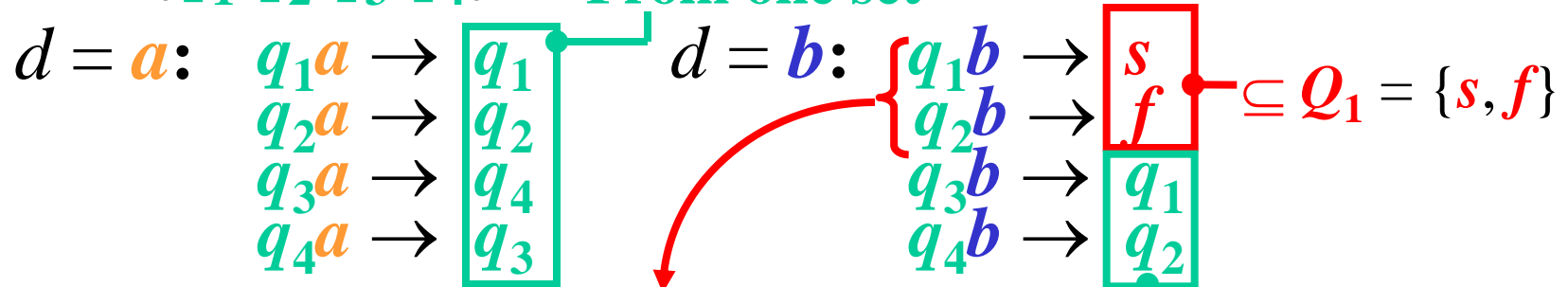
Minimization: Example 1/4



1) $X = \{s, f\}$: **From one set** **From one set**



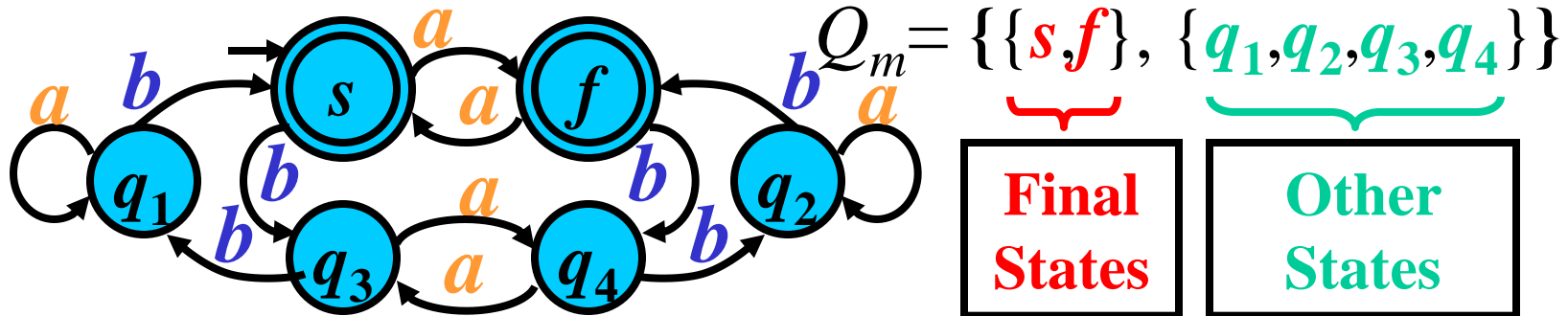
2) $X = \{q_1, q_2, q_3, q_4\}$: **From one set**



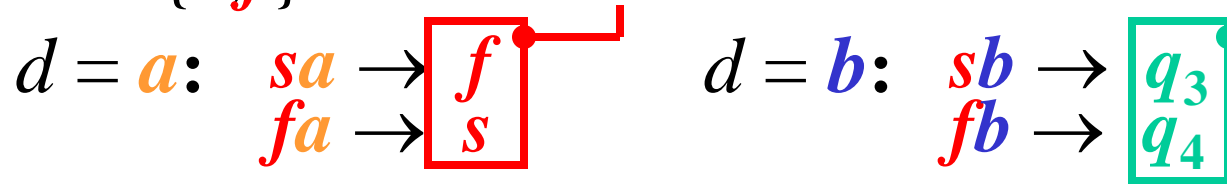
Division: $\{q_1, q_2, q_3, q_4\} \Rightarrow \underbrace{\{q_1, q_2\}}_{X_1},$

$$\{q_1, q_2\} \cap Q_1 = \emptyset$$

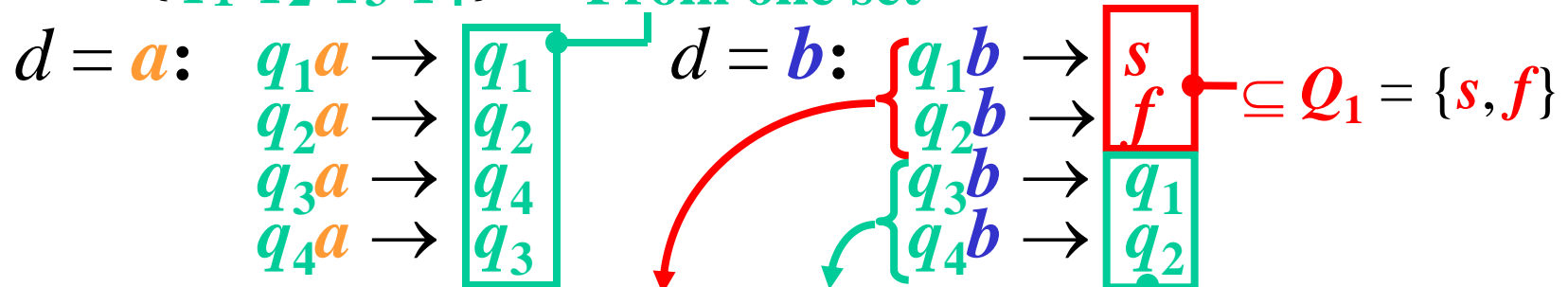
Minimization: Example 1/4



1) $X = \{s, f\}$: **From one set** **From one set**



2) $X = \{q_1, q_2, q_3, q_4\}$: **From one set**



Division: $\{q_1, q_2, q_3, q_4\} \Rightarrow \underbrace{\{q_1, q_2\}}_{X_1}, \underbrace{\{q_3, q_4\}}_{X_2}$

$\{q_1, q_2\} \cap Q_1 = \emptyset$

Minimization: Example 2/4

$$Q_m = \{\{s, f\}, \{q_1, q_2\}, \{q_3, q_4\}\}$$

Minimization: Example 2/4

$$Q_m = \{\{s, f\}, \{q_1, q_2\}, \{q_3, q_4\}\}$$

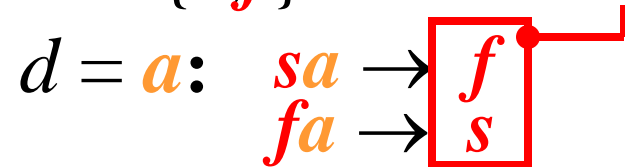
1) $X = \{s, f\}$:

$$d = a: \begin{array}{l} sa \rightarrow f \\ fa \rightarrow s \end{array}$$

Minimization: Example 2/4

$$Q_m = \{\{s, f\}, \{q_1, q_2\}, \{q_3, q_4\}\}$$

1) $X = \{s, f\}$: **From one set**



Minimization: Example 2/4

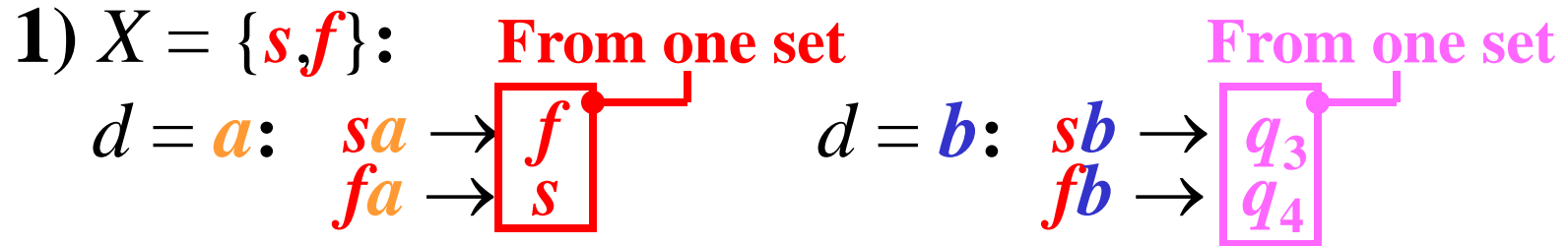
$$Q_m = \{\{s, f\}, \{q_1, q_2\}, \{q_3, q_4\}\}$$

1) $X = \{s, f\}$: **From one set**

$$\begin{array}{l}
 d = a: \quad sa \rightarrow \begin{array}{|c|} \hline f \\ \hline \end{array} \\
 \quad \quad fa \rightarrow \begin{array}{|c|} \hline s \\ \hline \end{array}
 \end{array}
 \quad
 \begin{array}{l}
 d = b: \quad sb \rightarrow q_3 \\
 \quad \quad fb \rightarrow q_4
 \end{array}$$

Minimization: Example 2/4

$$Q_m = \{\{s, f\}, \{q_1, q_2\}, \{q_3, q_4\}\}$$



Minimization: Example 2/4

$$Q_m = \{\{s, f\}, \{q_1, q_2\}, \{q_3, q_4\}\}$$

1) $X = \{s, f\}$: **From one set**

$d = a$: $sa \rightarrow f$
 $fa \rightarrow s$

$d = b$: $sb \rightarrow q_3$
 $fb \rightarrow q_4$

From one set

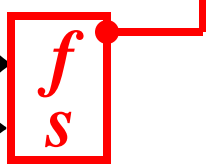
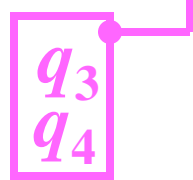
2) $X = \{q_1, q_2\}$:

$d = a$: $q_1 a \rightarrow q_1$
 $q_2 a \rightarrow q_2$

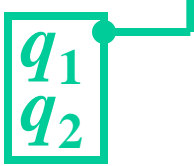
Minimization: Example 2/4

$$Q_m = \{\{s, f\}, \{q_1, q_2\}, \{q_3, q_4\}\}$$

1) $X = \{s, f\}$: **From one set**

$d = a$: $sa \rightarrow$ 
 $d = b$: $sb \rightarrow$ 

2) $X = \{q_1, q_2\}$: **From one set**

$d = a$: $q_1a \rightarrow$ 

Minimization: Example 2/4

$$Q_m = \{\{s, f\}, \{q_1, q_2\}, \{q_3, q_4\}\}$$

1) $X = \{s, f\}$: **From one set**

$d = a$: $sa \rightarrow f$
 $fa \rightarrow s$

$d = b$: $sb \rightarrow q_3$
 $fb \rightarrow q_4$

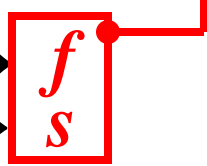
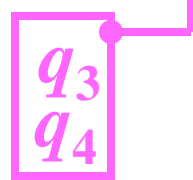
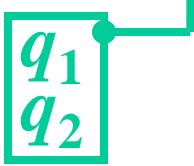
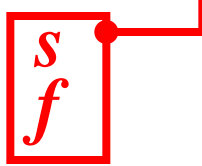
2) $X = \{q_1, q_2\}$: **From one set**

$d = a$: $q_1a \rightarrow q_1$
 $q_2a \rightarrow q_2$

$d = b$: $q_1b \rightarrow s$
 $q_2b \rightarrow f$

Minimization: Example 2/4

$$Q_m = \{\{s, f\}, \{q_1, q_2\}, \{q_3, q_4\}\}$$

- 1) $X = \{s, f\}$: **From one set**
- $d = a$: $sa \rightarrow$ 
 $d = b$: $sb \rightarrow$ 
-
- 2) $X = \{q_1, q_2\}$: **From one set**
- $d = a$: $q_1a \rightarrow$ 
 $d = b$: $q_1b \rightarrow$ 

Minimization: Example 2/4

$$Q_m = \{\{s, f\}, \{q_1, q_2\}, \{q_3, q_4\}\}$$

1) $X = \{s, f\}$: **From one set**

$d = a$: $sa \rightarrow f$, $fa \rightarrow s$

$d = b$: $sb \rightarrow q_3$, $fb \rightarrow q_4$

From one set

2) $X = \{q_1, q_2\}$: **From one set**

$d = a$: $q_1a \rightarrow q_1$, $q_2a \rightarrow q_2$

$d = b$: $q_1b \rightarrow s$, $q_2b \rightarrow f$

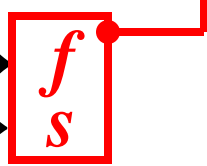
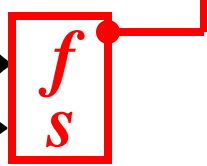
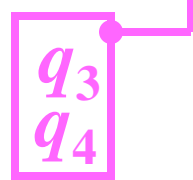
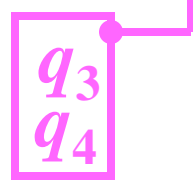
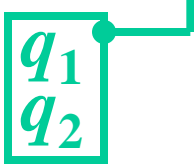
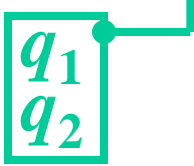
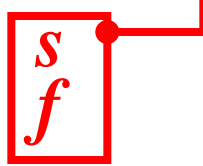
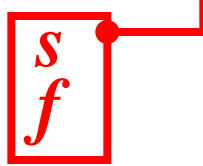
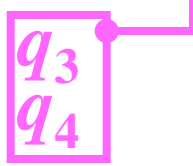
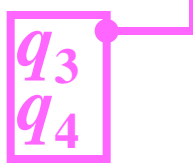
From one set

3) $X = \{q_3, q_4\}$:

$d = a$: $q_3a \rightarrow q_3$, $q_4a \rightarrow q_4$

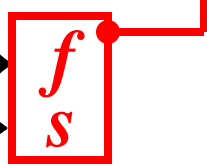
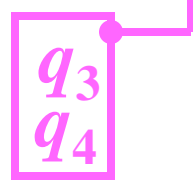
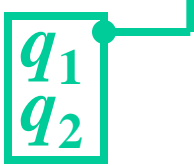
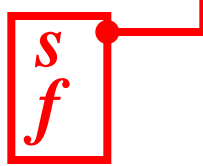
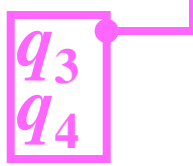
Minimization: Example 2/4

$$Q_m = \{\{s, f\}, \{q_1, q_2\}, \{q_3, q_4\}\}$$

- 1) $X = \{s, f\}$: **From one set**
- $d = a$: $sa \rightarrow$  $fa \rightarrow$ 
- $d = b$: $sb \rightarrow$  $fb \rightarrow$ 
-
- 2) $X = \{q_1, q_2\}$: **From one set**
- $d = a$: $q_1a \rightarrow$  $q_2a \rightarrow$ 
- $d = b$: $q_1b \rightarrow$  $q_2b \rightarrow$ 
-
- 3) $X = \{q_3, q_4\}$: **From one set**
- $d = a$: $q_3a \rightarrow$  $q_4a \rightarrow$ 

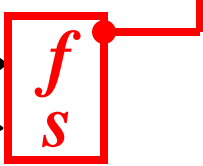
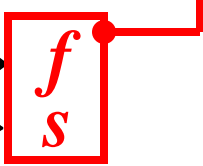
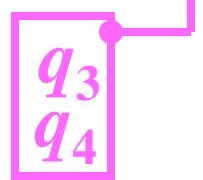
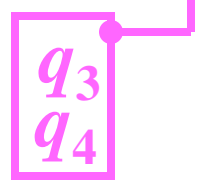
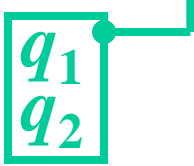
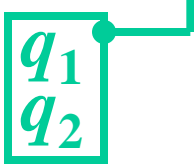
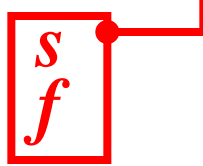
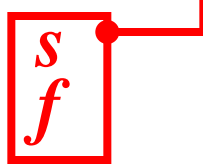
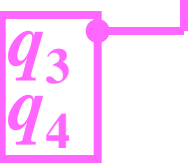
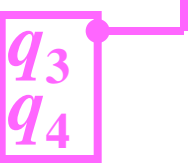
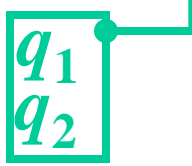
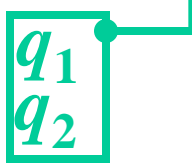
Minimization: Example 2/4

$$Q_m = \{\{s, f\}, \{q_1, q_2\}, \{q_3, q_4\}\}$$

- 1) $X = \{s, f\}$: **From one set**
- $d = a$: $sa \rightarrow$  $fb \rightarrow$
- $d = b$: $sb \rightarrow$  $fb \rightarrow$
-
- 2) $X = \{q_1, q_2\}$: **From one set**
- $d = a$: $q_1a \rightarrow$  $q_2a \rightarrow$
- $d = b$: $q_1b \rightarrow$  $q_2b \rightarrow$
-
- 3) $X = \{q_3, q_4\}$: **From one set**
- $d = a$: $q_3a \rightarrow$  $q_4a \rightarrow$
- $d = b$: $q_3b \rightarrow q_1$
 $q_4b \rightarrow q_2$

Minimization: Example 2/4

$$Q_m = \{\{s, f\}, \{q_1, q_2\}, \{q_3, q_4\}\}$$

- 1) $X = \{s, f\}$: **From one set**
- $d = a$: $sa \rightarrow$  **From one set**
- $fa \rightarrow$ 
- $d = b$: $sb \rightarrow$  **From one set**
- $fb \rightarrow$ 
-
- 2) $X = \{q_1, q_2\}$: **From one set**
- $d = a$: $q_1a \rightarrow$  **From one set**
- $q_2a \rightarrow$ 
- $d = b$: $q_1b \rightarrow$  **From one set**
- $q_2b \rightarrow$ 
-
- 3) $X = \{q_3, q_4\}$: **From one set**
- $d = a$: $q_3a \rightarrow$  **From one set**
- $q_4a \rightarrow$ 
- $d = b$: $q_3b \rightarrow$  **From one set**
- $q_4b \rightarrow$ 

Minimization: Example 2/4

$$Q_m = \{\{s, f\}, \{q_1, q_2\}, \{q_3, q_4\}\}$$

1) $X = \{s, f\}$:

From one set

$d = a$: $sa \rightarrow f$, $fa \rightarrow s$

From one set

$d = b$: $sb \rightarrow q_3$, $fb \rightarrow q_4$

2) $X = \{q_1, q_2\}$:

From one set

$d = a$: $q_1a \rightarrow q_1$, $q_2a \rightarrow q_2$

From one set

$d = b$: $q_1b \rightarrow s$, $q_2b \rightarrow f$

3) $X = \{q_3, q_4\}$:

From one set

$d = a$: $q_3a \rightarrow q_3$, $q_4a \rightarrow q_4$

From one set

$d = b$: $q_3b \rightarrow q_1$, $q_4b \rightarrow q_2$

No next divisions !!!

Minimization: Example 3/4

$$Q_m = \{\{s, f\}, \{q_1, q_2\}, \{q_3, q_4\}\}$$

$$\left. \begin{array}{l} sa \rightarrow f \in R: \\ fa \rightarrow s \in R: \end{array} \right\} \Rightarrow \{s, f\}a \rightarrow \{s, f\} \in R_m$$

$$\left. \begin{array}{l} sb \rightarrow q_3 \in R: \\ fb \rightarrow q_4 \in R: \end{array} \right\} \Rightarrow \{s, f\}b \rightarrow \{q_3, q_4\} \in R_m$$

$$\left. \begin{array}{l} q_1a \rightarrow q_1 \in R: \\ q_2a \rightarrow q_2 \in R: \end{array} \right\} \Rightarrow \{q_1, q_2\}a \rightarrow \{q_1, q_2\} \in R_m$$

$$\left. \begin{array}{l} q_1b \rightarrow s \in R: \\ q_2b \rightarrow f \in R: \end{array} \right\} \Rightarrow \{q_1, q_2\}b \rightarrow \{s, f\} \in R_m$$

$$\left. \begin{array}{l} q_3a \rightarrow q_3 \in R: \\ q_4a \rightarrow q_4 \in R: \end{array} \right\} \Rightarrow \{q_3, q_4\}a \rightarrow \{q_3, q_4\} \in R_m$$

$$\left. \begin{array}{l} q_3b \rightarrow q_1 \in R: \\ q_4b \rightarrow q_2 \in R: \end{array} \right\} \Rightarrow \{q_3, q_4\}b \rightarrow \{q_1, q_2\} \in R_m$$

Minimization: Example 4/4

$$s \in \{s, f\} \implies s_m := \{s, f\}$$

$$\left. \begin{array}{l} s \in F: \\ f \in F: \end{array} \right\} \implies \{s, f\} \in F_m$$

$M_m = (Q_m, \Sigma, R_m, s_m, F_m)$, where: $\Sigma = \{a, b\}$, $s_m = \{s, f\}$

$$Q_m = \{\{s, f\}, \{q_1, q_2\}, \{q_3, q_4\}\}, F_m = \{\{s, f\}\}$$

$$R_m = \{\{s, f\}a \rightarrow \{s, f\}, \{s, f\}b \rightarrow \{q_3, q_4\}, \{q_1, q_2\}a \rightarrow \{q_1, q_2\}, \\ \{q_1, q_2\}b \rightarrow \{s, f\}, \{q_3, q_4\}a \rightarrow \{q_3, q_4\}, \{q_3, q_4\}b \rightarrow \{q_1, q_2\}\}$$

Minimization: Example 4/4

$$s \in \{s, f\} \implies s_m := \{s, f\}$$

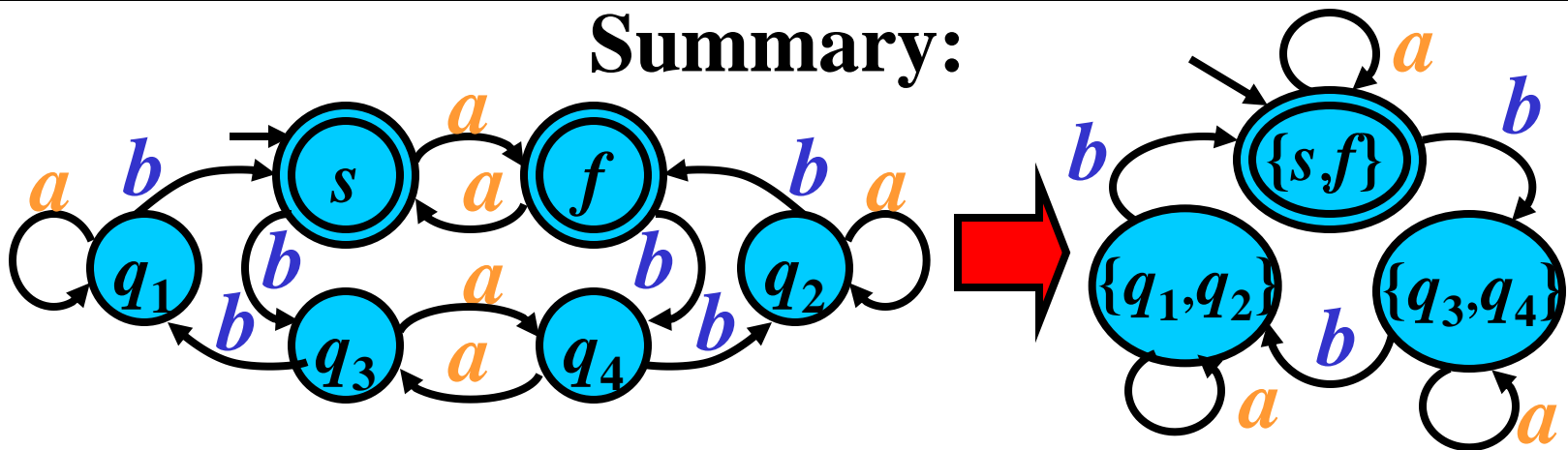
$$\begin{matrix} s \in F: \\ f \in F: \end{matrix} \implies \{s, f\} \in F_m$$

$M_m = (Q_m, \Sigma, R_m, s_m, F_m)$, where: $\Sigma = \{a, b\}$, $s_m = \{s, f\}$

$Q_m = \{\{s, f\}, \{q_1, q_2\}, \{q_3, q_4\}\}$, $F_m = \{\{s, f\}\}$

$R_m = \{\{s, f\}a \rightarrow \{s, f\}, \{s, f\}b \rightarrow \{q_3, q_4\}, \{q_1, q_2\}a \rightarrow \{q_1, q_2\}, \{q_1, q_2\}b \rightarrow \{s, f\}, \{q_3, q_4\}a \rightarrow \{q_3, q_4\}, \{q_3, q_4\}b \rightarrow \{q_1, q_2\}\}$

Summary:



Variants of FA: Summary

	FA	ϵ -free FA	DFA	Complete FA	WSFA	Min-State FA
Number of rules of the form $p \rightarrow q$, where $p, q \in Q$	0- n	0	0	0	0	0
Number of rules of the form $pa \rightarrow q$, for any $p \in Q, a \in \Sigma$	0- n	0- n	0-1	1	1	1
Number of inaccessible states	0- n	0- n	0- n	0- n	0	0
Number of nonterminating states	0- n	0- n	0- n	0- n	0-1	0-1
Number of this FAs for any regular language.	∞	∞	∞	∞	∞	1